



AGENDA AND NOTICE OF THE REGULAR MEETING OF THE
SAN GABRIEL VALLEY COUNCIL OF GOVERNMENTS GOVERNING BOARD
MARCH 21, 2019 - 5:30 P.M.
Upper San Gabriel Valley Municipal Water District Office
602 E. Huntington Drive, Suite B, Monrovia, California 91016

SGVCOG Officers

President
Cynthia Sternquist

1st Vice President
Margaret Clark

2nd Vice President
Becky Shevlin

3rd Vice President
Tim Hepburn

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Alhambra
Arcadia
Azusa
Baldwin Park
Bradbury
Claremont
Covina
Diamond Bar
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El Monte
Glendora
Industry
Irwindale
La Cañada Flintridge
La Puente
La Verne
Monrovia
Montebello
Monterey Park
Pomona
Rosemead
San Dimas
San Gabriel
San Marino
Sierra Madre
South El Monte
South Pasadena
Temple City
Walnut
West Covina

First District, LA County
Unincorporated Communities

Fourth District, LA County
Unincorporated Communities

Fifth District, LA County
Unincorporated Communities

SGV Water Districts

Thank you for participating in tonight's meeting. The Governing Board encourages public participation and invites you to share your views on agenda items.

MEETINGS: *Regular Meetings of the Governing Board are held on the third Thursday of each month at 5:30 PM at the Upper San Gabriel Valley Municipal Water District Office (602 E. Huntington Drive, Suite B, Monrovia, California 91016).* The Governing Board agenda packet is available at the San Gabriel Valley Council of Government's (SGVCOG) Office, 1000 South Fremont Avenue, Suite 10210, Alhambra, CA, and on the website, www.sgvkog.org. Copies are available via email upon request (sgv@sgvkog.org). Documents distributed to a majority of the Board after the posting will be available for review in the SGVCOG office and on the SGVCOG website. Your attendance at this public meeting may result in the recording of your voice.

PUBLIC PARTICIPATION: Your participation is welcomed and invited at all Governing Board meetings. Time is reserved at each regular meeting for those who wish to address the Board. SGVCOG requests that persons addressing the meeting refrain from making personal, slanderous, profane or disruptive remarks.

TO ADDRESS THE GOVERNING BOARD: At a regular meeting, the public may comment on any matter within the jurisdiction of the Board during the public comment period and may also comment on any agenda item at the time it is discussed. At a special meeting, the public may only comment on items that are on the agenda. Members of the public wishing to speak are asked to complete a comment card or simply rise to be recognized when the Chair asks for public comments to speak. We ask that members of the public state their name for the record and keep their remarks brief. There is a three-minute limit on all public comments. Proxies are not permitted, and individuals may not cede their comment time to other members of the public. **The Governing Board may not discuss or vote on items not on the agenda.**

AGENDA ITEMS: The Agenda contains the regular order of business of the Governing Board. Items on the Agenda have generally been reviewed and investigated by the staff in advance of the meeting so that the Governing Board can be fully informed about a matter before making its decision.

CONSENT CALENDAR: Items listed on the Consent Calendar are considered to be routine and will be acted upon by one motion. There will be no separate discussion on these items unless a Board member or citizen so requests. In this event, the item will be removed from the Consent Calendar and considered after the Consent Calendar. If you would like an item on the Consent Calendar discussed, simply tell Staff or a member of the Governing Board.



In compliance with the Americans with Disabilities Act, if you need special assistance to participate in this meeting, please contact the SGVCOG office at (626) 457-1800. Notification 48 hours prior to the meeting will enable the SGVCOG to make reasonable arrangement to ensure accessibility to this meeting.



PRELIMINARY BUSINESS

5 MINUTES

1. Call to Order
2. Pledge of Allegiance
3. Roll Call
4. Public Comment (*If necessary, the President may place reasonable time limits on all comments*)
5. Changes to Agenda Order: Identify emergency items arising after agenda posting and requiring action prior to next regular meeting

LIAISON REPORTS

10 MINUTES

6. Gold Line Foothill Extension Construction Authority
7. Foothill Transit
8. Los Angeles County Metropolitan Transportation Authority
9. San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy
10. San Gabriel Valley Mosquito & Vector Control District
11. Southern California Association of Governments
12. League of California Cities
13. San Gabriel Valley Economic Partnership
14. South Coast Air Quality Management District

PRESIDENT'S REPORT

5 MINUTES

EXECUTIVE DIRECTOR'S REPORT

5 MINUTES

GENERAL COUNSEL'S REPORT

5 MINUTES

COMMITTEE REPORTS

10 MINUTES

15. Transportation Committee – Page 1
16. Homelessness Committee – Page 3
17. Energy, Environment and Natural Resources Committee – Page 5
18. Water Committee – Page 7
19. Capital Projects and Construction Committee – Page 9

PRESENTATION

15 MINUTES

20. Southern California Association of Governments' (SCAG) Connect SoCal – President Alan Wapner
Recommended Action: For information only.

CONSENT CALENDAR

5 MINUTES

(It is anticipated that the SGVCOG Governing Board may take action on the following matters)

21. Governing Board Meeting Minutes – Page 11
Recommended Action: Adopt Governing Board minutes.
22. Monthly Cash Disbursements/Balances/Transfers – Page 17
Recommended Action: Approve Monthly Cash Disbursements/Balances/Transfers.
23. Committee/TAC/Governing Board Attendance – Page 23
Recommended Action: Receive and file.
24. Committee Appointments – Page 33
Recommended Action: Appoint the following members to standing SGVCOG Policy Committees, and Technical Advisory Committees:
- Planning TAC: City of Baldwin Park
25. 1st Quarter Financial and Treasurer Reports – Page 35
Recommended Action: Receive and file.
26. AB 1204 (Rubio): Primary Drinking Water Standards Implementation Date – Page 59
Recommended Action: Adopt Resolution 19-05 supporting AB 1204 (Rubio).

27. AB 1093 (Rubio): Stormwater Financial Capability Analysis – Page 65
Recommended Action: Adopt Resolution 19-06 supporting AB 1093 (Rubio).
28. AB 755 (Holden): California Tire Fee/Stormwater Permit Compliance Fund – Page 73
Recommended Action: Adopt Resolution 19-07 supporting AB 755 (Holden).
29. Retirement Benefits – Page 87
Recommended Actions: 1) Authorize the Executive Director to establish a Section 115 Trust with CalPERS and place in it an amount of funding, from grants and other project funding sources (e.g. railroad contributions) equal to the remaining unfunded termination liability associated with employees working on the ACE Project when the ACE Project is closed out. This amount is currently estimated at \$7.3 - \$9.6 million. 2) Authorize staff to work with CalPERS staff to utilize the “Fresh Start” option to recalculate the unfunded accrued liability (UAL) amortization schedule from a 20-year schedule to a 5-year (or shorter) schedule to allow for accelerated payment of the UAL. 3) Adopt Resolution 19-08 and Resolution 19-09 to phase out the employer-paid member contributions (EPMC) for all Classic CalPERS members according to the following employee contribution schedule:
 - FY 19-20: 2%*
 - FY 20-21: 4%*
 - FY 21-22: 6%*
 - FY 22-23: 7%**4) Authorize staff to work with CalPERS staff to undertake all administrative processes necessary to allow for the employee contribution for Classic CalPERS members to equal 50% of normal costs beginning in FY 23-24.*
30. May Governing Board Date – Page 97
Recommended Action: Adopt Resolution 19-10 to change the date of the May Governing Board meeting to May 30, 2019.
31. FY 2019-20 Strategic Plan Update – Page 101
Recommended Action: Adopt Resolution 19-11 updating the SGVCOG strategic plan.
32. City of La Verne Gold Line Transit Oriented Development Pedestrian Bridge – Page 123
Recommended Actions:
 - 1) Assign the City of La Verne’s Gold Line Transit Oriented Development Pedestrian Bridge to the Capital Projects and Construction Committee.*
 - 2) Affirm authorization for the Chief Engineer to execute a funding agreement with Metro and other agreements needed to implement the City of La Verne’s Gold Line Transit Oriented Development Pedestrian Bridge.*

ACTION ITEMS

15 MINUTES

33. SGVCOG State and Federal Legislative Agenda – Page 125
Recommended Actions: 1) Adopt Resolution 19-12 affirming the SGVCOG’s 2019 State and Federal Legislative agenda and 2) Authorize Executive Director to execute a contract with Capital Representation Group, Inc for an amount not to exceed \$25,000 for services to support the SGVCOG’s State legislative agenda.

ANNOUNCEMENTS

ADJOURN

REPORT

DATE: March 21, 2019

TO: SGVCOG Governing Board Delegates & Alternates

FROM: Transportation Committee

RE: **MONTHLY REPORT**

FEBRUARY MEETING RECAP

On Thursday, February 21, 2019, the Transportation Committee convened its February meeting. There were four main agenda items for this meeting, including an election, two guest presentations, and a discussion item.

Election of new Vice Chair for the Remainder of FY 2018-2019 – At the beginning of the February Transportation Committee meeting, the Transportation Committee nominated and elected Vice Mayor Jason Pu of the City of San Gabriel as the new Vice Chair for the remainder of FY 2018-2019. We are excited to work closely with Vice Chair Pu in the future to promote the transportation interests and goals of the San Gabriel Valley, and we are grateful for his leadership and his eagerness to serve as Vice Chair.

California High Speed Rail Update – The first guest presentation at the Transportation Committee was given by Michelle Boehm, who is the Southern California Regional Director for the California High Speed Rail Authority. Ms. Boehm gave a presentation during which she provided an overview of the State of California's high-speed rail project. She discussed how the project will improve mobility statewide and beyond, since the high-speed rail project is part of an integrated, multi-tiered rail network. More to this point, she stressed how the high-speed rail needs to be built in order to connect the rail line to existing transit networks and to ensure that connections and mobility are more easily achievable. She also provided details and plans regarding the Share Connected Modern Rail Corridor from Burbank to Anaheim, including needed corridor improvements. This includes the Rosecrans Grade Separation project, which will enable 20 more trains per day to go through that section of track, which will allow for more consistent Metrolink service. Ms. Boehm also gave key and pertinent updates for the project, and also addressed, and provided clarification regarding, Governor Newsom's announcements that the high-speed rail project will be re-scoped and made smaller in scale for the first phase. She stated how there has been no change to this project in terms of its short-term goals. This includes completing the ongoing environmental work for the Southern California sections of the rail line, and finishing the Central Valley construction phase.

Metro Open Streets Grant Program, FY 2020 Mini-cycle – The second guest presentation pertained to LA Metro's newest cycle of Open Street Grant Program funding. Brett Atencio Thomas, who is a Senior Transportation Planner with LA Metro, provided the SGVCOG Transportation Committee with a presentation during which he gave an overview of the mini-cycle guidelines and application. He also answered a few questions on the Open Streets Grant Program that Committee members had. During the Q&A period, Mr. Thomas noted that jurisdictions which received Cycle 3 funding actually can apply for Mini-cycle funds, as long as the route of the new cycle is different from the Cycle 3 route. Additionally, Mr. Thomas clarified that any city or jurisdiction in LA County

can contact him or his office for grant-writing assistance. He also noted that the average grant award per event is about \$300,000.

