



San Gabriel Valley Council of Governments

AGENDA AND NOTICE OF THE MEETING OF THE SGVCOG EXECUTIVE COMMITTEE

Monday, November 4, 2019 – 12:00 PM

SGVCOG Office

1000 S. Fremont Ave., Building 10, Suite 10210, Alhambra, California 91803

Thank you for participating in today's meeting. The Executive Committee encourages public participation and invites you to share your views on agenda items.

President
Cynthia Sternquist

1st Vice President
Margaret Clark

2nd Vice President
Becky Shevlin

3rd Vice President
Tim Hepburn

Past President
Vacant

Transportation Chair
John Fasana

Homelessness Chair
Becky Shevlin

EENR Chair
Denis Bertone

Water Policy Chair
Diana Mahmud

ACE Chair
Tim Sandoval

MEETINGS: *Regular Meetings of the Executive Committee are held the first Monday of every month at 12:00 p.m. at the SGVCOG Office (1000 S. Fremont Ave., Building 10, Suite 10210, Alhambra, California 91803).* The Executive Committee agenda packet is available at the San Gabriel Valley Council of Government's (SGVCOG) Office, 1000 South Fremont Avenue, Suite 10210, Alhambra, CA, and on the website, www.sgvkog.org. Copies are available via email upon request (sgv@sgvcog.org). Documents distributed to a majority of the Board after the posting will be available for review in the SGVCOG office and on the SGVCOG website. Your attendance at this public meeting may result in the recording of your voice.

PUBLIC PARTICIPATION: Your participation is welcomed and invited at all Executive Committee meetings. Time is reserved at each regular meeting for those who wish to address the Board. SGVCOG requests that persons addressing the Executive Committee refrain from making personal, slanderous, profane or disruptive remarks.

TO ADDRESS THE EXECUTIVE COMMITTEE: At a regular meeting, the public may comment on any matter within the jurisdiction of the Board during the public comment period and may also comment on any agenda item at the time it is discussed. At a special meeting, the public may only comment on items that are on the agenda. Members of the public wishing to speak are asked to complete a comment card or simply rise to be recognized when the Chair asks for public comments to speak. We ask that members of the public state their name for the record and keep their remarks brief. If several persons wish to address the Board on a single item, the Chair may impose a time limit on individual remarks at the beginning of discussion. **The Executive Committee may not discuss or vote on items not on the agenda.**

AGENDA ITEMS: The Agenda contains the regular order of business of the Executive Committee. Items on the Agenda have generally been reviewed and investigated by the staff in advance of the meeting so that the Executive Committee can be fully informed about a matter before making its decision.

CONSENT CALENDAR: Items listed on the Consent Calendar are considered to be routine and will be acted upon by one motion. There will be no separate discussion on these items unless a Board member or citizen so requests. In this event, the item will be removed from the Consent Calendar and considered after the Consent Calendar. If you would like an item on the Consent Calendar discussed, simply tell Staff or a member of the Executive Committee.



In compliance with the Americans with Disabilities Act, if you need special assistance to participate in this meeting, please contact the SGVCOG office at (626) 457-1800. Notification 48 hours prior to the meeting will enable the SGVCOG to make reasonable arrangement to ensure accessibility to this meeting.



PRELIMINARY BUSINESS

1. Call to Order
2. Roll Call
3. Public Comment *(If necessary, the President may place reasonable time limits on all comments)*
4. Changes to Agenda Order: Identify emergency items arising after agenda posting and requiring action prior to next regular meeting *(It is anticipated that the Executive Committee may take action on these matters)*

CONSENT CALENDAR *(It is anticipated that the Executive Committee may take action on the following matters)*

5. Executive Committee Meeting Minutes – Page 1
Recommended Action: Approve Executive Committee minutes.

ACTION ITEMS

6. Policy Committee Appointment – Page 5
Recommended Action: Discuss and provide direction to staff.

UPDATE ITEMS *(It is anticipated that the Executive Committee may take action on the following matters)*

- 4th Quarter Financial Report / Treasurer’s Report – Page 7
- 3rd Quarter Audit Status Report – Page 31
- Governing Board Meeting Time – Page 35
- Revised ACE Project Budget Estimates – Page 37
- State Homeless Funding and Measure H Innovation Funding Programming Recommendations – Page 45
- Regional Housing Trust Fund Setup – Page 51

PRESIDENT’S REPORT

EXECUTIVE DIRECTOR’S REPORT

- Procurement Update

GENERAL COUNSEL’S REPORT

ACTION ITEMS *(It is anticipated that the Executive Committee may take action on the following matters)*

7. Draft Governing Board Agenda – Page 55
Recommended Action: Approve draft Governing Board agenda.

ANNOUNCEMENTS

ADJOURN



SGVCOG
Executive Committee Special Meeting Minutes
September 9, 2019
12:00 PM
SGVCOG Alhambra Office

PRELIMINARY BUSINESS

1. Call to Order

The meeting was called to order at 12:05 P.M.

2. Roll Call

Members Present

- C. Sternquist, President
- M. Clark, 1st Vice President
- T. Hepburn, 3rd Vice President
- D. Bertone, EENR Chair
- D. Mahmud, Water Policy Chair
- J. Fasana, Transportation Chair

Members Absent

- B. Shevlin, 2nd Vice President/Homelessness Chair
- V. Martinez-Muela, ACE Chair

Staff/Guests:

- M. Creter, SGVCOG Executive Director
- K. Ward; M. Ramos; K. McClure; R. Alimoren; Z. Mapes; M. Christoffels; C. Sims; A. Fung, SGVCOG Staff
- D. DeBerry, Woodruff, Spradlin & Smart

3. Public Comment

There were no comments from the public.

4. Changes to Agenda Order:

No changes were requested.

CONSENT CALENDAR

5. Executive Committee Meeting Minutes

There was a motion to approve the consent calendar (M/S: T. Hepburn/J. Fasana).

[MOTION PASSES]

AYES:	C. Sternquist, T. Hepburn, D. Mahmud, D. Bertone, M. Clark, J. Fasana,
NOES:	
ABSTAIN:	
ABSENT:	B. Shevlin, V. Martinez-Muela

UPDATE ITEMS

- Audit Status Report
R. Alimoren reported on this item.

DISCUSSION ITEMS

- SGVCOG Federal Lobbying Contract
M. Christoffels reported on this item. He recommended that the current contract expire in June 2020 and resolicit the contract. The Committee asked to bring the item back for additional discussion in November or December to discuss in detail.
- SCAG's Proposed RHNA Allocation Methodologies
M. Creter reported on this item. She summarized the letter prepared to be submitted to SCAG for feedback, which is due by September 13.
- SGV Regional Housing Trust Fund

C. Sims presented on this item. There was a request to send the presentation to the Executive Committee.

- SGVCOG Regional Forum Series

M. Creter and A. Fung reported on this item. There was a suggestion to have the first forum topic session coincide with the outreach for the SGV Regional Housing Trust Fund. There was a recommendation to start the Forum in January 2020 because of the conflict with the holidays.

PRESIDENT’S REPORT

C. Sternquist reported on this item.

EXECUTIVE DIRECTOR’S REPORT

- October Governing Board meeting date and location

M. Creter reported on this item. The October meeting will take place on October 24 at the West Covina Foothill Transit Office.

- Joint Meeting with Gateway COG and Contract Cities

M. Creter reported on this item.

GENERAL COUNSEL’S REPORT

- D. DeBerry introduced himself as the new SGVCOG General Counsel.

ACTION ITEMS

6. Draft Governing Board Agenda

M. Creter reported on this item. She recommended that the San Gabriel Mountains Foothills and Rivers Protection Act item action be changed to a receive and file to take no action as a Governing Board.

There was a motion to approve the Governing Board agenda as amended (M/S: J. Fasana/T. Hepburn).

[MOTION PASSES]

AYES:	C. Sternquist, T. Hepburn, D. Mahmud, D. Bertone, M. Clark, J. Fasana,
NOES:	
ABSTAIN:	
ABSENT:	B. Shevlin, V. Martinez-Muela

ANNOUNCEMENTS

CLOSED SESSION

7. CONFERENCE WITH LEGAL COUNSEL – ANTICIPATED LITIGATION – Significant exposure to litigation pursuant to paragraph (2) of subdivision (d) of Section 54956.9: (Two case)
No action was reported.

ADJOURN

The meeting adjourned at 2:00 PM.



SGVCOG
Executive Committee Meeting Minutes
October 7, 2019
12:00 PM
SGVCOG Alhambra Office

PRELIMINARY BUSINESS

1. Call to Order

The meeting was called to order at 12:11 P.M.

2. Roll Call

Members Present

- C. Sternquist, President
- M. Clark, 1st Vice President
- B. Shevlin, 2nd Vice President/Homelessness Chair
- J. Fasana, Transportation Chair

Members Absent

- V. Martinez-Muela, ACE Chair
- T. Hepburn, 3rd Vice President
- D. Bertone, EENR Chair
- D. Mahmud, Water Policy Chair

Staff/Guests:

- M. Creter, SGVCOG Executive Director
- K. Ward, SGVCOG Staff
- C. Trapesonian, Woodruff, Spradlin & Smart

3. Public Comment

There were no comments from the public.

4. Changes to Agenda Order:

The 4th Quarter Financial Report / Treasurer’s Report item was tabled for the next Executive Committee meeting.

CONSENT CALENDAR

5. Executive Committee Meeting Minutes

A quorum of the Committee was not present to take action on the consent calendar.

UPDATE ITEMS

- 4th Quarter Financial Report / Treasurer’s Report
Item was tabled for the next meeting.
- CalPERS Health Insurance Rates
M. Creter reported on this item.

PRESIDENT’S REPORT

- C. Sternquist reported on this item. She recapped the Contract cities conference in Indian Hills.

EXECUTIVE DIRECTOR’S REPORT

- M. Creter reported on this item. She reported on the revised SCAG RHNA methodology.

GENERAL COUNSEL’S REPORT

- No report given.

ACTION ITEMS

6. Draft Governing Board Agenda
M. Creter reported on this item.

ANNOUNCEMENTS

ADJOURN

The meeting adjourned at 12:56 PM.

DATE: November 4, 2019

TO: Executive Committee

FROM: Marisa Creter, Executive Director

RE: **POLICY COMMITTEE APPOINTMENTS**

RECOMMENDED ACTION

Discuss and provide direction to staff.

BACKGROUND

Article VI Section D of the SGVCOG bylaws state that, “The Governing Board may establish Standing Policy and Ad Hoc Committees. The Standing Policy Committees shall be established for the purpose of developing policy recommendations to the Governing Board or the Executive Director in specific functional areas consistent with the overall mission of the Council.” The bylaws further state that eligible members of policy committees include the following: Governing Board Representatives, Alternate Governing Board Representatives, city council members or County supervisors, city managers, or city or County staff.