LA Metro’s “Twenty-Eight by ‘28” Initiative – The last main agenda item for this Committee meeting was a discussion related to two LA Metro initiatives, the “Twenty-Eight by ‘28” Initiative, and the “Re-Imagining of LA County” Initiative; Committee Chair John Fasana led the discussion on this item. Since the International Olympic Committee (IOC) announced in the Summer of 2017 that Los Angeles would be hosting the 2028 Summer Olympic Games, LA Metro has been aggressively pursuing ideas to try to construct and complete as many transit and transportation projects as possible, in order to prepare LA to host a high volume of travelers and to prepare LA for a multi-modal and sustainable future. In 2018, Metro formally adopted its “twenty-Eight by ‘28” Initiative and its corresponding list of 28 projects, which come with a \$42.9 billion collective price tag. Based on revenue schedules for Measure M and other local transportation sales tax measures, eight of these projects would be unfunded by 2028, with a projected shortfall of \$26.2 billion. Thus, Metro needs to devise innovative funding and financing strategies in order to raise this very significant amount of funding. As a result, in January 2019, Metro staff put forth the “Re-Imagining of LA County” Initiative, which is a bold initiative which would potentially use congestion pricing and fees on TNCs and shared devices to raise revenue for transportation projects. Through this initiative, Metro staff says that Metro would be able to significantly and remarkably improve equity and mobility throughout LA County. Moreover, through feedback received from various interest groups, including the Metro Policy Advisory Council (PAC), it is likely that “Re-Imagining of LA County” will be decoupled from “Twenty-Eight by ‘28,” and distinguished as two separate initiatives. The Metro Board had further deliberation on this matter in February, too.

Transportation Committee Schedule

The Transportation Committee will not meet in March. In addition, due to the change in time of the SGVCOG Governing Board meetings moving forward, the Transportation Committee will meet on a new day and time moving forward, beginning in the month of April. SGVCOG staff is working with Transportation Committee members to determine the best new day and time for future meetings.

REPORT

DATE: March 21, 2019

TO: Governing Board Members & Alternates

FROM: Homelessness Committee

RE: **MONTHLY REPORT**

MONTHLY REPORT

Homelessness Committee Meeting: Members of the Measure H Citizens' Oversight Advisory Board attended and participated in this month's meeting. Rabbi Marvin Gross, Supervisor Barger's appointee to the Board, was joined by Dana Vanderford, Supervisor Barger's Homelessness Deputy, and provided insight into the work of the Board. To ensure public accountability regarding the use of Measure H funds, the Advisory Board reviews Measure H expenditure and outcomes reports. The five Board members, appointed by the Supervisors, have a wide range of experience in public service and the nonprofit sector. The Homelessness Committee also developed the following legislative priorities to submit as part of a legislative platform to the Governing Board: 1) Allocating State funding directly to cities to speed the implementation of local projects and reduce administrative layers 2) Supporting the establishment of a regional housing trust fund and 3) Amending the legal definition of gravely ill. The Committee also directed staff to track AB 34 (Wiener), formerly entitled, Homelessness: right to shelter, and now titled, Interim housing intervention developments. Updates were also provided on the 2018 City Implementation RFP Awards and resubmissions, next steps for exploring development of a Regional Housing Trust Fund and the HEAP RFP.

REPORT

DATE: March 21, 2019

TO: SGVCOG Governing Board Delegates & Alternates

FROM: EENR Committee

RE: MONTHLY REPORT

FEBRUARY MEETING RECAP

On February 20th, 2019, the SGVCOG Energy, Environment, and Natural Resources (EENR) Committee convened its February meeting at the Monrovia Community Center's Monroe Conference Room. There was a total of four presentations at this meeting.

Organics and Solid Waste Legislation for 2019 Legislative Cycle – Los Angeles County Department of Public Works Civil Engineer Clark Ajwani provided the first presentation of the meeting on key solid waste-related legislation that were introduced this year as well as the draft Senate Bill 1383 (Lara) organic waste reduction regulations that were available for public comment. Several key legislative topics introduced this legislative cycle include battery and mattress recycling, illegal dumping, biomass conversion, carbon tax, greenhouse gas reduction fund, single-use plastics, and public waste receptacles.

Overview of Recycling Management – Waste Management representatives Jason Roberts and Teri Muse provided the second presentation on recycling management and the impact of recent legislation at this meeting. The presentation covered the existing status of recycling recyclables and recent legislation that were passed to reduce waste and protect the environment. Mr. Roberts and Ms. Muse also presented on the process of recycling materials and provided recommendations on how cities can help with decreasing the contamination rate found in recyclables.

CalRecycle's SB 212 Informal Rulemaking Updates – SGVCOG staff Alexander Fung provided the third presentation of the meeting. This presentation covered the statutorily-mandated elements of SB 212, the bill's implementation timeline, and its rulemaking process. The California Department of Resources Recycling and Recovery (CalRecycle) recently held two informal rulemaking workshops regarding SB 212. Mr. Fung provided highlights and brief updates from these two informal rulemaking workshops and encouraged cities to review the existing SB 212 statutorily-mandated elements. Cities can learn more about SB 212 by visiting <https://www.calrecycle.ca.gov/epr/pharmasharps>.

EENR Legislative Priorities – At this meeting, SGVCOG staff Alexander Fung and Paul Hubler took the opportunity to present on the staff's recommended list of EENR legislative priorities for this year. Mr. Fung and Mr. Hubler presented a list of items that are related to issues of environment, energy, and natural resources to committee members for review, discussion, and approval. After a brief discussion, the Committee provided direction to staff regarding the legislative priorities with the following changes:

- Change the solid waste legislative priority of “encourage the diversification of solid waste management strategies, including conversion technology, that will create new markets and that are feasible given the landscape and conditions of the San Gabriel Valley” to “encourage the diversification of solid waste management strategies, including conversion technology, that will create new **domestic** markets and that are feasible given the landscape and conditions of the San Gabriel Valley;” and,
- Add “**promote public education of solid waste issues**” as a solid waste legislative priority.

The revised EENR legislative priorities were presented to the Governing Board members for review and approval at this Governing Board meeting.

REPORT

DATE: March 21, 2019

TO: Governing Board Members & Alternates

FROM: Water Policy Committee

RE: **MONTHLY REPORT**

MONTHLY REPORT

Water Committee Meeting: On March 12, the Water Policy Committee and Water TAC held their monthly meeting. Members were presented with three pieces of legislation that were the direct result of the stormwater/water legislative priorities adopted by the Water Committee/TAC in January and subsequently adopted by the Governing Board in February. First, AB 755 (Holden) would increase the tire recycling fee and the money would be used for stormwater cleanup. Second, AB 1093 (Rubio) establishes FCA guidelines for MS4 permittees that are adequate and consistent when considering the costs to local jurisdictions. Finally, AB 1204 (Rubio) would establish reasonable timelines for new regulatory water quality requirements enacted by the State Water Board. The Water Committee and TAC unanimously approved to recommend these pieces of legislation for Governing Board approval.

Additionally, the Committee and TAC received updates on Measure W. Self-selection of all the Watershed Area Steering Committee appointments have been made. The remaining Committee appointment positions are expected to be considered by the LA County Board of Supervisors in early April. Also, the low-income senior exemption form and the feasibility equivalency guidance are still in development. The County will look to the COG, Cities, and other willing parties to help share news/documents once available, in addition to having online and promoting elsewhere. Lastly, the Measure W implementation ordinance is still being drafted based on input from the time of the public hearing and subsequent meetings to date. The timeline for the public review period of the implementing ordinance is still to be determined.

REPORT

DATE: March 21, 2019

TO: Governing Board Members & Alternates

FROM: Capital Projects and Construction Committee

RE: **MONTHLY REPORT**

MONTHLY REPORT

Capital Projects and Construction Committee Meeting: The Capital Projects and Construction Committee met on February 25 and approved an award of contract with Gotcha Media Holdings for the San Gabriel Valley bikeshare program. Gotcha will provide 840 pedal-assisted bikes to the 12-15 interested cities. The \$1,926,500 three year contract approval also included authorization for an administrative amendment for to allow for the purchase of additional bicycles. The total CTC approved grant is \$4.55 million. The Committee also approved a contract amendment in the amount of \$530,966 to Jacobs Management to allow Jacobs to provide assistance to legal counsel with claims filed against the agency on various issues encountered during the San Gabriel Trench project. The claim was filed by the construction contractor, Walsh Construction, and, the claims may exceed \$11M, though staff remains optimistic.

SR 57-60 Project Agreement: LA Metro is in the process of executing an agreement for the right-of-way, utility relocation and construction phases of the State Route 57-60 Confluence Chokepoint Relief Project to be assigned to the SGVCOG. LA Metro is currently overseeing the design phase of the \$420 million project to construct new lanes and make other improvements to eliminate traffic weaving in the confluence zone, reducing collisions and congestion. The confluence is the second-highest truck accident location in Southern California and is ranked the fourth-most congested freight chokepoint in the nation by the American Transportation Research Institute.

Freight Funding: Staff attended a California Transportation Commission funding program workshop convened on March 12 to seek stakeholder input as the CTC considers programming five fiscal years of funding for key SB 1 discretionary grant programs. Of particular interest is the Trade Corridor Enhancement Program which provided funding in the initial grant round for the ACE, SR 71 and SR 57-60 projects, and which receives an estimated \$300 million in revenues annually.

Community Outreach Update: Staff conducted the following project outreach activities: Conducted ongoing community outreach and support activities for the Fairway Drive, Fullerton Road, Durfee Avenue, Turnbull Canyon Road and Montebello Corridor grade separation projects.



SGVCOG Governing Board Approved Minutes

Date: February 21, 2019

Time: 6:00 PM

Location: USGVMWD (602 E Huntington Dr, Monrovia, CA 91016)

PRELIMINARY BUSINESS

1. Call to Order

President Cynthia Sternquist called the meeting to order at 6:07 p.m.

2. Pledge of Allegiance

D. Mahmud led the Governing Board in the Pledge of Allegiance.

3. Roll Call

A quorum was in attendance.

Governing Board Members Present

Alhambra	David Mejia
Arcadia	Sho Tay
Claremont	Ed Reece
Diamond Bar	Nancy Lyons
Duarte	John Fasana
El Monte	Victoria Martinez Muela
Glendora	Judy Nelson
La Puente	Dan Holloway
La Verne	Tim Hepburn
Monrovia	Becky Shevlin
Monterey Park	Teresa Real Sebastian
Pomona	Tim Sandoval
Rosemead	Margaret Clark
San Dimas	Denis Bertone
Sierra Madre	John Capoccia
South El Monte	Gloria Olmos
South Pasadena	Diana Mahmud
Temple City	Cynthia Sternquist
Walnut	Allen Wu
West Covina	Tony Wu
LA County District 1	Florencio Briones
LA County District 4	Lauren Yokomizo
LA County District 5	Deborah Mendelsohn
SGV Water Districts	Carlos Goytia

Absent

Azusa
Baldwin Park
Bradbury
Covina
Industry
Irwindale
La Cañada Flintridge
Montebello
San Gabriel
San Marino

SGVCOG Staff

Marisa Creter, Executive Director
Kimberly Hall Barlow, General Counsel
Mark Christoffels, Staff
Stefanie Hernandez, Staff
Katie Ward, Staff
Paul Hubler, Staff
Deanna Stanley, Staff
Maritza Ramos, Staff
Peter Duyshart, Staff
Alexander Fung, Staff
Jan Cicco, Staff

4. Public Comment

- 1.) Deborah Mendelsohn, who represents LA County Supervisor Kathryn Barger on the SGVCOG Governing Board announced that she will be leaving Supervisor Barger's office after 35+ years of service to Los Angeles County and its Board of Supervisors. She stated how much she has appreciated working with the SGVCOG and its member cities over the years. She also thanked City leaders and

COG leaders for their hard work. Ms. Mendelsohn also introduced the new representative to the Governing Board for the 5th District of Los Angeles, Sandra, who will be promoted to Field Deputy next month.

5. Changes to Agenda Order

President C. Sternquist requested that the Closed Session item, Item 32, Conference with Labor Negotiators, be moved up to take place between Item 6 and the Liaison Reports, which begin at Item 7.

There was a motion to approve the request to have Item 32 (Closed Session item) moved to take place before Item 7 (M/S: T. Real Sebastian/M. Clark).

[Motion Passed]

AYES:	Alhambra, Arcadia, Claremont, Diamond Bar, Duarte, El Monte, Glendora, La Puente, La Verne, Monrovia, Monterey Park, Pomona, Rosemead, San Dimas, Sierra Madre, South El Monte, South Pasadena, Temple City, Walnut, West Covina, LA County District 1, LA County District 4, LA County District 5, SGV Water Districts
NOES:	
ABSTAIN:	
ABSENT:	Azusa, Baldwin Park, Bradbury, Covina, Industry, Irwindale, La Cañada Flintridge, Montebello, San Gabriel, San Marino

PRESENTATION

6. SCAQMD Voting District Authorization Legislation - Wayne Nastri, Executive Officer, South Coast Air Quality Management District (SCAQMD)

Wayne Nastri, an Executive Officer with the South Coast Air Quality Management District, gave a presentation to the Governing Board about a possible SCAQMD ballot measure and the related voting district authorization that would need to occur in a four-County region in order for the ballot measure to move forward.

CLOSED SESSION

32. CONFERENCE WITH LABOR NEGOTIATORS: Agency designated representatives: Marisa Creter, Kimberly Hall Barlow, Richard D. Jones, Dominic Lazzaretto, Bob Russi, and Brian Saeki; Unrepresented employees: All unrepresented employees pursuant to California Government Code section 54957.6.

After the Closed Session concluded, SGVCOG General Counsel K. Hall Barlow reported out that the Governing Board took no reportable action on this item, but did note that SGVCOG staff was given direction.

LIAISON REPORTS

7. Gold Line Foothill Extension Construction Authority
No report was given.

8. Foothill Transit

Y. Igawa of Foothill Transit provided this report. She discussed the Footnotes Newsletter, and she also announced how Foothill Transit has been partnering with Via and Metro on an on-demand mobility

program.

9. Los Angeles County Metropolitan Transportation Authority
No report was given.
10. San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy
J. Gonzalez of the Rivers and Mountains Conservancy (RMC) provided this report. He announced that the call for projects for Prop. 68 will open within a few weeks. He also announced that \$30 million in Prop. 1 funds will be officially allocated very soon.
11. San Gabriel Valley Mosquito & Vector Control District
No report was given.
12. Southern California Association of Governments
No report was given.
13. League of California Cities
No report was given.
14. San Gabriel Valley Economic Partnership
B. Jensen of the SGVEP gave this report. He announced the SGVEP Regional Economic Summit will be on April 4 at Cal Poly Pomona. Additionally, the SGVEP/SGVCOG Legislative Networking Reception will be at the Miller Coors Brewery in Irwindale on April 17.
15. South Coast Air Quality Management District
M. Cacciotti reported on the SCAQMD's Lawn Garden Equipment exchange program.

CONSENT CALENDAR

T. Real Sebastian of Monterey Park pulled Consent Calendar Item 21: Committee Meeting Times.

16. Governing Board Meeting Minutes
Recommended Action: Adopt Governing Board minutes.
17. Capital Projects Committee Minutes
Recommended Action: Receive and file.
18. Monthly Cash Disbursements/Balances/Transfers
Recommended Action: Approve Monthly Cash Disbursements/Balances/Transfers.
19. Committee/TAC/Governing Board Attendance
Recommended Action: Receive and file.
20. Committee Appointments
Recommended Action: Appoint the following members to standing SGVCOG Policy Committees, and Technical Advisory Committees:
 - *Capital Projects and Construction Committee: Southwest Alternate (Diana Mahmud, City of South Pasadena), Northeast Alternate (Ed Reece, City of Claremont)*
 - *EENR Committee: Los Angeles County Supervisorial District #1*
 - *Public Works TAC: City of Monterey Park*

- *Transportation Committee: City of Monterey Park*
- *Water TAC: City of Duarte*
- 22. Stormwater/Water Legislative Priorities 2019
Recommended Action: Adopt Resolution 19-03 supporting the 2019 stormwater state legislative priorities.
- 23. Measure H Funding Awards
Recommended Action: Authorize staff to submit a letter to request a meeting with members of the Board of Supervisors regarding Measure H funding.
- 24. Washington DC Travel – Item Carried to March Meeting
Recommended Action: Receive and file.
- 25. FY 18-19 Budget Amendment #1
Recommended action: Adopt Resolution 19-04 amending the FY 18-19 budget.

There was a motion to approve consent calendar items 16-20 and 22-25 (M/S: T. Sandoval/D. Bertone).

[Motion Passed]

AYES:	Alhambra, Arcadia, Claremont, Diamond Bar, Duarte, Glendora, La Verne, Monrovia, Monterey Park, Pomona, Rosemead, San Dimas, Sierra Madre, South El Monte, South Pasadena, Temple City, Walnut, West Covina, LA County District 1, LA County District 4, LA County District 5, SGV Water Districts
NOES:	
ABSTAIN:	
ABSENT:	Azusa, Baldwin Park, Bradbury, Covina, El Monte, Industry, Irwindale, La Cañada Flintridge, La Puente, Montebello, San Gabriel, San Marino

**Los Angeles County District 5 and Los Angeles County District 4 both abstained from Item 23: “Measure H Funding Awards.”*

21. Committee Meeting Times

There was extended discussion on this item. It was suggested that the SGVCOG move liaison reports and committee updates to the beginning of 5:30 PM Governing Board meetings, which would push back votes on Consent Calendar Items and Action Items until after 6:00 PM.

T. Real Sebastian made a motion to make a substitute amendment to keep the start times for Governing Board meetings at 6:00 PM. (M/S: T. Real Sebastian/C. Goytia).

[Motion Failed]

AYES:	Alhambra, Monterey Park, Rosemead, South El Monte, SGV Water Districts
NOES:	Arcadia, Claremont, Diamond Bar, Duarte, Glendora, La Verne, Monrovia, Pomona, San Dimas, Sierra Madre, South Pasadena, Temple City, Walnut, West Covina, LA County District 1, LA County District 4, LA County District 5
ABSTAIN:	
ABSENT:	Azusa, Baldwin Park, Bradbury, Covina, El Monte, Industry, Irwindale, La Cañada Flintridge, La Puente, Montebello, San Gabriel, San Marino

D. Mahmud then made a motion to Adopt Resolution 19-02, which includes moving Governing Board meetings to 5:30 PM (M/S: D. Mahmud/T. Sandoval).

[Motion Passed]

AYES:	Arcadia, Claremont, Diamond Bar, Duarte, Glendora, La Verne, Monrovia, Pomona, San Dimas, Sierra Madre, South Pasadena, Temple City, Walnut, West Covina, LA County District 1, LA County District 4, LA County District 5
NOES:	Alhambra, Monterey Park, Rosemead, South El Monte, SGV Water Districts
ABSTAIN:	
ABSENT:	Azusa, Baldwin Park, Bradbury, Covina, El Monte, Industry, Irwindale, La Cañada Flintridge, La Puente, Montebello, San Gabriel, San Marino

ACTION ITEMS

26. SGVCOG Strategic Plan Draft

K. Ward presented on the draft of the Strategic Plan

K. Ward then opened the item up for discussion and feedback from the Governing Board members. The Governing Board recommended that the term “joint-powers authority” be included in the language of the Strategic Plan. The Governing Board also pointed out that the COG could maybe add that the COG will work to facilitate coordination of a service delivery project within jurisdictions of the SGVCOG.

PRESIDENT’S REPORT

President Cynthia Sternquist reported on information regarding elected officials’ personal use of Facebook.

EXECUTIVE DIRECTOR’S REPORT

M. Creter reported on this item. She reminded Governing Board members that the SGVCOG General Assembly is coming up, and will be held on Wednesday, April 10, 2019, from 9:00 a.m. to 1:30 p.m. at the Pacific Palms Resort in the City of Industry. M. Creter encouraged Governing Board members to attend and to also help spread the word within their own cities.

GENERAL COUNSEL’S REPORT

No report was given.

ADJOURN

President Cynthia Sternquist adjourned the Governing Board meeting at 8:08 p.m.

SAN GABRIEL VALLEY COUNCIL OF GOVERNMENTS
Selected Asset Account Balances
As of February 28, 2019

Account Name	Balance 1/31/2019	Increase	Decrease	Net Change	Balance 2/28/19
CBB - Checking	\$ 1,188,649	\$ 20,863	\$ 48,418	\$ (27,555)	\$ 1,161,094
CBB- 242-034-325 CD	\$ 55,646	\$ -	\$ -	\$ -	\$ 55,646
CBB - 2766 Savings	\$ 1,589	\$ -	\$ -	\$ -	\$ 1,589
CBB -242-034-953 CD	\$ 54,879	\$ -	\$ -	\$ -	\$ 54,879
Petty Cash	\$ 400	\$ -	\$ -	\$ -	\$ 400
LAIF	\$ 236,343	\$ -	\$ -	\$ -	\$ 236,343
LAIF Maket Value	\$ 86	\$ -	\$ -	\$ -	\$ 86
Member Receivable	\$ -	\$ -	\$ -	\$ -	\$ -
Grants/Contracts Receivable	\$ 374,133	\$ 62,568	\$ 12,432	\$ 50,135	\$ 424,268
Sponsorships Receivable	\$ 8,275	\$ 1,225	\$ 7,950	\$ (6,725)	\$ 1,550
Rental Deposits Receivable	\$ 5,490	\$ -	\$ -	\$ -	\$ 5,490
Receivables - Other	\$ -	\$ -	\$ -	\$ -	\$ -
	<u>\$ 1,925,490</u>	<u>\$ 84,655</u>	<u>\$ 68,800</u>	<u>\$ 15,855</u>	<u>\$ 1,941,345</u>

ACE CONSTRUCTION AUTHORITY
Selected Asset Account Balances
As of February 28, 2019

Account Name	Balance 1/31/2019	Increase	Decrease	Net Change	Balance 2/28/19
Checking (CBB)	\$ 2,939,738	\$ 18,939,108	\$ 18,926,411	\$ 12,698	\$ 2,952,436
Sweep (CBB - Mutual Fund)	\$ 5,994,364	\$ 15,564,880	\$ 2,525,447	\$ 13,039,433	\$ 19,033,797
MTA Loan Interest Reimb	\$ 1,712,528	\$ 460	\$ -	\$ 460	\$ 1,712,988
UPPR Contribution Funds	\$ 7,738,018	\$ 2,078	\$ -	\$ 2,078	\$ 7,740,096
LAIF Operating (40 19 044)	\$ 334,727	\$ -	\$ -	\$ -	\$ 334,727
LAIF - Debt (11 19 031)	\$ 1,303,897	\$ -	\$ -	\$ -	\$ 1,303,897
Grants Receivable	\$ 19,326,428	\$ 1,000	\$ 15,761,812	\$ (15,760,812)	\$ 3,565,616
Retention Receivable - MTA	\$ 1,574,246	\$ -	\$ -	\$ -	\$ 1,574,246
	<u>\$ 40,923,946</u>	<u>\$ 34,507,526</u>	<u>\$ 37,213,669</u>	<u>\$ (2,706,144)</u>	<u>\$ 38,217,802</u>