Under limited circumstances, the Governing Board has taken action to appoint ex-officio members to serve an advisory capacity on policy committee. Examples of ex-officio members include the following:

- Homeless Committee: Joe Lyons (former Chair of Homeless Committee and current Chair of the San Gabriel Valley Consortium on Homelessness), Bill Huang (Housing Director, City of Pasadena)
- Water Committee: San Gabriel Water Master, Los Angeles County Sanitation District

These individuals and agencies do not have voting rights on the policy committees.

Staff has received a request from an individual to serve in an ex-officio capacity on the Homeless Committee. This individual owns and operates a consulting firm and serves as an advisor to a State Senator. He has indicated that he can either represent the State Senator or a city with which he has a consulting contract. Staff is recommending against this request for several reasons. First, allowing for ex-officio representation of State or Federal representatives could set an unwieldy precedent. The purpose of the SGVCOG is to represent cities and local interest and allowing for State and Federal representatives to have ex-officio status on policy committees could dilute the perspective of local agencies. Second, in terms of representing a city, it is staff’s interpretation of the bylaws that a consultant would not be an eligible representative of a member agency. Furthermore, allowing a consultant serve on a policy committee, either as a voting member or in an ex-officio capacity, could be perceived as providing an unfair advantage or as endorsement of that firm. Staff is seeking direction on this request from the Executive Committee. Staff will communicate the Executive Committee’s direction to the individual.

REPORT

DATE: November 4, 2019

TO: Executive Committee
Governing Board

FROM: Marisa Creter, Executive Director

RE: **4TH QUARTER FINANCIAL REPORT / TREASURER'S REPORT**

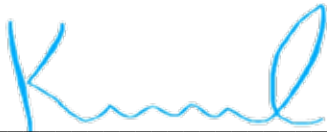
RECOMMENDED ACTION

Receive and file.

BACKGROUND

Staff is recommending to receive and file the Treasurer's report. The full 4th Quarter Financial Reports for the SGVCOG and the ACE Project are included as attachments to the Treasurer's report. The Treasurer's report was prepared by the SGVCOG's Treasurer, CliftonLarsonAllen LLP. Renee Graves from CliftonLarsonAllen will present on this item.

Prepared by:



Katie Ward
Senior Management Analyst

Approved by:



Marisa Creter
Executive Director

ATTACHMENTS

Attachment A – 4th Quarter Treasurer's Report

Attachment A

**San Gabriel Valley Council
of Governments
and
Alameda Corridor – East
Construction Authority**

Quarterly Report Ended June 30, 2019

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I. Executive Summary

Background and Objective

CliftonLarsonAllen LLP (CLA) was retained by the San Gabriel Valley Council of Governments (SGVCOG) to perform consulting services related to the San Gabriel Valley Council of Governments (COG) and the Alameda Corridor-East Construction Authority (ACE). The objective is for CLA to read and assess SGVCOG's quarterly reports, perform selective ratio analysis, and report to the Board accordingly. Throughout the consulting engagement, CLA maintained contact with SGVCOG's Executive Director, Marisa Creter and ACE's Director of Finance, Maritza Ramos.

Professional Standards

CLA performed this engagement in accordance with the American Institute of Certified Public Accountants (AICPA) Statements on Standards for Consulting Services. In consulting engagements, the nature and scope of work is determined solely by the agreement between the practitioner (CLA) and the client. The analysis and report does not constitute an audit, compilation, review, agreed-upon procedures or examination in accordance with Standards of the AICPA, the objective of which would be the expression of an opinion on any specified elements, accounts, or items. Accordingly, CLA does not express any such assurance.

Scope of Engagement

General

CLA reviewed the bank reconciliations, trial balance, and quarterly reports regarding financial position for the quarter ended June 30, 2019. CLA created or obtained various electronic files in order to prepare this report to the Executive Committee; the electronic files are available upon request.

Dollar values included in this report have been rounded; therefore the numbers included here may differ slightly when comparing the values included in this report to the underlying detail.

Results of Procedures Performed

This section summarizes our findings from the analyses and procedures performed. The applicable sections of the report include a more detailed discussion of each area, specific observations, and recommendations, if applicable.

Review of ACE Investments and Cash Balances

CLA was provided with a Fixed Income Investments Portfolio Summary report dated June 30, 2019. The report included the current book value and market values for ACE's: Government Securities, Certificates of Deposit, Corporate Bonds, Government Mortgages, Municipals, and Cash and Cash Equivalents. The portfolio's total market value of \$26,817,293 per ACE's Fixed Income Investments Portfolio report was verified against the Citizens Trust investment statement for the period ending June 30, 2019. The book value and market value of the Local Agency Investment Fund (LAIF) was substantiated through review of the LAIF balance confirmation as of June 2019. The amounts reported as current book value and market value on the June 30, 2019 Fixed Income Investments Portfolio report were reconciled.

ACE's bank account reconciliations and coordinating bank statements were reviewed and corroborated with the trial balance for the quarter ended June 30, 2019.

Review of ACE 4th Quarter 2019 Reports

Expenditures vs. Reimbursements (Exhibit V): Approximately 96% of ACE's Income-to-Date (ITD) Expenditures have been reimbursed, a decrease of 3% from the 99% reimbursement rate at the prior quarter ended March 31, 2019.

The remaining receivables total \$49,051,439 of which \$13,277,469 or 27%, was to be billed after the June 30 balance sheet date. Ratio analysis showed expenditures and reimbursements to be consistent with the prior quarter ended March 2019. Cash decreased by 38.1%. The decrease can be largely attributed to the increase in the amount of receivables from the prior quarter.

The decrease in other receivables, surplus property and deferred costs is due to the disposal of Fairway Surplus Property of \$16.2m. Cash received of \$15,421,861 for the sale is recorded as deferred revenue – unearned. No loss was recorded as a result of this transaction.

Treasury/Banking Investments (Exhibit VII): Report amounts were agreed to the trial balance. Below is a summary of changes from current year Q3 to Q4.

	Q4	Q3	%
	6.30.2019	3.31.2019	Increase/ (Decrease)
Cash	\$20,017,881	\$32,353,214	-38.1%
Investments	\$28,563,460	\$28,452,200	0.4%
Receivables	\$49,051,439	\$17,271,496	184.0%
Other Receivables, Surplus Property and Deferred Costs	\$16,574,658	\$29,270,140	-43.4%
Liabilities	\$100,835,722	\$93,932,841	7.3%
Fund Balance before PERS Liability	\$13,371,716	\$13,414,209	-0.3%

Review of COG Cash Balances, including LAIF

CLA was provided a Comparative Summary Balance Sheet for Q4 and Q3. Cash balances and Local Agency Investment Fund (LAIF) were verified with the Citizens Business Bank account statements for the period ended June 30, 2019 and the LAIF statement as of June 2019. Overall, COG's cash and cash equivalents increased 14% since prior quarter from \$1,287,443 at the end of Q3 to \$1,471,828 for the period ended June 30, 2019.

	Q4	Q3	%
	6.30.2019	3.31.2019	Increase/ (Decrease)
Cash	\$1,471,828	\$1,287,443	14.3%
Receivables	\$1,536,965	\$505,842	203.8%
Prepays and Deferrals	\$160,774	\$204,089	-21.2%
Liabilities	\$1,948,440	\$934,507	108.5%
Net Position, End of Period	\$1,221,127	\$1,062,867	14.9%

COG's bank account reconciliations and coordinating bank statements were reviewed and corroborated with the trial balance for the quarter ended June 30, 2019.

Review of COG 4th Quarter 2019 Reports

All balances on the Comparative Summary Balance Sheet as of June 30, 2019 were verified against the period trial balance as of June 30, 2019. The Grants Receivable Aging Detail report as of June 2019 was also verified to the trial balance.

Conclusion

As discussed herein, no instances that would cause concern that the quarterly reports prepared by ACE and COG are inaccurate or inadequate to meet the governance needs of

the Executive Committee and the Board of Directors were noted, specifically related to the sections of the Joint Powers Agreement effective March 12, 2007 included herein.

- Section 4. Purpose and Powers of the Council. The Council shall have, and may exercise the powers to:
 - Subsection b(4) utilize member resources or presently existing single purpose public and public/private groups to carry out its programs and projects;
 - Subsection b(8) serve as a mechanism for obtaining state, federal and regional grants to assist in financing the expenditures of the Council;
 - Subsection b(9) make and enter into contracts, including contracts for the services of engineers, consultants, planners, attorneys and single purpose public/private groups;
 - Subsection b(11) apply for, receive and administer a grant or grants under any federal, state, or regional programs;
 - Subsection b(12) receive gifts, contributions and donations of property, funds, services and other forms of financial assistance from persons, firms, corporations and any governmental entity;
- Section 6. Use of Public Funds and Property. The Council shall be empowered to utilize for its purposes, public and/or private funds, property and other resources received from the Members and/or from other sources.
- Section 17. Control and Investment of Council Funds. The Governing Board shall adopt a policy for the control and investment of its funds and shall require strict compliance with such policy. The policy shall comply, in all respects, with all provisions of applicable law.



Renee S. Graves, CPA, CGFM
Principal
CliftonLarsonAllen LLP

II. Background and Scope of Engagement

A. Engagement Background and Objectives

CliftonLarsonAllen LLP (CLA), was retained by the San Gabriel Valley Council of Governments. Throughout the engagement, CLA maintained regular contact with the Executive Director, Marisa Creter, and ACE's Director of Finance, Maritza Ramos.

B. Professional Standards

CLA performed this engagement in accordance with the American Institute of Certified Public Accountants (AICPA) Statements on Standards for Consulting Services. In consulting engagements, the nature and scope of work is determined solely by the agreement between the practitioner (CLA) and the client. The analysis and report does not constitute an audit, compilation, review, agreed-upon procedures or examination in accordance with Standards of the AICPA, the objective of which would be the expression of an opinion on any specified elements, accounts, or items. Accordingly, CLA does not express any assurance.

C. Scope of Engagement

Attachment A to our agreement includes possible consulting procedures to be performed on a monthly basis. Correspondence between Renee Graves of CLA and SGVCOG's Executive Director, Marisa Creter, and ACE's Director of Finance, Maritza Ramos, occurred to clarify the scope of the engagement for the quarter ending June 30, 2019. The following areas were agreed to regarding 4th Quarter 2019 Reports prepared as of June 30, 2019.

ACE

1. Investments and Cash Balances
2. Review the 4th Quarter 2019 Report as of June 30, 2019
2. Information reported in the Quarterly Report reconciles to the general ledger

COG

1. Cash Balances, including LAIF
2. Review the 4th Quarter 2019 Report as of June 30, 2019
3. Information reported in the Quarterly Report reconciles to the general ledger

D. General Disclosures

CLA created or obtained various electronic files in order to prepare this report to the Executive Committee; the electronic files are available upon request.

Dollar values included in this report have been rounded; therefore the numbers included here may differ slightly when comparing the values included in this report to the underlying detail.