SAN GABRIEL VALLEY COUNCIL OF GOVERNMENTS
Disbursements Report
February 2019

Transaction Date	Number/ Reference	Vendor Name	Description	Amount
2/5/2019	9895	RMR Awards	Plague for Mary Su (City of Walnut)	\$76.10
2/5/2019	9896	Vantagepoint Transfer Agents 3	ICMA Reimbursment PE 01.30.19 and PE 01.18.19	\$100.00
2/5/2019	EFT	Paychex	Payroll Period Ending PE 02.01.19	\$18,482.03
2/12/2019	9897	Peter Duyshart	Mileage Reimbursement-Jan'19	\$38.98
2/12/2019	9898	Pok Hin Fung	Mileage Reimbursement-Jan'19	\$79.86
2/12/2019	CITIPYMTFEB-19	Citi Card	Citi Card Payment	\$3,293.37
2/15/2019	EFT	Paychex	Payroll Period Ending PE 02.15.19	\$18,805.93
2/15/2019	EFT	Paychex	Payroll Processing Fee - Feb'19	\$50.00
2/26/2019	9899	Jan Cicco	Mileage Reimbursement-Jan'19	81.16
2/26/2019	9900	Sustainable Claremont	Claremont Earth Day 4/19/19	220.00
2/26/2019	9901	Vantagepoint Transfer Agents	ICMA Reimbursment PE 02.15.19	50.00
2/26/2019	9902	Image IV Systems	Cop Machine Rental - Feb'19	731.39
2/26/2019	9903	Elite-TRC-Alhambra Community	Monthly Rent - Mar'19	6,284.07
2/26/2019	EFT	Spectrum Business	Internet Provider	125.00
Total February 2019 Disbursements				\$ 48,417.89

NOTE: Chk#9904 2/26/19, please see Executive Director

ACE CONSTRUCTION AUTHORITY
Disbursements Report
February 2019

Transaction	Date	Check Number	Vendor Name	Description	Amount
	2/1/2019	ACH-ICMA PE 02	ICMA Retirement Trust - 457	ICMA_PE 02.01.19	\$6,278.14
	2/5/2019	EFT-CALPERS-UA	CALPERS	100000015567072/060	\$1,341.93
	2/7/2019	20277	Montebello Land & Water Compan	12-5600-DEC18	\$181.75
	2/7/2019	20280	AllyHealth	20181201-044959	\$40.00
	2/7/2019	20280	AllyHealth	20190101-047485	\$40.00
	2/7/2019	20280	AllyHealth	20190201-052397	\$30.00
	2/7/2019	20285	Industry Public Utilities	503889-DEC18	\$287.43
	2/7/2019	20282	Office Depot	264795169001	\$102.89
	2/7/2019	20282	Office Depot	267410267001	\$83.20
	2/7/2019	20281	Southern California Edison	2412668360-JAN19	\$34.56
	2/7/2019	20273	Valley Vista Services, Inc.	3516532	\$478.80
	2/7/2019	20287	JB Bostick Company, Inc.	APN20K_PAVE_3	\$9,540.00
	2/7/2019	20288	Delta Fire Protection	APN20K_PLANCHKFEE	\$314.68
	2/7/2019	20278	Luxury Services	1238	\$90.00
	2/7/2019	20291	Yanin Rivera	VCH#1289	\$114.50
	2/7/2019	20286	OnTrac	8886433	\$133.82
	2/7/2019	20289	Daily Journal - CNSB	B3207484	\$184.00
	2/7/2019	20289	Daily Journal - CNSB	B3207487	\$470.59
	2/7/2019	20284	IOA Insurance	385038	\$77,260.68
	2/7/2019	20279	Canon Financial Services, Inc.	19696524	\$2,133.58
	2/7/2019	20290	County of Los Angeles Dept. Pu	SA180000335(21)MAR1	\$16,738.45
	2/7/2019	20290	County of Los Angeles Dept. Pu	SA180000335(21)MAR	\$2,300.39
	2/7/2019	20276	Alliant Insurance Services, In	1005489	\$45,364.66
	2/7/2019	20274	A Growing Concern Landscapes,	007645--IN	\$420.00
	2/7/2019	20275	Intellicom Communications	10043	\$95.00
	2/7/2019	20283	Pico Water District	31-1090-06-JAN19	\$23.09
	2/7/2019	20283	Pico Water District	31-1100-06-JAN19	\$84.12
	2/14/2019	20301	Protection One Alarm Monitorin	127125463	\$52.95
	2/14/2019	20300	United Site Services	114-7443078	\$517.05
	2/14/2019	ACH-APSI#FRGS1	APSI Construction Management	FRGS1812(1)DEC18	\$7,980.00
	2/14/2019	20299	LA Times	10007809658_FEB19	\$123.16
	2/14/2019	20297	L&R Locksmithing	15944	\$122.34
	2/14/2019	20315	Lee Andrews Group, Inc.	2019019(125)DEC18	\$91,649.80
	2/14/2019	ACH-L.ANDREWS#	Lee Andrews Group, Inc.	2019019(DEC18)RETN	\$4,823.67
	2/14/2019	20292	David Lang & Associates	G207170(126)DEC18	\$3,152.02
	2/14/2019	20317	David Lang & Associates	G207170(126)DEC_RET	\$165.90
	2/14/2019	ACH-LSA VARIES	LSA Associates, Inc.	164089(43)DEC18	\$1,235.65
	2/14/2019	ACH-LSA VARIES	LSA Associates, Inc.	164090(13)DEC18	\$4,444.27
	2/14/2019	ACH-LSA VARIES	LSA Associates, Inc.	164091(18)OCT-DEC18	\$1,693.05
	2/14/2019	ACH-LUBKA#1308	LUBKA & WHITE LLP	13088(112)NOV18	\$510.00
	2/14/2019	ACH-LUBKA#1308	LUBKA & WHITE LLP	13089(113)NOV18	\$1,020.00
	2/14/2019	ACH-LUBKA#1308	LUBKA & WHITE LLP	13090(114)NOV18	\$722.50
	2/14/2019	ACH-LUBKA#1308	LUBKA & WHITE LLP	13091(115)NOV18	\$127.50
	2/14/2019	ACH-MN VARIES	Moffatt & Nichol	738324(53)NOV18	\$72,200.00
	2/14/2019	ACH-MN VARIES	Moffatt & Nichol	738336(52)NOV18	\$35,530.00
	2/14/2019	ACH-MN VARIES	Moffatt & Nichol	738946(58)DEC18	\$20,149.98
	2/14/2019	ACH-MN VARIES	Moffatt & Nichol	738950(54)DEC18	\$58,997.85
	2/14/2019	ACH-MN VARIES	Moffatt & Nichol	738952(8)DEC18	\$12,825.00
	2/14/2019	ACH-MN VARIES	Moffatt & Nichol	738955(55)DEC18	\$176,985.00
	2/14/2019	ACH-MN-MONTE(R	Moffatt & Nichol	738324(53)NOV_RETN	\$3,800.00
	2/14/2019	ACH-MN-MONTE(R	Moffatt & Nichol	738336(52)NOV_RTN	\$1,870.00
	2/14/2019	ACH-MN-MONTE(R	Moffatt & Nichol	738950(54)DEC_RTN	\$3,105.15
	2/14/2019	ACH-MN-MONTE(R	Moffatt & Nichol	738952(8)DEC_RETN	\$675.00
	2/14/2019	ACH-MN-MONTE(R	Moffatt & Nichol	738955(55)DEC-RTN	\$9,315.00
	2/14/2019	ACH-MN PUENTE	Moffatt & Nichol	738946(58)DEC_RTN	\$1,060.53
	2/14/2019	ACH-STANTEC#11	Stantec (FKA MWH Americas Inc	1448188(119)NOV18	\$57,268.92
	2/14/2019	ACH-STANTEC#11	Stantec (FKA MWH Americas Inc	1457693(120)DEC18	\$26,054.32

Transaction Date	Check Number	Vendor Name	Description	Amount
2/14/2019	20312	Zarina Mapes	VCH#1316	\$76.00
2/14/2019	20293	Oliver, Sandifer & Murphy Law	6000-99(93)DEC18	\$11,795.50
2/14/2019	20293	Oliver, Sandifer & Murphy Law	6001-67(67)DEC18	\$44,146.15
2/14/2019	20295	AIG	4000215276	\$2,541.00
2/14/2019	20316	Paragon Partners Ltd.	18265-IN(66)DEC18RT	\$244.90
2/14/2019	ACH-PARAGON#18	Paragon Partners Ltd.	0018265-IN(66)DEC	\$4,653.04
2/14/2019	20308	ALGA	ALGA_2019	\$2,775.00
2/14/2019	ACH-RAILPROS#4	RAILPROS, INC.	3ACE_201811(45)NOV1	\$64,168.11
2/14/2019	ACH-RAILPROS#4	RAILPROS, INC.	3ACE-201811(NOV)RET	\$3,377.27
2/14/2019	ACH-SWCA#102/#	SWCA Environmental Consultants	87639(102)NOV18	\$5,185.81
2/14/2019	ACH-SWCA#102/#	SWCA Environmental Consultants	88550(103)DEC18	\$8,796.85
2/14/2019	ACH-JACOBS#90_	Jacobs Project Management Comp	W9X15202074(90)DEC1	\$67,651.63
2/14/2019	ACH-BURKE#2360	Burke, Williams & Sorensen, LL	236001(15)DEC18	\$63,264.32
2/14/2019	ACH-UPPR#84736	Union Pacific Railroad Company	90084736_WO01751	\$4,361.82
2/14/2019	ACH-UPPR#84736	Union Pacific Railroad Company	90084874_WO10392	\$52,684.74
2/14/2019	20310	Southern California Edison Com	FA9100190207	\$996.00
2/14/2019	ACH-CWE#18378(CWE	18378(1)NOV18	\$27,561.69
2/14/2019	20314	Alber's Inc.	69821	\$290.00
2/14/2019	20311	City of San Gabriel	LANDSCAPE_SGT	\$9,324.64
2/14/2019	20304	Walnut Valley Water District	530946-117033-JAN19	\$35.23
2/14/2019	20304	Walnut Valley Water District	530946-117034-JAN19	\$175.69
2/14/2019	ACH-WALSH#70_O	Walsh Construction	70_OCT18	\$105,136.08
2/14/2019	20306	OnTrac	8891124	\$48.86
2/14/2019	20294	Capital Representation Group	18-051(95)JAN19	\$4,562.00
2/14/2019	20309	Daily Journal - CNSB	B3207476	\$290.28
2/14/2019	20309	Daily Journal - CNSB	B3207480	\$597.14
2/14/2019	20307	Reliable Construction Unlimite	90696246	\$360.00
2/14/2019	20303	Chocaholics dba. 1-800-GOT-JUN	4490592	\$614.00
2/14/2019	ACH-BERG#34_DE	Berg & Associates Inc.	48049(24)DEC18	\$219,278.97
2/14/2019	20302	Gale Investments, LLC	207P_EASEMENT	\$3,068.00
2/14/2019	20298	Ricky Choi	1/27/19-REIMB	\$169.19
2/14/2019	20296	A Growing Concern Landscapes,	0076033-IN	\$400.00
2/14/2019	ACH-HDR MONTEB	HDR Engineering, Inc.	1200160460(4)NOV18	\$11,207.39
2/14/2019	ACH-HDR MONTEB	HDR Engineering, Inc.	1200163317(25)NOV18	\$112,932.98
2/14/2019	ACH-HDR MONTEB	HDR Engineering, Inc.	1200167013(26)DEC18	\$21,298.10
2/14/2019	ACH-HDR MONTEB	HDR Engineering, Inc.	1200167014(7)DEC18	\$3,868.56
2/14/2019	ACH-HDR MONTEB	HDR Engineering, Inc.	1200167015(5)DEC18	\$2,834.12
2/14/2019	ACH-HNTB_DEC18	HNTB Corporation	0365194DS002(3)DEC1	\$141,975.55
2/14/2019	ACH-HNTB_DEC18	HNTB Corporation	2265194DS001(22)DEC	\$56,978.15
2/14/2019	20313	Paul Hubler	VCH#1319	\$372.37
2/14/2019	20305	iPrint Technologies, Inc.	586162	\$977.85
2/14/2019	ACH-VALASSIS#3	Valassis Direct Mail Inc.	3032733	\$1,917.73
2/14/2019	ACH-SHIMMICK#2	Shimmick Construction Company	29_OCT18	\$520,249.06
2/14/2019	ACH-SHIMMICK#2	Shimmick Construction Company	29(RETN)OCT18	\$58,661.12
2/19/2019	EFT-CALPERS PE	CALPERS	1827_PE 02.15.19	\$15,978.92
2/19/2019	ACH-ICMA PE 02	ICMA Retirement Trust - 457	ICMA_PE 02.15.19	\$5,508.91
2/19/2019	ACH-ICMA GODOY	ICMA Retirement Trust - 457	ICMA_GODOY_PE021519	\$250.00
2/21/2019	20323	BMS CAT	900417800	\$640,000.00
2/21/2019	20319	Office Depot	271883740001	\$104.93
2/21/2019	20319	Office Depot	271884082001	\$8.08
2/21/2019	20318	Southern California Edison	2410465520-FEB19	\$24.18
2/21/2019	20321	Southern California Edison	7590177397	\$23,172.76
2/21/2019	20322	SHRED-IT USA LLC	8126625030	\$249.09
2/21/2019	20324	Southstar Engineering & Consul	SGVCOG-1(1)NOV18	\$1,050.00
2/21/2019	20324	Southstar Engineering & Consul	SGVCOG-2(2)DEC18	\$1,470.00
2/21/2019	20324	Southstar Engineering & Consul	SGVCOG-3(3)DEC18	\$3,780.00
2/21/2019	20320	Giniani Corporation	327	\$1,750.00
2/26/2019	20325	Standard Insurance Company	165466-MAR19	\$1,246.60
2/26/2019	20326	Choice Builder	436957	\$2,342.13
2/26/2019	20326	Choice Builder	456427	\$2,177.11
2/28/2019	20333	Neil Kay	FIRE ASSEMBLY_20K	\$34,638.80
2/28/2019	20333	Neil Kay	PLAN CHECK_20K	\$1,210.00

Transaction Date	Check Number	Vendor Name	Description	Amount
2/28/2019 20334		Aflac	522536	\$791.24
2/28/2019 20335		Oracle America, Inc	44216679	\$167.99
2/28/2019 20331		Prince Global Solutions, LLC	A-130(93)_JAN19	\$14,500.00
2/28/2019 20329		Southern California Edison	2405671546-JAN19	\$266.10
2/28/2019 20332		San Gabriel Valley Water Compa	APN202A-137-JAN19	\$176.65
2/28/2019 20332		San Gabriel Valley Water Compa	APN202A-201-JAN19	\$627.99
2/28/2019 20328		CB Richard Ellis, Inc	Mar-19	\$21,413.25
2/28/2019 EFT-CALPERS PE		CALPERS	1827_ PE 03.01.19	\$15,301.38
2/28/2019 20327		Reliable Construction Unlimite	00-133	\$385.00
2/28/2019 20330		Chocaholics dba. 1-800-GOT-JUN	4565438	\$415.00
Total February 2019 Disbursements				<u><u>\$3,193,251.87</u></u>

Governing Board Attendance

	2018						2019					
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Alhambra	D	D		A	D			D				
Arcadia	A	D		A	D		D	D				
Azusa				D								
Baldwin Park		D										
Bradbury												
Claremont	D	A		D	D			D				
Covina		D										
Diamond Bar	D	D		A	D		D	D				
Duarte	D			D	D		D	D				
El Monte		D		D	A		D	D				
Glendora	D	D		D			D	D				
Industry												
Irwindale												
La Cañada Flintridge		D		D								
La Puente	D	D		D	D		D	D				
La Verne	D	D			D			D				
Monrovia	D	D		D	D		D	D				
Montebello	D	D			D		D					
Monterey Park					D		D	D				
Pomona	D	D		D	D		D	D				
Rosemead	D	D		D	D		D	D				
San Dimas	D	D		D			D	D				
San Gabriel	D			D	D		D					
San Marino												
Sierra Madre	D			D			D	D				
South El Monte				D	D		D	D				
South Pasadena	D	D			D			D				
Temple City	D	D		D	D		D	D				
Walnut	D				D			D				
West Covina	D			D	D			D				
LA County District 1	D	D		D	D		D	D				
LA County District 4							D	D				
LA County District 5	A	D		D	D		D	D				
SGV Water Agencies		D		D	A			D				

Major Action Items and Presentations

July

HR 113 (Rubio)
Service Delivery and Cost Comparison
Guiding Principles on Homeless Programs
Measure M Subregional Administrative Fund:
Bikes Share RFP
180-Day Waiting Period Exception and App
Proposition 6 (Measure to Repeal the Gas Tax)

August

State Route 57-*60 Confluence Chokepoint
Service Delivery MOU
Safe Clean Water Program
Coordinated Integrated Monitoring Program
Retirement Benefit Study

October

Contract with CalPERA for Health Insurance
H.R. 2: Agriculture Improvement Act of 2018 (Oppose in part)
"Everyone In" Campaign (support)
Support for White Paper "Tapping into Available Capacity in Existing Infrastructure to Create Water Supply and Water Quality Solutions"
WRCOG HERO MOU Amendment
Contract extension with LA County for Measure M

November

Measure M MSP Subregional Fund Programming - Proposed Projects List for First Five-Year Programming Plan
Amendment to Executive Director Employment Agreement
2019 Extension of San Gabriel Valley Energy Wise Partnership (SGVEWP) Contract with SCE and SoCalGas
Update Salary Ranges Resolution
Service Delivery Cost Comparison Study Contract
Contract Amendment with Tetra Tech regarding the ULAR EWMP
SGV Greenway Study and City Active Transportation Plans

January

SGVCOG Strategic Planning Workshop

February

SGVCOG Strategic Plan Draft
Stormwater/Water Legislative Priorities for 2019
FY 18-19 Budget Amendment #1
Measure H Funding Awards Letter

Capital Projects and Construction

	2018						2019					
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Central District (El Monte)	✓	✓		✓	✓	✓	✓					
COG President	✓	✓		✓		✓	✓					
Industry	✓		✓	✓	✓	✓	✓					
LA County		✓		✓	✓	✓	✓					
Montebello	✓	✓	✓	✓	✓		✓					
Northeast District (La Verne)	✓	✓		✓	✓	✓	✓					
Northwest District (Monrovia)	✓	✓	✓	✓		✓						
Pomona				✓	✓	✓	✓					
San Gabriel		✓	✓		✓							
Southeast District (Diamond Bar)	✓	✓	✓	✓	✓	✓	✓					
Southwest District (Monterey Park)	✓	✓	✓	✓	✓	✓	✓					

Agenda Topics

July

Approval of Plans for the Durfee Project
 Approval of Project Definition for the Maple Project
 Approval of the Declaration of Surplus Property

Aug

Approval of Contract Amendment with URS Corporation

Sep

Approval of Contract Amendment with CH2M Hill
 Approval of Contract award to CWE

Oct

Approval of Task Order 2 for Construction Management Services for the Durfee
 Hearing on Amended Resolution of Necessity No. 13-16

Nov

Approval of Close out Construction Contract with OHL
 Approval of Contract Close Out of Contract with Walsh Construction
 Approval of Amendment No. 2 with Rowland Water District

Dec

Election of Vice Chair
 Approval of Selection of Riverside Construction for the Construction Contract for the Durfee
 Approval of Sole Source Contract with Southstar Engineering for Interim Contracts Management Services

Jan

Approval of Agreement with the Los Angeles County Metropolitan Transportation
 Receive and File Quarterly Project Progress Reports

Transportation Committee Attendance

	2018						2019					
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Alhambra	✓				✓							
Claremont	✓			✓	✓			✓				
Diamond Bar	✓			✓	✓		✓	✓				
Duarte	✓			✓	✓		✓	✓				
El Monte					✓							
Glendora	✓				✓		✓					
La Cañada Flintridge				✓								
LA County District 1				✓	✓		✓	✓				
LA County District 5	✓				✓		✓	✓				
Pomona	✓			✓	✓			✓				
San Gabriel				✓			✓	✓				
South El Monte				✓	✓		✓	✓				
South Pasadena					✓			✓				
Temple City	✓			✓			✓	✓				
Walnut					✓		✓	✓				

Agenda Topics

July

LA Metro's NextGen Bus Study
SGV Bike Share Expansion RFP Update

October

Measure M MSP Subregional Fund Programming: Proposed Projects List
LA and San Bernardino Inter-County Transit and Rail Connectivity Study
Metrolink SCORE Program: Follow-up

November

SGV Active Transportation Initiative: 5-City Plans & SGV Greenway Study Findings and Recommendations
Metro "Mobility on Demand" Pilot Program
ExpressLanes Interfund Loan Principles and Policies

January

Metro Goods Movement Strategic Plan
Gold Line Eastside Extension Phase 2
BlueLA Carsharing Service
ExpressLanes Pilot & Congestion Pricing Program Interfund Loan Policies
Foothill Gold Line Extension Phase 2B: Change in Scoping Plan and Timelines & Supplemental EIR

February

California High-Speed Rail Update
Metro Open Streets Grant Program, FY 2020 Mini-cycle Application and Guidelines
LA Metro's "Twenty-Eight by '28" Initiative and "Re-Imagining of LA County" Initiative

EENR Committee Attendance

	2018						2019					
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Covina						✓		✓				
Claremont	✓						✓	✓				
Duarte	✓		✓	✓		✓	✓	✓				
Los Angeles County - First Supervisorial District												
Rosemead	✓		✓	✓		✓	✓	✓				
San Dimas	✓		✓	✓		✓	✓	✓				
San Gabriel												
Sierra Madre						✓	✓	✓				
Temple City (Ex-officio)												
West Covina	✓			✓								

Agenda Topics

July

Highway 39 Tour

September

East Fork/Cattle Canyon Improvement Plan

Proposition 68 Update

October

H.R. 2: The Agriculture and Improvement Act of 2018

Western Riverside Council of Governments: PACE Program

2017-2018 California EENR Legislation Update

December

SB 212 Presentation

National Parks Trust: "Kids to Parks Day" Presentation

January

Proposition 68 Guidelines

CR&R's Facility on Converting Food and Organics Waste into Biogas

SGVCOG Coyote Management Task Force

February

2019 Organics and Solid Waste Legislative Cycle

Overview of Recycling Management

CalRecycle's SB 212 Informal Rulemaking Workshop Updates

2019 EENR Legislative Priorities

Homelessness Committee Attendance

	2018						2019					
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Alhambra						✓						
Baldwin Park	✓				✓							
Claremont	✓			✓	✓			✓				
Glendora								✓				
Monrovia	✓			✓	✓	✓		✓				
Pomona	✓			✓	✓	✓		✓				
Rosemead	✓			✓	✓	✓						
West Covina	✓							✓				
LA County Dist 1	✓			✓	✓			✓				

Agenda Topics

July

LAHSA Homeless Count
State Housing Package

October

2017-2018 California Homelessness Legislation Update
LA HOP Outreach Request Tool
November Election of Homelessness Committee Chair, Vice Chair and Ex-officio
Updates , Strategies and SGVCOG Support for the 2018 City Implementation RFP

November

Election, Chair
Union Station Homeless Services New Leadership
Supportive Housing Overview and Discussion
LAHSA 2019 Point in Time Homeless Count
County Homeless Initiative Updates

December

Vice Chair Election
Recognition of outgoing Chair
Presentation: Establishing a Regional Housing and Land Trust Fund

January

The Committee was dark this month.