E. Background Information

San Gabriel Valley Council of Governments (COG)

The San Gabriel Valley Council of Governments (SGVCOG) is a joint powers authority made up of representatives from 31 cities, three Los Angeles County Supervisorial Districts, and the three Municipal Water Districts located in the San Gabriel Valley. The SGVCOG serves as a regional voice for its member agencies and works to improve the quality of life for the more than two million residents living in the San Gabriel Valley. The SGVCOG works on issues of importance to its member agencies, including transportation, housing, economic development, the environment, and water, and seeks to address these regionally.

The SGVCOG is the largest and most diverse sub-regional council of governments in Los Angeles County. It encompasses more than 374 square miles and has more than two million residents.

While each of the communities has a unique character and history, they have also many shared issues and have developed a unified voice to maximize resources, achieve sustainable solutions, and advocate for regional and member interests to improve the quality of life in the San Gabriel Valley.

Alameda Corridor-East Construction Authority (ACE)

The Alameda Corridor-East (ACE) Construction Authority is a single purpose construction authority established by the San Gabriel Valley Council of Governments in 1998 to implement a construction program intended to mitigate the adverse impacts at rail-roadway crossings in the San Gabriel Valley of increasing rail traffic along the nationally significant ACE Trade Corridor. Train counts through the Valley are projected to nearly double by the year 2035 as increasing numbers of freight trains carry freight to and from the nation's busiest container ports in the San Pedro Bay.

The ACE Project is a comprehensive program of constructing grade separations, where the road goes over or under the railroad, and safety and mobility upgrades at 53 crossings in the San Gabriel Valley. Construction has been completed on 8 rail-roadway grade separations. Eight grade separations are under construction with four grade separations and pedestrian crossing safety program at four crossings starting in two years. Jump Start safety improvements have been completed at 40 at-grade crossings.

F. Acronyms Used

ACE - Alameda Corridor-East Construction Authority
CM – Construction Management
COG – San Gabriel Valley Council of Governments
LAIF – Local Agency Investment Fund
MTA – Metropolitan Transit Authority
PERS – Public Employee’s Retirement System
ROW – Right of Way

III. Review of identified areas for ACE

A. Review of Investments and Cash Balances

The June 30, 2019 Fixed Income Investments Portfolio report was verified with the Citizens Trust statement for the period of June 1, 2019 through June 30, 2019 and the LAIF statements as of June 30, 2019. The amounts reported as the market value and the current book value on the June 30, 2019 Fixed Income Investments Portfolio report were reconciled. The allocation of investments within the pool by the type of investment is consistent with the current Investment Policy.

Due to the daily volatility of investments, the balance is reported on a cost basis during the fiscal year and adjusted to fair value during the year end closing process. The applicable balances for the fiscal year ending June 30, 2019 are:

- Trial balance amount of \$25,625,137 is offset by a “change in market value” account balance of \$1,289,421 for a balance of \$26,914,558.
- Book value and market value per Citizens Trust Investment Statement is \$26,914,558 and \$26,817,293, respectively.
- Exhibit VII Treasury/ Banking Investments Report amount of \$26,914,558.

B. Review of Information Provided by ACE

Exhibit V ACE Expenditures vs. Reimbursements and Exhibit VII Treasury/ Banking Investments reports as of June 30, 2019 were obtained and verified against the preliminary trial balance as of June 30, 2019.

Exhibit V ACE Expenditures vs. Reimbursements Report

Amounts shown under the reimbursement status for the categories of 1) *Current/ 30 days or less* of \$31.7m; 2) *Aged Receivable* of \$2.070m; 3) *To be billed* of \$13.277m; and 4) *MTA retention* of \$2.003m were verified against the accounts listed on the trial balance. The *Current/ 30 days or less* and *MTA retention* balances are each in a separate general ledger account. The *To be billed* amount is the aggregate balance of approximately 28 accounts for each individual project by various phases (CM, ROW, Design, Construction, Construction Management and Betterment). These amounts were verified with the accounts listed on the trial balance.

Exhibit VII Treasury/ Banking Investments Report

Each of the accounts listed on Exhibit VII were verified with the trial balance. Consistent with the previous quarterly report, Exhibit VII presents *other receivables, prepaids, surplus property and deferred costs* at the gross aggregate amount of \$16.57m from approximately 13 separate accounts, the most significant accounts being Surplus Property of \$11.1m. The applicable balances for the quarter ending June 30, 2019 are as shown herein.

Other receivables	\$	892,521
Notes receivable		150,000
Unbilled receivables		1,979,852
Prepaid expenses		243,874
Intercompany receivable		1,314,339
Surplus property		11,092,243
Deferred costs - indirect		2,191,250
Unrealized change in investments		(1,289,421)
	\$	<u>16,574,658</u>

The PERS unfunded termination liability of \$6.347m was obtained from the September 30, 2016 CalPERS Actuarial Valuation Report. This liability is not reflected on the trial balance, but is shown on Exhibit VII to reflect the payout that could occur should ACE cease to exist. The actuarially determined PERS net pension liability, including the related deferred outflows and deferred inflows would be reported on the June 30, 2019 audited financial statements in accordance with applicable GASB standards.

IV. Review of identified areas for COG

A. Review of Cash Balances, including LAIF

The June 30, 2019 Bank and LAIF balances reported on the Comparative Summary Balance Sheet were verified against each Citizens Business Bank statement and LAIF statement as of June 30, 2019.

B. Review of Fourth Quarter 2019 Reports

As of June 30, 2019, the Comparative Summary Balance Sheet report was verified to the trial balance. Individual balances on the trial balance were verified to reconciled bank statements and to the LAIF statement at June 30, 2019. All amounts reported were verified to the trial balance. The allocation of investments within the pool by the type of investment is consistent with the current Investment Policy.

Grants Receivable Aging Detail Report

The Grants Receivable Aging Detail report was reconciled to the trial balance. As of June 30, the receivable balance was \$1,342,618. As reported by ACE's Director of Finance, \$1,146,973 was collected subsequent to the June 30 quarter end. The most significant receivable is \$1,138,844 from CalTrans Bike Share for June 2019. Management believes that all amounts are reasonably expected to be collected. The aged receivable balance is as shown herein.

Aged 30 days	\$ 1,240,736	92.4%
Aged 31-60 days	37,471	2.8%
Aged 61-90 days	31,030	2.3%
Aged 91+ days	33,381	2.5%
	<u>\$ 1,342,618</u>	

The balance of the remaining receivables of \$195,645 as of the date of this report is a decrease compared to the amount of remaining receivables in the second quarter report of \$406,097.

CitiCard Credit Card Charges

The CitiCard Credit Card Charges report provides a breakdown of the types of purchases made by credit card. Purchases for the period of April 2019 to June 2019 totaled \$35,441. During the quarter, \$7,197 was expended on meetings and travel, an 18% decrease from last year's Q4 meetings and travel spending of \$6,119.

Quarterly purchases by credit card compared to the prior year quarter and a breakdown of fiscal year cumulative credit card purchases through June 30, 2019 is shown herein.

	Q4	Q4	%
	06.30.2019	06.30.2018	Increase/ (Decrease)
Total Credit Card Expenditures - Current Quarter	\$35,441	\$20,468	73.2%

	YTD	YTD	%
	06.30.2019	06.30.2018	Increase/ (Decrease)
Total Credit Card Expenditures - Year-to-Date	\$73,747	\$62,890	17.3%

	<u>Q4</u>	<u>Q4</u>	<u>YTD</u>	<u>YTD</u>
	<u>06.30.2019</u>	<u>06.30.2018</u>	<u>06.30.2019</u>	<u>06.30.2018</u>
Administrative Fees	\$ -	\$ -	12	48
Energy Wise - Gas Co	146	650	936	1,531
Energy Wise - SCE	220	975	1,405	2,204
Equipment & Soft Acquisition	1,925	5,273	1,925	7,799
General Assembly Event	17,884	1,854	23,503	14,216
Homelessness Program - LAC	29	-	2,739	2,631
Maintenance & Operating Expenses	-	-	-	1,161
Meetings/Travel	6,241	5,031	16,421	14,048
Meetings/Travel - Board	956	1,088	4,289	4,568
Miscellaneous	161	-	161	-
Office Supplies	1,022	1,472	3,293	4,229
Open Streets - Event Day	-	2,320	-	2,320
Postage	65	110	327	132
Postage - Board	45	67	232	381
Prepaid expenses	2,893	167	6,312	1,506
Printing and Publications	19	-	19	-
Recruitment	33	-	1,983	175
Staff Training	-	-	1,732	-
Subscriptions	20	-	20	370
Utilities	854	806	3,599	3,477
Webpage/ Software Services	2,928	655	4,839	2,094
	<u>\$ 35,441</u>	<u>\$ 20,468</u>	<u>\$ 73,747</u>	<u>\$ 62,890</u>

Purchases by credit card are utilized for efficiencies in procurement of goods and services.

Budget to Actual Comparison Report

Budget v. Actual

Actual balances through June 30, 2019 were reconciled to the trial balance. In the fiscal year, COG had total year-to-date expenses totaling 132% of the 2019 fiscal budget, ending the fiscal year with \$262,698 net income. Member dues comprise approximately 94% of the operating revenue for FY 2019. As of June 30, Member Dues revenue was 27% of the 2019 total fiscal revenue.

A comparison of the quarterly budget, fiscal budget and percent of fiscal budget is presented herein.

	Q4 Actual 2018-2019	FY 2019 Revised Budget 2018-2019	Year-To-Date % of Budget 2018-19
Membership Dues	\$ 754,007	\$ 754,007	100%
Sponsorships	35,075	50,000	70%
Hero Program	5,059	6,000	84%
Interest	5,804	2,050	283%
Grants & Special Projects	<u>2,044,645</u>	<u>1,161,082</u>	<u>176%</u>
Total Revenue	<u><u>\$2,844,590</u></u>	<u><u>\$1,973,139</u></u>	<u><u>144%</u></u>
Personnel	\$ 381,661	\$ 382,858	100%
Board & Employee Expenses	22,309	60,000	37%
Professional Services	346,167	346,980	100%
Other Expenses	<u>173,478</u>	<u>248,927</u>	<u>70%</u>
Total Indirect Expenses	<u><u>\$ 923,615</u></u>	<u><u>\$ 1,038,765</u></u>	<u><u>89%</u></u>
Personnel	\$ 238,136	\$ 351,563	68%
Program Management	<u>1,420,141</u>	<u>561,071</u>	<u>253%</u>
Total Direct Expenses	<u><u>\$ 1,658,277</u></u>	<u><u>\$ 912,634</u></u>	<u><u>182%</u></u>
Total Expenditures	<u><u>2,581,892</u></u>	<u><u>1,951,399</u></u>	<u><u>132%</u></u>
Net Income (Loss)	<u><u>\$ 262,698</u></u>	<u><u>\$ 21,740</u></u>	<u><u>N/A</u></u>

V. List of Exhibits

ACE – Exhibit V – Expenditures vs. Reimbursements as of June 30, 2019

ACE – Exhibit VII – Treasury/ Banking Investments, Investments Portfolio and
Summary as of June 30, 2019

COG –2019 3rd Quarter Reports as of June 30, 2019 – Comparative
Summary Balance Sheet, Grants Receivable Aging Detail, CitiCard
Charges and Budget Report