February

Update on Metro's Homelessness Action Plan
Consensus to Explore Creating and Regional Housing Trust Fund
Briefing on the 2018 Cities Implementation RFP Awards

Water Policy Committee

	2018						2019					
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Claremont									✓			
Glendora	✓		✓	✓	✓		✓		✓			
Monrovia	✓		✓	✓	✓							
Rosemead	✓		✓	✓	✓		✓					
Sierra Madre	✓		✓	✓	✓		✓					
South Pasadena	✓		✓	✓	✓		✓		✓			
West Covina	✓											
LAC #1									✓			
USGVMWD			✓	✓								

Agenda Topics

July (Joint Meeting with Water TAC)

- Safe Clean Water Update
- Integrated Planning and Federal Water Legislation
- Legislative Update

September (Joint Meeting with Water TAC)

- Legislative Summary 17-18
- Las Virgenes MWD White Paper Presentation
- Meeting with Regional Water Board Recap

October (Joint Meeting with Water TAC)

- Support for Las Virgenes MWD White Paper
- Legislative Update
- Prop 3 Presentation

November (Joint Meeting with Water TAC)

- Water/Stormwater Legislative Priorities Discussion
- LA Regional Board Workshop Topics Discussion

January (Joint Meeting with Water TAC)

- Safe Clean Water Program Update
- Update to 2019 Water/Stormwater Legislative Priorities
- Water Infrastructure Improvement Act

March (Joint Meeting with Water TAC)

- Support for AB 1204, AB 1093, AB 755
- Measure W Implementation Update
- WOTUS Revised Definition Update

Water TAC Attendance

	2018						2019					
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Alhambra			✓	✓	✓		✓	✓	✓			
Arcadia			✓	✓	✓		✓	✓				
Bradbury	✓			✓			✓	✓				
Covina			✓	✓				✓	✓			
Monrovia	✓		✓	✓	✓		✓	✓	✓			
Pomona	✓				✓		✓	✓				
Sierra Madre	✓		✓	✓	✓		✓	✓	✓			
LA County DPW			✓	✓	✓		✓	✓	✓			
San Gabriel Valley Municipal Water District	✓		✓	✓	✓		✓	✓				
Upper San Gabriel Valley Municipal Water District	✓		✓	✓	✓		✓	✓	✓			
<i>Ex-Officio</i>												
LA County Sanitation Districts	✓		✓	✓	✓		✓					
Main San Gabriel Basin Watermaster	✓		✓	✓	✓		✓	✓	✓			

Agenda Topics

July (Joint Meeting with Water Committee)

Safe Clean Water Update
Integrated Planning and Federal Water Legislation
Legislative Update

September (Joint Meeting with Water Committee)

Legislative Summary 17-18
Las Virgenes MWD White Paper Presentation
Meeting with Regional Water Board Recap

October (Joint Meeting with Water Committee)

Support for Las Virgenes MWD White Paper
Legislative Update
Prop 3 Presentation

November (Joint Meeting with Water Committee)

Water/Stormwater Legislative Priorities Discussion
LA Regional Board Workshop Topics Discussion

January (Joint Meeting with Water Committee)

Safe Clean Water Program Update
Update to 2019 Water/Stormwater Legislative Priorities
Water Infrastructure Improvement Act

February

City Stormwater Project Cost Data
Water Supply Update

March (Joint Meeting with Water Committee)

Support for AB 1204, AB 1093, AB 755
Measure W Implementation Update
WOTUS Revised Definition Update

City Managers' Steering Committee

	2018						2019					
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Arcadia	✓	✓			✓			✓				
Alhambra	✓	✓	✓	✓			✓	✓				
Azusa				✓	✓							
Baldwin Park	✓	✓	✓	✓	✓		✓	✓				
Covina	✓		✓		✓		✓					
Duarte		✓		✓								
La Canada Flintridge		✓		✓	✓			✓				
La Verne			✓	✓	✓		✓	✓				
Monrovia		✓		✓	✓							
Pomona	✓	✓	✓	✓			✓					
San Dimas	✓		✓		✓		✓					
Temple City		✓	✓	✓	✓		✓	✓				
West Covina		✓	✓		✓			✓				

Agenda Topics

Jul

Service Delivery RFP
Measure M Admin Funds Contract
Safe Clean Water Update

Aug

Safe Clean Water Position
Benefits Study Contract
Measure H Funding Update

Sep

Appoint Northeast Rep
Vector Control Presentation

Oct

LA County Fire Issue Discussion
Measure M MSP Subregional Fund
Service Delivery Update

Nov

Regional Coyote Management Plan
Service Delivery Contract

Jan

FY 18-19 Budget Amendment #1
Legislative Consultant Proposal

Feb

Draft Strategic Plan
Measure H Implementation Grants Update
Coyote Management Taskforce Update

Planning TAC

	2018						2019					
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Alhambra	✓		✓	✓	✓		✓	✓				
Arcadia			✓				✓					
Azusa					N/A		N/A	N/A				
Baldwin Park					N/A		N/A	N/A				
Claremont					✓		✓	✓				
Covina			✓	✓			✓	✓				
Diamond Bar	✓		✓				✓					
Duarte			✓	✓	✓		✓	✓				
El Monte	✓						✓					
Glendora	✓		✓	✓			✓	✓				
Irwindale			✓	✓								
La Verne	✓		✓		✓		✓	✓				
Monrovia	✓		✓	✓	✓		✓	✓				
Montebello	N/A	N/A										
Monterey Park	✓		✓	✓								
Pomona					N/A		N/A	N/A				
Rosemead	✓				✓		✓	✓				
San Dimas			✓	✓	✓		✓	✓				
San Gabriel				✓	✓		✓	✓				
Sierra Madre				✓			✓	✓				
South El Monte	N/A	N/A	✓	✓	✓			✓				
South Pasadena	✓											
Temple City	✓			✓	✓		✓					
Walnut					N/A		N/A	N/A				
West Covina			✓		✓		✓	✓				
LA County DRP	✓		✓	✓	✓		✓	✓				

Agenda Topics

July

AT&T's 5G Cell sites and Technology
 Update on Measure M MSP Funding
 LA County Fire Dept. Update

September

SCAG Bottom-Up Local Input and Envisioning Process & SCAG Sustainable Communities Program Grants
 Measure M MSP Subregional Fund Programming -- Proposed Projects List for First 5-Year Programming Plan
 SB 1 Sustainable Communities Grants

October

Temple City's Crossroads Specific Plan
 2017-18 California Housing, Planning, and Zoning Legislative Update
 Updates on: SCAG SCP Grants, MSP Funding, and LA County Fire Dept.

November

Collaborative Mosquito and Vector Control Strategies to Protect Public Health in the San Gabriel Valley
 LA County Department of Regional Planning's Significant Ecological Areas (SEA) Ordinance
 Metro Congestion Management Program (CMP) Opt-Out

January

Establishing a Regional Housing and Land Trust Fund
 Housing, Planning, and Development Legislative Preview for the 2019-2020 State of California Legislative Session
 AB 626: Microenterprise Home Kitchen Operations (MEHKOs)

February

SB 2 Planning Grants Program
 Metro Open Streets Grant Program, FY 2020 Mini-cycle
 Follow-up: Housing, Planning, and Development Legislation
 Local Agency Responses to Dockless Scooters
 Metro's "Twenty-Eight by '28" Initiative & "Re-Imagining of LA County" Initiative

Public Works TAC Attendance

	2018						2019					
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Arcadia	✓		✓		✓			✓				
Azusa			✓	✓	✓			✓				
Claremont	✓		✓									
Diamond Bar	✓		✓	✓	✓		✓					
El Monte	✓		✓									
Glendora			✓	✓	✓							
Industry	N/A	N/A	N/A	N/A	✓		✓	✓				
Irwindale	✓			✓				✓				
La Verne	N/A	N/A	N/A	N/A	✓		✓	✓				
Monrovia	✓		✓	✓	✓			✓				
Pomona	✓		✓	✓	✓			✓				
San Dimas	✓		✓	✓	✓			✓				
San Gabriel												
South El Monte	✓		✓	✓	✓							
South Pasadena	N/A	N/A	✓									
Temple City	✓		✓	✓	✓		✓	✓				
West Covina			✓		✓							
LA County	✓		✓	✓	✓			✓				

Agenda Topics

July

MSRC Local Government Partnership Funding Program
AT&T: 5G Cell-sites and Technology

September

Save California Streets 2018 Study -- Findings
Measure M MSP Subregional Fund Programming -- Proposed Projects List for First 5-Year Programming Plan
SB 1 Local and Municipal Education and Engagement Strategies

October

Renewable Natural Gas and SoCalGas' Innovative Tariff Services
Metro Congestion Management Program (CMP) Opt-Out
SB 1/CalTrans Sustainable Communities Grants
SCAG Sustainable Communities Program Grants

November

Collaborative Mosquito and Vector Control Strategies to Protect Public Health in the SGV
Metro "Mobility on Demand" First/Last Mile Pilot Project

January

Tour of the LA County Sanitation Districts' San Jose Creek Water Reclamation Plant

February

ADA Compliance in the Public Right-of-Way
Organics and Solid Waste Legislation for the 2019 Legislative Cycle
Foothill Transit Update on ADA Compliance at Bus Stops
Metro Open Streets Grant Program, FY 2020 Mini-cycle Application and Guidelines

REPORT

DATE: March 21, 2019

TO: Governing Board Delegates and Alternates

FROM: Marisa Creter, Executive Director

RE: **COMMITTEE APPOINTMENTS**

RECOMMENDED ACTION


Appoint the following members to standing SGVCOG Policy Committees, and Technical Advisory Committees:


- Planning TAC: City of Baldwin Park

BACKGROUND

The SGVCOG Bylaws provide for the creation of technical advisory committees (TACs) and policy committees to provide technical support and policy recommendations to the Governing Board. There are currently 5 policy committees (Transportation; Energy, Environment, and Natural Resources (EENR); Water; Homelessness and Capital Projects and Construction) and 4 TACs: the City Managers' TAC, the Planning TAC, the Transportation TAC and the Public Works TAC. The Bylaws also provide for the creation of a City Managers' Steering Committee, to aid and support to the full City Managers' TAC, the Governing Board, and/or the Executive Committee. The SGVCOG Bylaws allow for the creation of additional TACs and policy committees as needed.

In February, the City of Baldwin Park expressed interest in serving and participating on the SGVCOG Planning TAC.

Prepared by: 
Katie Ward
Senior Management Analyst

Approved by: 
Marisa Creter
Executive Director

REPORT

DATE: March 21, 2019

TO: Executive Committee
Governing Board

FROM: Marisa Creter, Executive Director

RE: **1ST QUARTER FINANCIAL REPORT AND TREASURER REPORT**

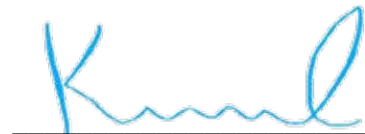
RECCOMENDED ACTION

Receive and file.

BACKGROUND

Staff is recommending to receive and file the Treasurer's report. The Treasurer's report was prepared by the SGVCOG's Treasurer, CliftonLarsonAllen LLP. Additionally, the full 1st Quarter Financial Reports for the SGVCOG and the ACE Project are included as attachments to the Treasurer's report.

Prepared by:



Katie Ward
Senior Management Analyst

Approved by:



Marisa Creter
Executive Director

ATTACHMENTS

Attachment A – 1st Quarter Treasurer's Report

**San Gabriel Valley Council
of Governments
and
Alameda Corridor – East
Construction Authority**

Quarterly Report Ended September 30, 2018

Table of Contents

I.	Executive Summary	1
II.	Background and Scope of Engagement	5
A.	Engagement Background and Objectives	5
B.	Professional Standards	5
C.	Scope of Engagement	5
D.	General Disclosures	6
E.	Background Information.....	6
F.	Acronyms Used.....	7
III.	Review of identified areas for ACE	8
A.	Review of Investments and Cash Balances	8
B.	Review of Information Provided by ACE.....	8
IV.	Review of identified areas for COG	9
A.	Review of Cash Balances, including LAIF	9
B.	Review of First Quarter 2019 Reports	9
V.	List of Exhibits.....	12

I. Executive Summary

Background and Objective

CliftonLarsonAllen LLP (CLA) was retained by the San Gabriel Valley Council of Governments (SGVCOG) to perform consulting services related to the San Gabriel Valley Council of Governments (COG) and the Alameda Corridor-East Construction Authority (ACE). The objective is for CLA to read and assess SGVCOG's quarterly reports, perform selective ratio analysis, and report to the Board accordingly. Throughout the consulting engagement, CLA maintained regular contact with SGVCOG's Executive Director, Marisa Creter and ACE's Director of Finance, Maritza Ramos.