Exhibit V

ACE Expenditures vs. Reimbursements

As of June 30 , 2019

Projects	Reimbursement Status (\$ 000)					
	ITD Expenditures	Received	Current / 30 Days or less	Aged Receivable	To Be Billed	MTA Retention
At Grade Crossing	\$ 3,712	\$ 3,145	\$ 381	\$ -	\$ 177	\$ 9
Durfee	37,001	29,580	5,661	-	1,426	334
Fairway Drive	118,464	110,342	7,244	-	705	173
Fairway-Lemon Betterment	21,888	19,000	-	-	2,888	-
Fullerton	78,128	71,844	2,622	-	3,193	469
Montebello	19,016	8,679	9,378	-	919	40
Maple Ave.	579	553	5	-	17	3
Montebello At Grade	192	151	34	-	7	0
Nogales (LA)	119,644	115,624	-	2,070	1,490	460
Puente Ave.	88,176	86,177	1,439	-	467	94
SG Trench	292,933	287,829	4,037	-	1,021	46
Temple	94,722	94,503	-	-	-	219
Turnbull Cyn.	5,060	3,040	899	-	968	154
Baldwin	70,365	70,363	-	-	-	2
Brea Canyon	73,459	73,459	-	-	-	-
Crossing Safety / IRRIS	34,343	34,343	-	-	-	-
EE/Reservoir	78,960	78,960	-	-	-	-
Hamilton	1,789	1,789	-	-	-	-
Nogales (AH)	49,797	49,797	-	-	-	-
Ramona	53,091	53,091	-	-	-	-
Sunset	93,794	93,794	-	-	-	-
Sub-total Projects	\$ 1,335,113	\$ 1,286,062	\$31,701	\$2,070	\$13,277	\$2,003
Project Administration	20,457	20,043	323	-	91	-
Total ACE	\$ 1,355,570	\$ 1,306,105	\$ 32,024	\$ 2,070	\$ 13,369	\$ 2,003
Non-Grade Separation						
Rio Hondo	232	-	-	-	-	-
	\$ 1,355,802	\$ 1,306,105	\$ 32,024	\$ 2,070	\$ 13,369	\$ 2,003

Exhibit VII

Treasury / Banking Investments

As of June 30, 2019

	<u>06.30.2019</u>	<u>Change</u>	<u>03.31.2019</u>
<u>Cash on hand</u>			
Operating Account	\$ (5,866,078)	\$ (8,432,083)	\$ 2,566,005
Money Market Account (2)	18,134,062	(3,910,900)	22,044,961
Money Market (UPRR Contributions)	7,749,897	7,649	7,742,248
Total cash on hand	20,017,881	(12,335,333)	32,353,214
<u>Investments</u>			
LAIF	1,648,902	10,279	1,638,623
CBT - Fixed Income at cost	26,914,558	100,981	26,813,577
Total investments	28,563,460	111,260	28,452,200
<u>Current - 30 days or less</u>	31,701,002	-	3,977,650
<u>Aged Receivable</u>	2,070,123	-	2,070,123
<u>To Be Billed</u>	13,277,469	3,675,693	9,601,776
<u>MTA Retention</u>	2,002,845	380,898	1,621,947
Total Exhibit V	49,051,439	4,056,591	17,271,496
Other receivables, unsold surplus properties, and deferred costs	16,574,659	(12,695,481)	29,270,140
Total Cash, Cash Equivalents & Receivables	114,207,439	(20,862,964)	107,347,050
<u>Liabilities</u>			
Payables & other Accruals	11,338,408	8,736,128	2,602,280
Unearned revenues	44,497,315 (a.)	(1,833,247)	46,330,562
MTA Working Capital Loan	45,000,000	-	45,000,000
Total liabilities	100,835,722	6,902,881	93,932,841
<u>Fund balance</u>			
Resources net of actual liabilities	13,371,716	(42,493)	13,414,209
Less estimated:			
CalPERS - Hypothetical termination liability	6,347,036 (b.)	-	6,347,036
Resources net of estimated liabilities	\$ 7,024,680 (c.)	\$ (42,493)	\$ 7,067,173

- a.) Represents surplus property appraised value, net proceeds from sale of ROW surplus properties, advanced UPRR funding, disallowed retention, and Betterment funds billed in advance to City of Industry for Fairway Drive and Fullerton projects.
- b.) Updated based on CalPERS's annual valuation report as of June 30, 2017.
- c.) Decrease represents increase in 06/30/2018 hypothetical termination liability and effect of prior year's deficiency of expenses over revenues

Exhibit VII

Treasury / Banking Investments

As of June 30, 2019

Deposit/ Investment Amount 06.30.19	% of Invest- ments	Bank Deposits	Maximum Maturity	Maximum Percent of Portfolio	Maximum Investment in One Issuer
		Ace deposits are held by Citizens Business Bank (CBB) under a deposit agreement in amounts not to exceed \$50 million. Under the agreement, CBB maintains collateral deposits of at least 110% of the value of all ACE deposits at Bank of New York Mellon in eligible securities. The CBB deposits accounts are:			
\$ (5,866,078)		Checking Account			
25,883,959		Money Market Accounts (3) *			
20,017,881		Total Deposits			
		Permitted Investments **			
13,246,614	46.38%	Government Securities (1.00 - 5.00 years)	5 years	50%	15%<=
7,495,669	26.24%	Corporate Bonds (0.83 - 4.99 years)	5 years	30%	10%<=
1,096,910	3.84%	Gov't Mortgages (4.13 - 4.80 years)	5 years	15%	None stated
347,455	1.22%	Municipals (1.94 - 4.27 years)	None stated	None stated	None stated
3,183,114	11.14%	CDs (2.95 - 5.00 years)	5 years	30%	10%<=
1,544,795	5.41%	Cash and Cash Equivalents	None stated	None stated	None stated
26,914,558	94.23%	Subtotal Investments - Book value *			
1,648,902	5.77%	State's Local Agency Investment Fund	None stated	None stated	None stated
28,563,460	100.00%	Total Investments			
\$ 48,581,341		Total			

* Note: Includes \$32,779,139 of available unearned revenues

** Complies with SGVCOG Investment Policy

Fixed Income Investments Portfolio

June 30, 2019

Cusip	Name	Coupon	Yield to Maturity	Purchase Date	Maturity Date	Current Price	Par Value	Market Value	Current Book Value			
3136G4EV1	Fannie Mae	1.63	1.702	10/28/2016	10/28/2021	99.632	250,000	249,080	249,898			
3136G3C78	Fannie Mae	1.55	1.643	7/28/2016	7/28/2021	99.553	200,000	199,106	200,000			
880591EL2	Tenn Valley Authority DTD	3.88	3.100	9/19/2016	2/15/2021	103.174	500,000	515,870	525,109			
46.38% Government Securities (1.00 - 5.00 years)							13,250,000	13,236,581	13,246,614			
87164YML5	Synchrony Bank DTD	1.55	1.797	6/29/2016	6/29/2021	98.824	250,000	247,060	249,463			
05580AFA7	BMW Bank North America	1.20	1.270	9/13/2016	8/26/2019	99.799	250,000	249,498	250,000			
02006LM42	Ally Bank Medium	1.30	1.388	9/15/2016	9/16/2019	99.743	250,000	249,358	250,000			
140420QF0	Capital One Bank USA	2.15	2.158	7/7/2015	10/16/2019	99.967	163,000	162,946	163,116			
02587DWK0	American Expr Centurion	2.20	2.204	7/1/2015	11/29/2019	99.982	170,000	169,969	170,158			
05580ACZ5	BMW Bk North. America DTD	2.20	2.183	10/8/2015	9/30/2020	100.082	250,000	250,205	249,950			
140420F21	Capital One Bank	1.65	1.997	9/14/2016	9/14/2021	98.356	250,000	245,890	250,000			
140420YS3	Capital One Bank Medium	1.60	1.790	5/4/2016	5/4/2021	99.095	250,000	247,738	250,238			
949763AW6	Wells Fargo Bank	1.30	1.369	9/14/2016	9/16/2019	99.797	250,000	249,493	250,000			
619165GX5	Morton Community Bank	1.60	1.763	12/16/2016	6/16/2020	99.450	200,000	198,900	200,000			
14042RAR2	Capital One NA Medium	2.20	2.182	10/8/2015	10/7/2020	100.083	200,000	200,166	199,230			
254672W20	Discover Bank DTD	1.85	1.977	12/14/2016	12/14/2020	99.514	200,000	199,028	200,000			
9497485W3	Wells Fargo Bank CD	1.75	1.994	6/17/2016	6/17/2021	98.842	250,000	247,105	250,960			
949763AZ9	Wells Fargo Bank	1.65	1.907	9/14/2016	9/14/2021	98.781	250,000	246,953	250,000			
11.14% CDs (2.95 - 5.00 years)							3,183,000	3,164,307	3,183,114			
69353REX2	PNC Bank NA Series	1.45	1.534	9/28/2018	7/29/2019	99.931	500,000	499,655	496,974			
06406HCW7	Bank of NY Mellon Corp	2.30	2.297	9/18/2018	9/11/2019	100.003	500,000	500,015	499,163			
713448BN7	PepsiCo Inc	4.50	4.229	1/29/2015	1/15/2020	101.203	100,000	101,203	102,552			
94988J5L7	Wells Fargo Bank NA	2.40	2.376	9/28/2018	1/15/2020	100.030	250,000	250,075	248,529			
291011BC7	Emerson Electric Co	4.25	2.951	9/28/2018	11/15/2020	102.667	280,000	287,468	284,445			
911312AMB	United Parcel Service DTD	3.13	2.781	6/10/2016	1/15/2021	101.475	185,000	187,729	190,631			
440452AE0	Hormel Foods Corp	4.13	3.387	1/31/2017	4/15/2021	102.869	500,000	514,345	517,216			
14912L6U0	Caterpillar Financial Serv Corp	1.70	1.925	9/9/2016	8/9/2021	98.948	1,746,000	1,727,632	1,738,545			
02665WBG5	American Honda Finance	1.70	1.943	9/15/2016	9/9/2021	98.851	1,400,000	1,383,914	1,392,034			
06406RAA5	Bank of NY Mellon Corp	2.60	2.352	10/18/2017	2/7/2022	101.009	1,011,000	1,021,201	1,019,479			
166764AT7	Chevron	2.41	2.181	7/26/2017	3/3/2022	101.004	370,000	373,715	372,490			
244199BE4	Deere & Co	2.60	2.340	7/26/2017	6/8/2022	101.190	150,000	151,785	151,854			
037833DC1	Apple Inc	2.10	2.033	9/25/2018	9/12/2022	100.253	500,000	501,265	481,756			
26.24% Corporate Bonds (0.83 - 4.99 years)							100.107	7,492,000	7,500,001	7,495,669		
31407RTU8	Fannie Mae Pool #838563	5.00	4.418	4/29/2016	10/1/2020	102.318	21,742	22,246	23,087			
3137A6B27	Freddie Mac	4.33	3.776	9/7/2016	10/25/2020	102.113	155,324	158,605	171,584			
3137A8PP7	Freddie Mac	4.19	3.563	9/7/2016	12/25/2020	102.465	321,608	329,535	355,226			
3137ABFH9	Freddie Mac	3.99	3.390	9/7/2016	6/25/2021	102.631	496,000	509,049	547,013			
3.84% Gov't Mortgages (4.13 - 4.80 years)							102.489	994,674	1,019,434	1,096,910		
13066YTZ2	California State Dept of Water Resources PV	2.00	1.979	1/22/2018	5/1/2022	100.085	150,000	150,128	147,378			
13063DFZ6	California State Dept of Water Resources PV	2.60	2.342	4/25/2018	4/1/2020	100.486	100,000	100,486	100,073			
13063DGA0	California State Dept of Water Resources PV	2.80	2.248	4/25/2018	4/1/2021	101.561	100,000	101,561	100,003			
1.22% Municipals (1.94 - 4.27 years)							100.621	350,000	352,175	347,455		
31607A208	Fidelity Prime Mon Mar-Ins	1.00		10/7/2015		100.000	1,544,795	1,544,795	1,544,795			
5.41% Cash and Cash Equivalents							1,544,795	1,544,795	1,544,795			
TOTAL (Dollars)							\$	26,814,469	\$	26,817,293	\$	26,914,558

SAN GABRIEL VALLEY COUNCIL OF GOVERNMENTS
 Comparative Summary Balance Sheet
 As of June 30, 2019

	06.30.2019	Change	03.31.19
CBB - Checking	\$ 1,121,348	\$ 182,875	\$ 938,473
CBB- 242-034-325 CD	55,673	14	55,659
CBB - 2766 Savings	1,591	1	1,590
CBB -242-034-953 CD	54,906	14	54,892
Petty Cash	400	-	400
LAIF	237,825	1,483	236,342
LAIF Maket Value	86	(0)	86
Cash and equivalents	<u>1,471,828</u>	<u>184,386</u>	<u>1,287,442</u>
Member Receivable	-	-	-
Grants/Contracts Receivable	1,342,618	936,520	406,098
Sponsorships Receivable	-	(1,125)	1,125
Rental Deposit Receivable	5,489	0	5,489
Unbilled Grant Receivable	96,240	61,066	35,174
Receivables - other	92,618	34,662	57,956
Receivables	<u>1,536,965</u>	<u>1,031,123</u>	<u>505,842</u>
Prepays and deferrals	<u>160,775</u>	<u>(43,314)</u>	<u>204,089</u>
Total assets	<u>3,169,567</u>	<u>1,172,194</u>	<u>1,997,373</u>
Accounts Payable	43,241	31,086	12,155
Citi Bank Card	5,088	642	4,446
Payroll Payable	12,607	12,226	381
Accrued Vacation	34,976	(1,560)	36,536
Unearned Revenues - Member Cities Dues	-	(206,984)	206,984
Accruals, deferrals and other payables	1,852,528	1,178,522	674,006
Total liabilities	<u>1,948,440</u>	<u>1,013,932</u>	<u>934,508</u>
Net Position, beginning of period **	958,429	(0)	958,429
Change in net position	262,698	158,260	104,438
Net Position, end of period	<u>\$ 1,221,127</u>	<u>\$ 158,261</u>	<u>\$ 1,062,867</u>

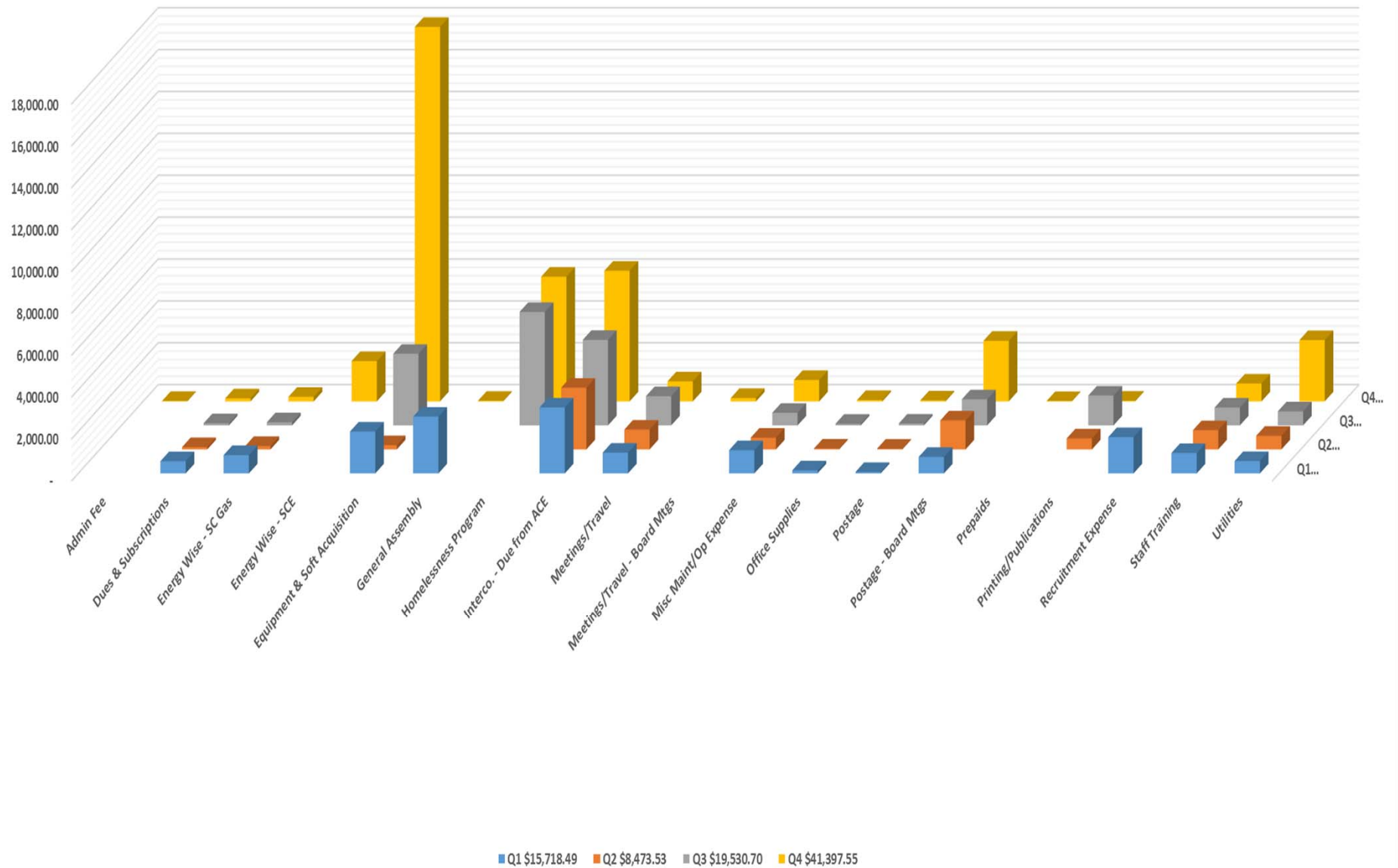
San Gabriel Valley Council of Governments

Grants Receivable Aging Summary

As of June 30, 2019

Month	SC Edison - Energy Wise	SC Gas - Energy Wise	SC Edison - EAP	SC Gas - EAP	MTA - Board Suppt	LA County - Homelessness Planing Grant	Caltrans - BikeShare	Various Cities - Service Delivery Cost Study	Totals	Notes
Jan-19	-	3,789	-	-	-	-	-		3,789	
Feb-19	-	2,823	4,922	3,292	-	-	-		11,037	
Mar-19	-	2,951	-	2,437	-	13,167	-	-	18,555	
Apr-19	8,599	5,721	2,122	1,421	-	13,167	-	-	31,030	
May-19	6,280	4,163	7,956	5,905	-	13,167	-	-	37,471	
Jun-19	5,230	5,562	5,811	4,067	8,129	13,167	1,138,844	59,925	1,240,736	
	\$ 20,109	\$ 25,009	\$ 20,812	\$ 17,123	\$ 8,129	\$ 52,667	\$ 1,138,844	\$ 59,925	\$ 1,342,618	

CITICARD CHARGES



SAN GABRIEL VALLEY COUNCIL OF GOVERNMENTS
Consolidated Budget to Actual
 FY 2019 Second Quarter Report
 As of June 30, 2019

	Jul 18 - Jun 19 Actual		FY 2019 Budget	% of Budget
1	General Operating Income			
2	\$	754,007	\$ 754,007	100%
3		35,075	50,000	70%
4		5,059	6,000	84%
5		5,803	2,050	283%
6	\$	799,945	\$ 812,057	99%
7				
8	Grants & Special Project Income			
9		97,549	96,611	101%
10		158,000	158,000	100%
11		174,246	288,400	60%
12		31,185	32,000	97%
13		1,265,015	10,000	12650%
14		224,150	483,071	46%
15		94,500	93,000	102%
16	\$	2,044,645	\$ 1,161,082	176%
17	\$	2,844,590	\$ 1,973,139	144%
18				
19	Indirect Expenses			
20		381,661	382,858	100%
21		22,309	60,000	37%
22		346,167	346,980	100%
23		173,478	248,927	70%
24	\$	923,615	\$ 1,038,765	89%
25				
26	Direct Expenses			
27	\$	238,136	351,563	68%
28	\$	1,420,141	561,071	253%
29	\$	1,658,277	\$ 912,634	182%
30	\$	2,581,892	\$ 1,951,399	132%
31	\$	262,698	\$ 21,740	N/A

* Includes: Cost Comparison/Joint Study - LAC

** Due to project funding deadline this amount for the Bike Share project was not included in the budget for the SGVCOG since the details were not available. The cash flow for this project will be managed by ACE.

REPORT

DATE: November 4, 2019
TO: Executive Committee
FROM: Marisa Creter, Executive Director
RE: **AUDIT STATUS REPORT**

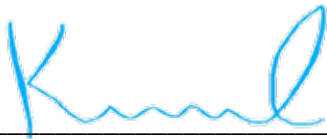
RECCOMENDED ACTION

Receive and file.

BACKGROUND

On an ongoing basis, the SGVCOG conducts audits on the agency's professional services contracts to verify that billable rates are being billed correctly. When discrepancies are discovered, audit staff will withhold disputed amounts from future progress or final retention payments on these contracts. Attachment A summarizes the activities by SGVCOG audit staff from July 1, 2019 to September 30, 2019. Rey Alimoren, SGVCOG Audits Manager will provide a presentation on this item.