Professional Standards

CLA performed this engagement in accordance with the American Institute of Certified Public Accountants (AICPA) Statements on Standards for Consulting Services. In consulting engagements, the nature and scope of work is determined solely by the agreement between the practitioner (CLA) and the client. The analysis and report does not constitute an audit, compilation, review, agreed-upon procedures or examination in accordance with Standards of the AICPA, the objective of which would be the expression of an opinion on any specified elements, accounts, or items. Accordingly, CLA does not express any such assurance.

Scope of Engagement

General

CLA reviewed the bank reconciliations, trial balance, and quarterly reports regarding financial position for the quarter ended September 30, 2018. CLA created or obtained various electronic files in order to prepare this report to the Executive Committee; the electronic files are available upon request.

Dollar values included in this report have been rounded; therefore the numbers included here may differ slightly when comparing the values included in this report to the underlying detail.

Results of Procedures Performed

This section summarizes our findings from the analyses and procedures performed. The applicable sections of the report include a more detailed discussion of each area, specific observations, and recommendations, if applicable.

Review of ACE Investments and Cash Balances

CLA was provided with a Fixed Income Investments Portfolio Summary report dated September 30, 2018. The report included the current book value and market values for ACE's: Government Securities, Certificates of Deposit, Corporate Bonds, Government Mortgages, Municipals, and Cash and Cash Equivalents. The portfolio's total market value of \$26,548,832 per ACE's Fixed Income Investments Portfolio report was verified against the Citizens Trust investment statement for the period ending September 30, 2018. The book value and market value of the Local Agency Investment Fund (LAIF) was substantiated through review of the LAIF balance confirmation as of September 2018. The amounts reported as current book value and market value on the September 30, 2018 Fixed Income Investments Portfolio report were reconciled.

ACE's bank account reconciliations and coordinating bank statements were reviewed and corroborated with the trial balance for the quarter ended September 30, 2018.

Review of ACE 1st Quarter 2019 Reports

Expenditures vs. Reimbursements (Exhibit V): Approximately 99% of ACE's Income-to-Date (ITD) Expenditures have been reimbursed, an increase of 2% from the 97% reimbursement rate at the prior quarter ended June 30, 2018.

The remaining receivables total \$16,581,429 of which \$13,095,349 or 79%, was to be billed after the September 30 balance sheet date. Ratio analysis showed expenditures and reimbursements to be consistent with the prior quarter ended June 2018. Cash increased by 127.4% and is largely attributed to a decrease in receivables. In addition, the Other Receivables, Prepaids and Deferred Costs account increased from the prior period by 519.7%; this increase is due to a \$25.6m increase in surplus property and unearned revenues increased \$22.7m or 112.5%

Treasury/ Banking Investments (Exhibit VII): Report amounts were agreed to the trial balance. Below is a summary of changes from current year Q1 to prior year Q4.

	<u>Q1</u> <u>09.30.18</u>	<u>Q4</u> <u>06.30.18</u>	% Increase/ (Decrease)
Cash	\$32,778,835	\$14,417,765	127.4%
Investments	\$28,168,811	\$28,060,868	0.4%
Receivables	\$16,581,429	\$36,939,128	-55.1%
Other Receivables, Prepaids and Deferred Costs	\$29,380,466	\$4,741,197	519.7%
Liabilities	\$93,492,512	\$70,626,642	32.4%
Fund Balance before PERS Liability	\$13,417,029	\$13,532,316	-0.9%

Review of COG Cash Balances, including LAIF

CLA was provided a Comparative Summary Balance Sheet for Q4 and Q1. Cash balances and Local Agency Investment Fund (LAIF) were verified with the Citizens Business Bank account statements for the period ended September 30, 2018 and the LAIF statement as of September 2018. Overall, COG's cash and cash equivalents increased 24% since prior quarter from \$1,348,390 at the end of Q4 to \$1,666,676 for the period ended September 30.

COG's bank account reconciliations and coordinating bank statements were reviewed and corroborated with the trial balance for the quarter ended September 30, 2018.

Review of COG 1st Quarter 2019 Reports

All balances on the Comparative Summary Balance Sheet as of September 30, 2018 were verified against the period trial balance as of September 30, 2018. The Grants Receivable Aging Detail report as of September 2018 was also verified to the trial balance.

Conclusion

As discussed herein, no instances that would cause concern that the quarterly reports prepared by ACE and COG are inaccurate or inadequate to meet the governance needs of the Executive Committee and the Board of Directors were noted, specifically related to the sections of the Joint Powers Agreement effective March 12, 2007 included herein.

- Section 4. Purpose and Powers of the Council. The Council shall have, and may exercise the powers to:
 - Subsection b(4) utilize member resources or presently existing single purpose public and public/private groups to carry out its programs and projects;
 - Subsection b(8) serve as a mechanism for obtaining state, federal and regional grants to assist in financing the expenditures of the Council;
 - Subsection b(9) make and enter into contracts, including contracts for the services of engineers, consultants, planners, attorneys and single purpose public/private groups;
 - Subsection b(11) apply for, receive and administer a grant or grants under any federal, state, or regional programs;
 - Subsection b(12) receive gifts, contributions and donations of property, funds, services and other forms of financial assistance from persons, firms, corporations and any governmental entity;

- Section 6. Use of Public Funds and Property. The Council shall be empowered to utilize for its purposes, public and/or private funds, property and other resources received from the Members and/or from other sources.
- Section 17. Control and Investment of Council Funds. The Governing Board shall adopt a policy for the control and investment of its funds and shall require strict compliance with such policy. The policy shall comply, in all respects, with all provisions of applicable law.

A handwritten signature in black ink, appearing to read "Renee S. Graves", with a large, sweeping flourish extending to the right.

Renee S. Graves, CPA, CGFM
Principal
CliftonLarsonAllen LLP

II. Background and Scope of Engagement

A. Engagement Background and Objectives

CliftonLarsonAllen LLP (CLA), was retained by the San Gabriel Valley Council of Governments. Throughout the engagement, CLA maintained regular contact with Director of Finance Marisa Creter and ACE's Director of Finance Maritza Ramos.

B. Professional Standards

CLA performed this engagement in accordance with the American Institute of Certified Public Accountants (AICPA) Statements on Standards for Consulting Services. In consulting engagements, the nature and scope of work is determined solely by the agreement between the practitioner (CLA) and the client. The analysis and report does not constitute an audit, compilation, review, agreed-upon procedures or examination in accordance with Standards of the AICPA, the objective of which would be the expression of an opinion on any specified elements, accounts, or items. Accordingly, CLA does not express any assurance.

C. Scope of Engagement

Attachment A to our agreement includes possible consulting procedures to be performed on a monthly basis. Correspondence between Renee Graves of CLA and SGVCOG's Director of Finance Marisa Creter, and ACE's Director of Finance Maritza Ramos, occurred to clarify the scope of the engagement for the quarter ending September 30, 2018. The following areas were agreed to regarding 1st Quarter 2019 Reports prepared as of September 30, 2018.

ACE

1. Investments and Cash Balances
2. Review the 1st Quarter 2019 Report as of September 30, 2018
2. Information reported in the Quarterly Report reconciles to the general ledger

COG

1. Cash Balances, including LAIF
2. Review the 1st Quarter 2019 Report as of September 30, 2018
3. Information reported in the Quarterly Report reconciles to the general ledger

D. General Disclosures

CLA created or obtained various electronic files in order to prepare this report to the Executive Committee; the electronic files are available upon request.

Dollar values included in this report have been rounded; therefore the numbers included here may differ slightly when comparing the values included in this report to the underlying detail.

E. Background Information

San Gabriel Valley Council of Governments (COG)

The San Gabriel Valley Council of Governments (SGVCOG) is a joint powers authority made up of representatives from 31 cities, 3 Los Angeles County Supervisorial Districts, and the 3 Municipal Water Districts located in the San Gabriel Valley. The SGVCOG serves as a regional voice for its member agencies and works to improve the quality of life for the more than 2 million residents living in the San Gabriel Valley. The SGVCOG works on issues of importance to its member agencies, including transportation, housing, economic development, the environment, and water, and seeks to address these regionally.

The SGVCOG is the largest and most diverse sub-regional council of governments in Los Angeles County. It encompasses more than 374 square miles and has more than 2 million residents.

While each of the communities has a unique character and history, they have also many shared issues and have developed a unified voice to maximize resources, achieve sustainable solutions, and advocate for regional and member interests to improve the quality of life in the San Gabriel Valley.

Alameda Corridor-East Construction Authority (ACE)

The Alameda Corridor-East (ACE) Construction Authority is a single purpose construction authority established by the San Gabriel Valley Council of Governments in 1998 to implement a construction program intended to mitigate the adverse impacts at rail-roadway crossings in the San Gabriel Valley of increasing rail traffic along the nationally significant ACE Trade Corridor. Train counts through the Valley are projected to nearly double by the year 2035 as increasing numbers of freight trains carry freight to and from the nation's busiest container ports in the San Pedro Bay.

The ACE Project is a comprehensive program of constructing grade separations, where the road goes over or under the railroad, and safety and mobility upgrades at 53 crossings in the San Gabriel Valley. Construction has been completed on 8 rail-roadway grade separations. Eight grade separations are under construction with four grade separations and pedestrian crossing safety program at four crossings starting in two years. Jump Start safety improvements have been completed at 40 at-grade crossings.

F. Acronyms Used

ACE - Alameda Corridor-East Construction Authority
CM – Construction Management
COG – San Gabriel Valley Council of Governments
LAIF – Local Agency Investment Fund
MTA – Metropolitan Transit Authority
PERS – Public Employee’s Retirement System
ROW – Right of Way

III. Review of identified areas for ACE

A. Review of Investments and Cash Balances

The September 30, 2018 Fixed Income Investments Portfolio report was verified with the Citizens Trust statement for the period of September 1, 2018 through September 30, 2018 and the LAIF statements as of September 30, 2018. The amounts reported as the market value and the current book value on the September 30, 2018 Fixed Income Investments Portfolio report were reconciled. The allocation of investments within the pool by the type of investment is consistent with the current Investment Policy.

Due to the daily volatility of investments, the balance is reported on a cost basis during the fiscal year and adjusted to fair value as of the fiscal year end. The applicable balances for the fiscal year ending September 30, 2018 are:

- Trial balance amount of \$25,833,709 offset by a “change in market value” account balance of \$715,123 for a balance of \$26,548,832.
- Book value and market value per Citizens Trust Investment Statement is \$26,555,633 and \$25,790,015, respectively.
- Exhibit VII Treasury/ Banking Investments Report amount of \$26,548,832.

B. Review of Information Provided by ACE

Exhibit V ACE Expenditures vs. Reimbursements and Exhibit VII Treasury/ Banking Investments reports as of September 30, 2018 were obtained and verified against the preliminary trial balance as of September 30, 2018.