Prepared by:



Katie Ward
Senior Management Analyst

Approved by:



Marisa Creter
Executive Director

ATTACHMENTS

Attachment A – Audit Status Report

Attachment A

CONTRACT AUDIT STATUS REPORT, *through 09/30/2019*

AUDITOR	COMPLETED AUDITS QUARTER/TOTAL	AMOUNT RECOVERED THIS QTR	AMOUNT RECOVERED TOTAL	AUDITS TO BE DONE
MTA (5/01-6/06)	0 / 130	\$ 0	\$ 427,520	0
SGVCOG (4/08-Present)	3 / 203	\$ 567,685	\$ 5,336,165	14*
TOTAL	3 / 333	\$ 567,685	\$ 5,763,685	14

	PREVIOUS QUARTER	ADD(DEDUCT) THIS QUARTER	LESS: COMPLETED	TO BE DONE
Interim	4	0	2	2
For Close-out	6	0	1	5
Post Award	7	0	0	7
Internal Audit	0	0	0	0
TOTAL (SGVCOG)	17	0	3	14

CONTRACT AUDIT STATUS REPORT, *through 09/30/2019*

BERG & ASSOCIATES-CM SERVICES (FULLERTON GS PROJECT)

QUESTIONED COSTS		
Labor:		
Employees	\$ 319,507	
PM/Scheduler	\$ 639,625	\$ 959,132
Independent Contractors		(\$ 552,423)
Other Direct Cost		\$ 0
TOTAL QUESTIONED COSTS:		\$ 406,709

REPORT

DATE: November 4, 2019
TO: Executive Committee
FROM: Marisa Creter, Executive Director
RE: **GOVERNING BOARD MEETING TIME**

RECCOMENDED ACTION

Discuss and provide direction to staff.


BACKGROUND


In the recent months, staff has encountered issues with scheduling the evening Governing Board meeting. The issue is primarily around finding locations that facilitate night meetings with staff availability. Additionally, evening traffic has been raised as a concern by Board members to arrive at the meeting on time. In an effort to address this issue, staff has conducted research of other regional agencies meeting times/dates. Table 1 summarizes the meeting times/dates for these regional meetings.

Agency	Meeting Day	Meeting Time
Southern California Association of Governments	First Thursday of the month	12:15 PM
Clean Power Alliance	First Thursday of the month	2:00 PM
Metro Gold Line Foothill Extension Construction Authority	Second and/or fourth Wednesday of the month	12:00 PM
Sanitation Districts of Los Angeles County	Second and fourth Wednesday of the month	1:30 PM
San Gabriel Valley Mosquito and Vector Control District	Second Friday of the month	7:00 AM
San Gabriel and Lower LA River Mountains Conservancy	Fourth Monday of every other month	1:30 PM
Los Angeles County Metropolitan Transportation Authority	Fourth Thursday of the month	10:00 AM.
Foothill Transit	Fourth Friday or last Friday of the month	7:45 AM
League of California Cities	Various times throughout the year	6:00 PM

Table 1. Regional Meeting Dates and Times.

As the list indicates, most of these regional meetings take place during the morning or afternoon. Staff is recommending polling Governing Board members to see if there is interest and Board member availability to change the Governing Board time to a morning (10:00 AM), lunch (12:00 PM) or afternoon (4:00 PM) time on the Third Thursday of the month. Additionally, staff will also gather feedback on having the Foothill Transit Office as the new meeting location.

Prepared by: 
Katie Ward
Senior Management Analyst

Approved by: 
Marisa Creter
Executive Director

DATE: November 4, 2019

TO: SGVCOG Governing Board Members and Alternates

FROM: Marisa Creter, Executive Director

RE: **REVISED ACE PROJECT BUDGET ESTIMATES**

RECOMMENDED ACTIONS

Adopt Resolution 19-47 approving the revised cost estimate for the ACE Project to \$1.8 billion.

SUMMARY

Given that it has been twelve years since the project cost was updated, staff felt it appropriate for the Governing Board to formally approve the current cost estimate of \$1.8 billion. The cost increase from the 2007 adopted cost estimate of \$1.4 billion is based on the following factors:

1. **Construction cost inflation.** Since 2007 construction costs have increased 34%. The past two years have seen bid increases up to 20% over estimated costs as was witnessed with the recent opening of bids for the Gold Line and the Durfee Road Grade Separation Project. Staff has projected out to the anticipated completion year of 2024 for the remaining ACE projects as well as incorporating actual bids received for projects completed or currently under construction and revised the overall estimated project cost.
2. **Real Estate.** After the recession of 2008 when real estate prices fell, the real estate market re-bounded and costs for real estate acquisitions in the past three years have gone up significantly, especially in the commercial land uses.
3. **Project scope changes.** In 2011, the Governing Board approved an ACE Project Phase II study which updated the original ACE project study done in 1997. This study added the Durfee Avenue Grade Separation Project which was not included in the original Phase I study.

When combined, the above factors have increased the total estimated costs for the ACE Program from \$1.4 billion to \$1.8 billion. A breakdown of the individual project costs is shown in Attachment A attached to this report.

BACKGROUND

The ACE Project cost was adopted by the San Gabriel Valley Council of Governments in 1997 at \$950 million in 1997 dollars. The schedule called for the project to be fully funded by June 2004

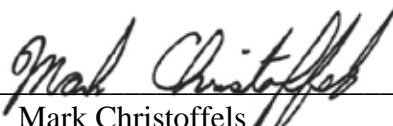
and completed by 2008. On February 2007 the Governing Board adopted a revised cost estimate of \$1.4 billion which reflected a revised completion date of 2014 and the following factors:


1. **Inflation** - The 1997 cost estimate did not include inflation allowances. While the rate of construction inflation in the early years of the project was relatively modest, it had increased by 113% for the year 2007.
2. **Agency Overhead** - The original cost estimates did not provide for agency overhead. Since the project had no source of revenue other than project funding, additional costs were added.
3. **UPRR Force Account** - Track and signal system reconstruction on the operating railroad, as well as flagging protection, must be performed by the UPRR and is only done on a fully reimbursable basis. The original project cost estimate severely underestimated these costs.
4. **Real Estate/Relocation** - The original cost estimate did not anticipate the increase in real estate costs.
5. **Scope Changes** – As projects were refined from their concepts presented in 1997, costs were adjusted to reflect these design changes.

Given that it has been twelve years since the project cost was updated, staff felt it appropriate for the Governing Board to formally approve a revised estimated cost estimate of \$1.8 billion as outlined in the Summary Section above.

BUDGET IMPACT

The current adopted ACE Program has secured \$1,818,519,000 in funding as shown in Attachment B. Funds committed do not reflect value of properties held by the agency, pending UPRR contributions, Section 130 funding, Measure M funding, or additional Prop C match funds from Metro that staff is currently working on. Current projected costs are \$1,886,312,000. Staff believes with these additional funds the current \$67 million ACE Program funding gap can be closed.

Prepared by: 
Mark Christoffels
Chief Engineer

Approved by: 
Marisa Creter
Executive Director

ATTACHMENTS

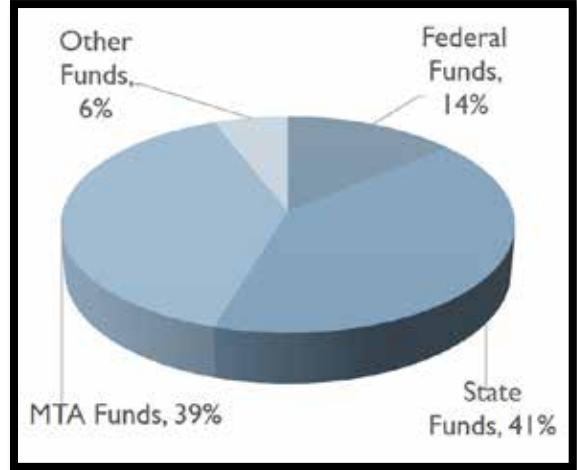
- Attachment A – Individual ACE Project Estimates
- Attachment B – ACE Project Secured Funding
- Attachment C – Resolution 19-47

Attachment A
(shown in \$ millions)

<u>Active ACE Projects</u>		<u>Completed ACE Projects</u>	
At-Grade Pomona	\$ 24,196	Baldwin Ave.	\$ 70.400
Durfee Ave.	\$ 107.841	Brea Canyon Rd.	\$ 73.900
Fairway Dr.	\$ 224.824	Safety Crossings/IRRIS	\$ 34.200
Fullerton Rd.	\$ 159.526	East End/Reservoir St.	\$ 79.000
Montebello Blvd	\$ 179.954	Hamilton Blvd.	\$ 1.800
Turnbull Cyn. Rd.	\$ 86.246	Nogales St. (Alh.)	\$ 49.800
Maple Ave Ped Bridge	\$25.470	Nogales St. (LA)	\$ 120.772
At Grade Montebello	\$3.046	Ramona Ave.	\$ 53.100
		Sunset Ave.	\$ 93.900
		Puente Ave.	\$ 97.378
		San Gabriel Trench	\$ 293.427
		Temple Ave.	\$ 94.708
		<i>Total Cost of Projects:</i>	\$ 1,886.312

Attachment B
(shown in \$ millions)

Federal	\$ 244.691
State	\$ 744.089
MTA	\$ 698.719
Other	\$ 131.020



Total funds committed: \$ 1,818.519

RESOLUTION NO. 19-47

**A RESOLUTION OF THE GOVERNING BOARD OF THE SAN
GABRIEL VALLEY COUNCIL OF GOVERNMENTS (SGVCOG)
APPROVING THE REVISED ACE PROJECT BUDGET**

WHEREAS, the ACE Project estimates of \$1.4 billion were approved on February 12, 2007; and

WHEREAS, cost estimates are updated periodically to reflect current factors and trends; and

WHEREAS, the revised cost estimates have increased due to construction cost inflation, real estate and project scope changes.

NOW, THEREFORE, the Governing Board of the SGVCOG hereby approves the \$1,886.312 million total revised ACE Project budget estimates as shown in Exhibit A.

PASSED AND ADOPTED by the Governing Board of San Gabriel Valley Council of Governments, in the County of Los Angeles, State of California, on the 21st day of November 2019.

San Gabriel Valley Council of Governments

Cynthia Sternquist, President

Resolution No. 19-47

Page 2 of 3

Attest:

I, Marisa Creter, Executive Director and Secretary of the Board of Directors of the San Gabriel Valley Council of Governments, do hereby certify that Resolution 19-47 was adopted at a regular meeting of the Governing Board held on the 21st day of November 2019 by the following vote:

AYES:	
NOES:	
ABSTAIN:	
ABSENT:	

Marisa Creter, Secretary

Exhibit A
(shown in \$ millions)

<u>Active ACE Projects</u>		<u>Completed ACE Projects</u>	
At-Grade Pomona	\$ 24,196	Baldwin Ave.	\$ 70.400
Durfee Ave.	\$ 107.841	Brea Canyon Rd.	\$ 73.900
Fairway Dr.	\$ 224.824	Safety Crossings/IRRIS	\$ 34.200
Fullerton Rd.	\$ 159.526	East End/Reservoir St.	\$ 79.000
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At Grade Montebello	\$3.046	Ramona Ave.	\$ 53.100
		Sunset Ave.	\$ 93.900
		Puente Ave.	\$ 97.378
		San Gabriel Trench	\$ 293.427
		Temple Ave.	\$ 94.708
		<i>Total Cost of Projects:</i>	\$ 1,886.312

REPORT

DATE: November 4, 2019

TO: Executive Committee
Homeless Committee
City Managers' Steering Committee
Governing Board

FROM: Marisa Creter, Executive Director

RE: **STATE HOMELESS FUNDING AND MEASURE H INNOVATION FUNDS PROGRAMMING RECOMMENDATIONS**

RECOMMENDED ACTIONS

Recommend that the Governing Board take the following actions:

1. Approve the recommended use of State Homeless Funds and Measure H Innovation Funds across the following programming categories, for submittal to the State and the County:

Category	State Funds	Innovation Funds	TOTAL
Regional Housing Trust (Capital)	\$1,000,000	\$350,000	\$1,350,000
Regional Housing Trust (Operations)	-	\$200,000	\$200,000
Cities' Homeless Plans (Implementation)	\$3,300,000	-	\$3,300,000
Cities' Homeless Plans (Development)	\$50,000	-	\$50,000
Landlord Education/Outreach and Incentive Program	\$400,000	-	\$400,000
Other Regional Work	\$400,000	-	\$400,000
Homelessness prevention and diversion programs	\$50,000	\$400,000	\$450,000
Pilot Programs	\$35,000	\$265,000	\$300,000
Master Leasing	\$100,000	\$250,000	\$350,000
Administration	\$290,000	\$76,876	\$361,876
TOTAL	\$5,625,000	\$1,541,876	\$7,166,876

2. Pending approval from oversight agencies (State and County), authorize SGVCOG staff to directly administer \$2,333,438 in funding to implement the following programs on a regional level:
 - Regional Housing Trust (\$1,550,000),
 - Cities' homeless plans sub-regional activities (\$200,000); and

- Other regional work (including collaboration, advocacy, nonprofit capacity building, regional data projects and targeting messaging campaigns, technical assistance) (\$400,000).
3. Pending approval from oversight agencies (State and County), authorize staff to coordinate with the cities to develop a strategy (e.g. competitive or formula) to allocate \$4,833,438 in funding to cities for the following programs:
 - Cities' homeless plans (implementation) (\$3,100,000);
 - Cities' homeless plans (development) (\$50,000);
 - Landlord education/outreach and rental support (\$400,000);
 - Homeless prevention and diversion (\$450,000);
 - Pilot programs (\$300,000) and
 - Master leasing (\$350,000).
 4. Authorize staff to allocate up to \$366,876 (5%) to be used for administrative costs to be split across admin lead and contracting agencies.
 5. Authorize staff to undertake any associated procurements necessary to implement the programs described above.

BACKGROUND

On June 27, 2019, Governor Newsom signed the 2019 Budget Trailer bill which contained \$5,625,000 in funding for homeless programs for the San Gabriel Valley to be administered by the SGVCOG. This funding was included at the request of Senator Rubio, and SGVCOG staff has been meeting with her office to reach concurrence about the programs that should receive funding through these monies.

On September 10, 2019, the County Board of Supervisors (BOS) approved a motion by Supervisors Barger and Solis directing the LA County Chief Executive Officer (CEO) to provide a framework for the allocation and administration of \$6,000,000 in Measure H funding for the County's Councils of Governments. The framework calls for an allotment of \$1,541,876 to the SGVCOG. That funding may be used for two Priority Areas: 1) increase supply of interim and permanent housing for people experiencing homelessness and 2) enhance County service systems for those experiencing or at-risk of homelessness. The COG convened in-person meetings and conference calls with cities throughout October to collect input on the potential uses of these funds and on the process for administering them.

Currently, these sources are both one-time funds. The SGVCOG anticipates that future funding will be dependent upon their successful use that demonstrates measurable assistance for those who are homeless or at-risk of homelessness. A key goal will be demonstrable outcomes that exceed current efforts in reducing homelessness relative to cost.

Below is a brief description of each program recommended for funding under the State and HI funding.

Category	State Funds	Innovation Funds	TOTAL
Regional Housing Trust (Capital)	\$1,000,000	\$350,000	\$1,350,000
Regional Housing Trust (Operations)	-	\$200,000	\$200,000
Cities' Homeless Plans (Implementation)	\$3,300,000	-	\$3,300,000
Cities' Homeless Plans (Development)	\$50,000	-	\$50,000
Landlord Education/Outreach and Incentive Program	\$400,000	-	\$400,000
Other Regional Work	\$400,000	-	\$400,000
Homelessness prevention and diversion programs	\$50,000	\$400,000	\$450,000
Pilot Programs	\$35,000	\$265,000	\$300,000
Master Leasing	\$100,000	\$250,000	\$350,000
Administration	\$290,000	\$76,876	\$366,876
TOTAL	\$5,625,000	\$1,541,876	\$7,166,876

Regional Housing Trust (Capital)

- State Funds: \$1,000,000
- HI Funds: \$350,000
- Total Funds: \$1,350,000
- Description of Activity: These funds would be administered by the San Gabriel Valley Regional Housing Trust (SGVRHT). The focus would be on projects that could be completed quickly to provide immediate assistance to homeless individuals and families as well as those at imminent risk of homelessness. Examples of potential project types to be funded could include rehabilitation of abandoned homes; development of vacant city-owned properties; rehabilitation of motels or apartments; or providing interim/crisis (shelter) housing.

Regional Housing Trust (Operations)

- State Funds: \$0
- HI Funds: \$200,000
- Total Funds: \$200,000
- Description of Activity: These funds would be administered by the SGVRHT and would develop an initial housing pipeline of projects that are currently in development or underway. The Housing Pipeline would also identify the funding and resource gaps for these projects in order to help identify those that could be good candidates for SGVRHT Capital funding.

Cities' Homeless Plans (Implementation)

- State Funds: \$3,300,000
- HI Funds: \$0
- Total Funds: \$3,300,000
- Description of Activity: These funds would allow for the implementation of strategies included in cities' adopted homeless plans. These funds would

emphasize funding flexibility and accessibility. Staff is recommending that there be no requirement for matching funds and that at least some of these funds be allocated to the cities via a formula that is agreed upon by the cities. In order to demonstrate results, staff is recommending that cities be required to incorporate data collection and performance metrics into their scopes of work.

Cities' Homeless Plans (Development)

- State Funds: \$50,000
- HI Funds: \$0
- Total Funds: \$50,000
- Description of Activity: These funds would be used to assist those cities that have not yet completed homelessness plans. Approximately one-third of the cities in the San Gabriel Valley have not yet developed homelessness plans, and a few of those cities have since expressed interest in doing so. This limited funding could be used to assist those cities in developing plans by providing a template, guidelines, and other technical assistance.

Landlord Education/Outreach and Incentive Program

- State Funds: \$400,000
- HI Funds: \$0
- Total Funds: \$400,000
- Description of Activity: These funds would be used to encourage landlords to rent to homeless or low-income individuals in order to increase the supply of units available to these individuals and families. Activities may include the following: holding an apartment until an eligible homeless household completes the documentation required to enter the housing; offering participating landlords reimbursement for short-term vacancies and minor unit repairs when they rent their home or apartment to a low-income renter with a housing voucher; workshops for landlords, realtors and property managers; and direct outreach to obtain rental units for those with Housing Choice Vouchers or VASH vouchers or other homeless or at-risk populations.

Other Regional Work

- State Funds: \$400,000
- HI Funds: \$0
- Total Funds: \$400,000
- Description of Activity: These funds would be used for various regional activities including advocacy, nonprofit capacity building, regional data projects, technical assistance and communications projects targeting specific subpopulations.

Homeless Prevention and Diversion Programs

- State Funds: \$50,000
- HI Funds: \$400,000
- Total Funds: \$450,000
- Description of Activity: These funds would be used for activities that prevent individuals and families from becoming homeless. Prevention activities may include housing relocation and stabilization services, short and medium-term rental assistance, rental arrearages, rental application fees, security deposits, advance payment of the last month's rental, utility deposits and payments, moving costs,

housing search and placement, housing stability case management, mediation, tenant legal services, and credit repair. Additionally, funding may be used for diversion training and certification.

Pilot Programs


- State Funds: \$35,000
- HI Funds: \$265,000
- Total Funds: \$300,000
- Description of Activity: These funds would be used as a small, competitively awarded pool of funds for pilot programs that implement innovative direct homeless solutions with demonstrable outcomes. Pilot projects would focus on data collection, performance metrics and replicability.


Master Leasing

- State Funds: \$100,000
- HI Funds: \$250,000
- Total Funds: \$350,000
- Description of Activity: These funds would be used to provide funding to cities or experienced non-profit providers to master lease one or more motel or apartment units for use as crisis, interim, or transitional housing. In addition to the cost of the unit, the cost of outreach workers, security and services would be eligible expenses.

Once the proposed programming is approved by the Governing Board, staff will coordinate the County to develop an approved workplan for the HI funds. Staff is still gathering information regarding the administrative approval process for the State funding but will use the Board direction to guide any scopes of work or other guiding documents that are developed associated with that funding.

Additionally, staff anticipates bringing back to the Governing Board a proposed funding award strategy for the funds that will be distributed to cities (e.g. grants and direct allocation formulas) as well as an additional staffing needs associated with the work to be completed by the COG. The SGVCOG Contracts Manager will work with staff to develop procurement processes, including RFPs, procurement timelines, and scopes of work for any work that will require consultants.

Prepared by: 
Jan Cicco
Regional Homelessness Coordinator


Caitlin Sims
Principal Management Analyst

Approved by: 
Marisa Creter
Executive Director

REPORT

DATE: November 4, 2019

TO: Executive Committee
City Managers' Steering Committee

FROM: Marisa Creter, Executive Director

RE: **REGIONAL HOUSING TRUST FUND NEXT STEPS**

RECOMMENDED ACTIONS

Recommend the Governing Board authorize the Executive Director to accept \$248,150 in funding and execute any necessary agreements to implement the set-up of the San Gabriel Valley Regional Housing Trust Fund.

BACKGROUND

Several cities and city cohorts applied for Measure H funds under Los Angeles County's City Planning and Homeless Program to explore the feasibility of forming a housing trust fund. Their requested amounts are found in the table below.

Cohort	Requested Amount
Pomona, Claremont, and La Verne	\$50,000
Baldwin Park, El Monte, and South El Monte	\$376,420
South Pasadena	\$115,000

SB 751 (Rubio) authorized the creation of the San Gabriel Valley Regional Housing Trust Fund (SGVRHTF), which would allow the cities in the San Gabriel Valley Council of Governments to form a joint powers authority (JPA) for the purposes of funding the planning and construction of homeless, extremely low, very low, and low income housing, receive public and private financing and funds, and authorize and issue bonds. Governor Newsom signed SB 751 in October 2019.

To facilitate that effort, the SGVCOG has been holding negotiation meetings with interested cities in order to develop a joint powers agreement. The finalized joint powers agreement would be considered by each City Council in considering whether to join the JPA. Three negotiation meetings have been held so far, each one focused on a different topic: the agreement recitals, liability, and the operation, funding, and staffing for the SGVRHTF. Future meetings will focus on the powers of the JPA, board composition and membership, stakeholder priorities, and the final joint powers agreement. Staff from approximately 17 cities has attended these negotiation meetings. Staff is also undertaking stakeholder outreach.

Each of these cohorts have indicated that their intent is for this funding to be used towards the creation of the San Gabriel Valley Regional Housing Trust. The cohort of Pomona, Claremont, and La Verne were awarded funding and are in the process of executing the agreement with the County of Los Angeles. At its City Council meeting on October 20, 2019, the City of Pomona – which is the lead applicant for that cohort's County funding – awarded a sole source contract to

the SGVCOG related to its funding and authorized the City Manager to execute the contract award and other documentation. The County is currently modifying its agreement with the City of Pomona to allow for the City to subcontract with the SGVCOG rather than with a development firm. Once that change is completed, the SGVCOG will be able to execute an agreement with the City of Pomona.

While the County had previously indicated that it was not yet ready to award the funding to the Baldwin Park, El Monte, and South El Monte cohort or the City of South Pasadena, Homeless Initiative (HI) staff has now indicated that it is prepared to award \$208,150 in funding to these cities to support the regional effort. The proposed amount is based on a concept paper and budget that the SGVCOG had previously submitted to the County indicating the anticipated costs for the pre-organization planning and agency launch. These costs include the following elements:

- Staffing to manage and oversee the effort;
- Consultants to perform initial background research, identify best practices, conduct a needs assessment and assist in facilitating extensive stakeholder and community outreach;
- Legal fees to develop bylaws and other legal documents;
- Operating to fulfill day-to-day implementation activities;
- Administration for oversight, management, and fiscal controls.


The majority of this funding would be used for staff time and legal support.

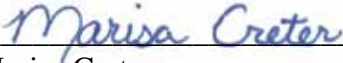
HI staff has indicated that the remaining requested funding – in the amount of \$293,270 – would be included as a contingency in the contract(s) for the remaining funds and would be made available once a budget is submitted. The SGVRHT Negotiations Working Group will discuss the proposed first-year operating budget at its negotiation meeting on November 6, so SGVCOG staff anticipates that an additional budget will be available following that meeting.

The County has indicated that it will likely execute contracts with Baldwin Park – as the lead of the Baldwin Park, South El Monte, and El Monte cohort – and South Pasadena. The cities and the SGVCOG have requested that the contract be executed directly between the County and the SGVCOG, and the SGVCOG is awaiting direction as to whether that will be possible.

The development of the SGVRHT is moving quickly, so to facilitate its continued rapid progress, staff is recommending that the City Managers' Steering Committee recommend that the Governing Board authorize the Executive Director to accept \$248,150 in funding and execute any necessary agreements to implement the set-up of the San Gabriel Valley Regional Housing Trust Fund. The SGVCOG will execute an agreement with the City of Pomona – on behalf of its cohort and will execute an agreement with the remaining parties.

REPORT

Prepared by: 
Caitlin Sims
Principal Management Analyst

Approved by: 
Marisa Creter
Executive Director



AGENDA AND NOTICE OF THE **SPECIAL** MEETING OF THE
SAN GABRIEL VALLEY COUNCIL OF GOVERNMENTS GOVERNING BOARD
NOVEMBER 21, 2019 - 5:30 P.M.
Foothill Transit Office
100 S. Vincent Ave., Suite 200, West Covina, CA

SGVCOG Officers

President
Cynthia Sternquist

1st Vice President
Margaret Clark

2nd Vice President
Becky Shevlin

3rd Vice President
Tim Hepburn

Members

Alhambra

Arcadia

Azusa

Baldwin Park

Bradbury

Claremont

Covina

Diamond Bar

Duarte

El Monte

Glendora

Industry

Irwindale

La Cañada Flintridge

La Puente

La Verne

Monrovia

Montebello

Monterey Park

Pomona

Rosemead

San Dimas

San Gabriel

San Marino

Sierra Madre

South El Monte

South Pasadena

Temple City

Walnut

West Covina

*First District, LA County
Unincorporated Communities*

*Fourth District, LA County
Unincorporated Communities*

*Fifth District, LA County
Unincorporated Communities*

SGV Water Districts

Thank you for participating in tonight's meeting. The Governing Board encourages public participation and invites you to share your views on agenda items.

MEETINGS: *Regular Meetings of the Governing Board are held on the third Thursday of each month at 5:30 PM at the Upper San Gabriel Valley Municipal Water District Office (602 E. Huntington Drive, Suite B, Monrovia, California 91016).* The Governing Board agenda packet is available at the San Gabriel Valley Council of Government's (SGVCOG) Office, 1000 South Fremont Avenue, Suite 10210, Alhambra, CA, and on the website, www.sgvco.org. Copies are available via email upon request (sgv@sgvco.org). Documents distributed to a majority of the Board after the posting will be available for review in the SGVCOG office and on the SGVCOG website. Your attendance at this public meeting may result in the recording of your voice.

PUBLIC PARTICIPATION: Your participation is welcomed and invited at all Governing Board meetings. Time is reserved at each regular meeting for those who wish to address the Board. SGVCOG requests that persons addressing the meeting refrain from making personal, slanderous, profane or disruptive remarks.

TO ADDRESS THE GOVERNING BOARD: At a regular meeting, the public may comment on any matter within the jurisdiction of the Board during the public comment period and may also comment on any agenda item at the time it is discussed. At a special meeting, the public may only comment on items that are on the agenda. Members of the public wishing to speak are asked to complete a comment card or simply rise to be recognized when the Chair asks for public comments to speak. We ask that members of the public state their name for the record and keep their remarks brief. There is a three-minute limit on all public comments. Proxies are not permitted, and individuals may not cede their comment time to other members of the public. **The Governing Board may not discuss or vote on items not on the agenda.**

AGENDA ITEMS: The Agenda contains the regular order of business of the Governing Board. Items on the Agenda have generally been reviewed and investigated by the staff in advance of the meeting so that the Governing Board can be fully informed about a matter before making its decision.

CONSENT CALENDAR: Items listed on the Consent Calendar are considered to be routine and will be acted upon by one motion. There will be no separate discussion on these items unless a Board member or citizen so requests. In this event, the item will be removed from the Consent Calendar and considered after the Consent Calendar. If you would like an item on the Consent Calendar discussed, simply tell Staff or a member of the Governing Board.



In compliance with the Americans with Disabilities Act, if you need special assistance to participate in this meeting, please contact the SGVCOG office at (626) 457-1800. Notification 48 hours prior to the meeting will enable the SGVCOG to make reasonable arrangement to ensure accessibility to this meeting.



PRELIMINARY BUSINESS

5 MINUTES

1. Call to Order
2. Pledge of Allegiance
3. Roll Call
4. Public Comment (*If necessary, the President may place reasonable time limits on all comments*)
5. Changes to Agenda Order: Identify emergency items arising after agenda posting and requiring action prior to next regular meeting

PRESENTATION

30 MINUTES

6. Stormwater Litigation Recap Presentation: Travis Van Ligten, Associate, Rutan & Tucker (TENTATIVE)
Recommended Action: For information only.

LIAISON REPORTS

10 MINUTES

7. Gold Line Foothill Extension Construction Authority
8. Foothill Transit
9. Los Angeles County Metropolitan Transportation Authority
10. San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy
11. San Gabriel Valley Mosquito & Vector Control District
12. Southern California Association of Governments
13. League of California Cities
14. San Gabriel Valley Economic Partnership
15. South Coast Air Quality Management District

PRESIDENT'S REPORT

5 MINUTES

EXECUTIVE DIRECTOR'S REPORT

5 MINUTES

GENERAL COUNSEL'S REPORT

5 MINUTES

COMMITTEE REPORTS

10 MINUTES

16. Transportation Committee
17. Homelessness Committee
18. Energy, Environment and Natural Resources Committee
19. Water Committee
20. Capital Projects and Construction Committee

CONSENT CALENDAR

5 MINUTES

(It is anticipated that the SGVCOG Governing Board may take action on the following matters)

21. Governing Board Meeting Minutes
Recommended Action: Adopt Governing Board minutes.
22. Monthly Cash Disbursements/Balances/Transfers
Recommended Action: Approve Monthly Cash Disbursements/Balances/Transfers.
23. Committee/TAC/Governing Board Attendance
Recommended Action: Receive and file.
24. Revised ACE Project Budget Estimates
Recommended Action: Adopt Resolution 19-47 approving the revised cost estimate for the ACE Project to \$1.8 billion.
25. 4th Quarter Financial and Treasurer Reports
Recommended Action: Receive and file.
26. Contract with SoCalGas
Recommended Action: Authorize the Executive Director to execute a contract with SoCalGas (SCG) to extend the San Valley Energy Wise Partnership (SGVEWP) through

December 31, 2020.

27. Committee/Partnership Agency Appointments

Recommended Action: Appoint the following members to standing SGVCOG Policy Committees, Technical Advisory Committees, and other partnership agency appointments:

- Public Works TAC: City of Baldwin Park

- Metro TAC: Frank A. Lopez (Monterey Park), Alternate

- Metro TAC Streets and Freeways Subcommittee: Robert Delgadillo (Azusa), Delegate

28. State Homeless Funding and Measure H Innovation Funding Programming

Recommendations

Recommended Actions:

1. *Approve the recommended use of State Homeless Funds and Measure H Innovation Funds.*

2. *Pending approval from oversight agencies (State and County), authorize SGVCOG staff to directly administer \$2,333,438 in funding to implement the following programs on a regional level:*

- *Regional Housing Trust (\$1,550,000),*
- *Cities' homeless plans sub-regional activities (\$200,000); and*
- *Other regional work (including collaboration, advocacy, nonprofit capacity building, regional data projects and targeting messaging campaigns, technical assistance) (\$400,000).*

3. *Pending approval from oversight agencies (State and County), authorize staff to coordinate with the cities to develop a strategy (e.g. competitive or formula) to allocate \$4,833,438 in funding to cities for the following programs:*

- *Cities' homeless plans (implementation) (\$3,100,000);*
- *Cities' homeless plans (development) (\$50,000);*
- *Landlord education/outreach and rental support (\$400,000);*
- *Homeless prevention and diversion (\$450,000);*
- *Pilot programs (\$300,000) and*
- *Master leasing (\$350,000).*

4. *Authorize staff to allocate up to \$366,876 (5%) to be used for administrative costs to be split across admin lead and contracting agencies.*

5. *Authorize staff to undertake any associated procurements necessary to implement the programs described above.*

29. Regional Housing Trust Fund Setup

Recommended Action: Authorize the Executive Director to accept up to \$248,150 in funding and execute any necessary agreements to implement the setup of the SGV Regional Housing Trust Fund.

PRESENTATION

30 MINUTES

30. 2019 Legislative Recap: Tim Egan, SGVCOG Legislative Consultant, Capital Representation Group; Paul Hubler, Director of Government and Community Relations, SGVCOG

Recommended Action: For information only.

ADJOURN