Exhibit V ACE Expenditures vs. Reimbursements Report

Amounts shown under the reimbursement status for the categories of 1) *Current/ 30 days or less* of \$2.070m; 2) *Aged Receivable* of \$0m; 3) *To be billed* of \$13.095m; and 4) *MTA retention* of \$1.416m were verified against the accounts listed on the trial balance. The *Current/ 30 days or less* and *MTA retention* balances are each in a separate general ledger account. The *To be billed* amount is the aggregate balance of approximately 30 accounts for each individual project by various phases (CM, ROW, Design, Construction, Construction Management and Betterment). These amounts were verified with the accounts listed on the trial balance.

Exhibit VII Treasury/ Banking Investments Report

Each of the accounts listed on Exhibit VII were verified with the trial balance. Consistent with the previous quarterly report, Exhibit VII presents *other receivables, prepaids, surplus property and deferred costs* at the gross aggregate amount of \$29.38m from approximately 14 separate accounts, the most significant accounts being Surplus Property of \$27.27m. The applicable balances for the quarter ending September 30, 2018 are as shown herein.

Other receivables	\$ 23,648
Notes receivable	150,000
Unbilled receivables	1,435,756
Prepaid expenses	151,863
Surplus property	27,267,391
Deferred costs - indirect	1,066,931
Unrealized change in investments	(715,123)
	<u>\$ 29,380,466</u>

The PERS unfunded termination liability of \$6.347m was obtained from the September 30, 2016 CalPERS Actuarial Valuation Report. This liability is not reflected on the trial balance, but is a post-closing entry to reflect financial data that complies with Government Accounting Standards. The PERS net pension liability is reported on the June 30, 2017 audited financial statements in accordance with applicable GASB standards.

IV. Review of identified areas for COG

A. Review of Cash Balances, including LAIF

The September 30, 2018 Bank and LAIF balances reported on the Comparative Summary Balance Sheet were verified against each the Citizens Business Bank statement and the LAIF statement as of September 30, 2018.

B. Review of First Quarter 2019 Reports

As of September 30, 2018, the Comparative Summary Balance Sheet report was verified to the trial balance. Individual balances on the trial balance were verified to reconciled bank statements and to the LAIF statement at September 30, 2018. All amounts reported were verified to the trial balance. The allocation of investments within the pool by the type of investment is consistent with the current Investment Policy.

Grants Receivable Aging Detail Report

The Grants Receivable Aging Detail report was reconciled to the trial balance. As of September 30, the receivable balance was \$684,009. As reported by ACE's Director of Finance, 14.3% or \$97,787 of the reported balance was collected subsequent to the September 30 quarter end. Of the \$586,222 remaining receivables, 94% are aged 90+ days; management believes that all amounts are reasonably expected to be collected.

The balance of the remaining receivables of \$586,222 as of the date of this report have increased 69% compared to the amount of remaining receivables in the fourth quarter report (\$347,384).

CitiCard Credit Card Charges

The CitiCard Credit Card Charges report provides a breakdown of the types of purchases made by credit card. Purchases for the period of July 2018 to September 2018 totaled \$15,718. During the quarter, \$4,143 was expended on meetings and travel, a minimal increase from last year's Q1 meetings and travel spending of \$4,115. Quarterly purchases by credit card compared to the prior year quarter and a breakdown of fiscal year cumulative credit card purchases through September 30, 2018 is shown herein.

	FY19 Quarters	FY18 Quarters	% Increase/ (Decrease)
	<u>1</u>	<u>1</u>	
COG Total Credit Card Expenditures	\$15,718	\$11,327	38.8%

	Q1 9/30/2018	Q1 9/30/2017
Administrative Fees	\$ -	\$ 48
Energy Wise - Gas Co	583	597
Energy Wise - SCE	875	895
Equipment & Soft Acquisition	-	1,221
General Assembly Event	2,000	711
Homelessness Program - LAC	2,710	-
Maintenance & Operating Expenses	-	662
Meetings/Travel	3,150	2,991
Meetings/Travel - Board	993	1,124
Office Supplies	1,109	805
Postage	145	9
Postage - Board	67	82
Prepaid expenses	788	554
Staff Training	1,732	
Subscriptions	-	345
Utilities	975	801
Webpage/Software Services	591	482
	\$ 15,718	\$ 11,327

Purchases by credit card are utilized for efficiencies in procurement of goods and services.

Budget to Actual Comparison Report

Budget v. Actual

Actual balances through September 30, 2018 were reconciled to the trial balance. In the fiscal year, COG had quarterly expenses totaling 14% of the 2019 fiscal budget, ending the quarter with \$43,103 net income. Member dues comprise 92% of the operating revenue budget for FY 2019. As of September 30, Member Dues revenue was 24% of the 2019 fiscal budget.

A comparison of the quarterly budget, fiscal budget and percent of fiscal budget is presented herein.

	Actual Q1 2018-2019	FY 2019 Budget 2018-2019	Year-To-Date % of Budget 2017-18	FY 2019 Adopted Budget 2018-2019
Membership Dues	\$ 178,627	\$ 754,007	24%	\$ 754,007
Transportation Administration (Local)	24,387	96,611	25%	96,611
Sponsorships	8,000	50,000	16%	50,000
Hero Program	1,576	12,000	13%	12,000
Interest	28	2,050	1%	2,050
Grants & Special Projects	99,877	1,946,460	5%	1,033,071
Total Revenue	\$ 312,495	\$ 2,861,128	11%	\$ 1,947,739
Personnel	\$ 87,934	\$ 529,780	17%	\$ 529,780
Board & Employee Expenses	8,897	60,000	15%	60,000
Professional Services	63,925	346,980	18%	346,980
Other Expenses	36,001	198,927	18%	198,927
Total Indirect Expenses	\$ 196,757	\$ 1,135,687	17%	\$ 1,135,687
Personnel	\$ 62,715	\$ 322,707	19%	\$ 322,707
Program Management	9,920	468,071	2%	468,071
Total Direct Expenses	\$ 72,635	\$ 790,778	9%	\$ 790,778
Total Expenditures	\$ 269,390	\$ 1,926,465	14%	\$ 1,926,465
Net Income (Loss)	\$ 43,103	\$ 934,663	5%	\$ 21,274

V. List of Exhibits

ACE – Exhibit V – Expenditures vs. Reimbursements as of September 30, 2018

ACE – Exhibit VII – Treasury/ Banking Investments, Investments Portfolio and
Summary as of September 30, 2018

COG –2018 4th Quarter Reports as of September 30, 2018 – Comparative
Summary Balance Sheet, Grants Receivable Aging Detail, CitiCard
Charges and Budget Report

Exhibit V

ACE Expenditures vs. Reimbursements

As of September 30 , 2018

Reimbursement Status (\$ 000)						
Projects	ITD Expenditures	Received	Current / 30 Days or less	Aged Receivable	To Be Billed	MTA Retention
At Grade Crossing	\$ 2,500	\$ 2,450	\$ -	\$ -	\$ 46	\$ 4
Durfee	28,905	27,828	-	-	1,007	70
Fairway Drive	90,656	87,470	-	-	3,044	143
Fairway-Lemon Betterment	19,034	19,000	-	-	34	-
Fullerton	67,274	63,242	-	-	3,645	386
Montebello	7,433	6,125	-	-	1,299	10
Maple Ave.	30	-	-	-	30	-
Montebello At Grade	64	-	-	-	64	-
Nogales (LA)	118,229	115,510	2,070	-	189	460
Puente Ave.	86,171	85,269	-	-	829	72
SG Trench	284,943	282,817	-	-	2,113	13
Temple	94,687	94,459	-	-	9	219
Turnbull Cyn.	1,621	988	-	-	595	38
Baldwin	70,365	70,363	-	-	-	2
Brea Canyon	73,459	73,459	-	-	-	-
Crossing Safety / IRRIS	34,343	34,343	-	-	-	-
EE/Reservoir	78,960	78,960	-	-	-	-
Hamilton	1,789	1,789	-	-	-	-
Nogales (AH)	49,797	49,797	-	-	-	-
Ramona	53,091	53,091	-	-	-	-
Sunset	93,794	93,794	-	-	-	-
Sub-total Projects	\$ 1,257,144	1,240,754	2,070	-	12,904	1,416
Project Administration	20,031	19,848	-	-	183	-
Total ACE	1,277,175	1,260,602	2,070	-	13,087	1,416
Non-Grade Separation						
Rio Hondo	9	-	-	-	9	-
	1,277,183	1,260,602	2,070	-	13,095	1,416

Exhibit VII

Treasury / Banking Investments

As of September 30, 2018

	09.30.2018	Change	Preliminary 06.30.2018
<u>Cash on hand</u>			
Operating Account	\$ 2,607,184	\$ (253,736)	\$ 2,860,919
Money Market Account (2)	22,442,902	18,608,065	3,834,836
Money Market (UPRR Contributions)	7,728,750	6,740	7,722,010
Total cash on hand	32,778,835	18,361,070	14,417,765
<u>Investments</u>			
LAIF	1,619,979	7,651	1,612,328
CBT - Fixed Income at cost	26,548,832	100,292	26,448,540
Total investments	28,168,811	107,942	28,060,868
<u>Current - 30 days or less</u>	2,070,123	(6,461,039)	8,531,161
<u>Aged Receivable</u>	-	(9,895,649)	9,895,649
<u>To Be Billed</u>	13,095,349	(4,049,811)	17,145,160
<u>MTA Retention</u>	1,415,957	48,801	1,367,157
Total Exhibit V	16,581,429	(20,357,699)	36,939,128
Other receivables, unsold surplus properties, and deferred costs	29,380,466	24,639,269	4,741,197
Total Cash, Cash Equivalents & Receivables	106,909,541	22,750,583	84,158,958
<u>Liabilities</u>			
Payables & other Accruals	5,616,661	170,335	5,446,325
Unearned revenues	42,875,851 (a.)	22,695,535	20,180,316
MTA Working Capital Loan	45,000,000	-	45,000,000
Total liabilities	93,492,512	22,865,870	70,626,642
<u>Fund balance</u>			
Resources net of actual liabilities	13,417,029	(115,287)	13,532,316
Less estimated:			
CalPERS - Hypothetical termination liability	6,347,036 (b.)	-	6,347,036
Resources net of estimated liabilities	\$ 7,069,993 (c.)	\$ (115,287)	\$ 7,185,280

- a.) Represents surplus property appraised value, net proceeds from sale of ROW surplus properties, advanced UPRR funding, disallowed retention, and Betterment funds billed in advance to City of Industry for Fairway Drive and Fullerton projects.
- b.) Updated based on CalPERS's annual valuation report as of June 30, 2017.
- c.) Decrease represents increase in 06/30/2017 hypothetical termination liability and effect of prior year's deficiency of expenses over revenues

Exhibit VII

Treasury / Banking Investments

As of September 30, 2018

Deposit/ Investment Amount 09.30.18	% of Invest- ments		Maximum Maturity	Maximum Percent of Portfolio	Maximum Investment in One Issuer
		Bank Deposits			
		Ace deposits are held by Citizens Business Bank (CBB) under a deposit agreement in amounts not to exceed \$50 million. Under the agreement, CBB maintains collateral deposits of at least 110% of the value of all ACE deposits at Bank of New York Mellon in eligible securities. The CBB deposits accounts are:			
\$ 2,607,184		Checking Account			
30,171,652		Money Market Accounts (3) *			
32,778,835		Total Deposits			
		Permitted Investments **			
12,901,802	45.80%	Government Securities (3.74 - 5.00 years)	5 years	50%	15%<=
7,505,647	26.65%	Corporate Bonds (0.83 - 4.99 years)	5 years	30%	10%<=
1,114,176	3.96%	Gov't Mortgages (4.13 - 4.80 years)	5 years	15%	None stated
1,103,750	3.92%	Municipals (1.42 - 5.03 years)	None stated	None stated	None stated
3,432,859	12.19%	CDs (2.95 - 5.01 years)	5 years	30%	10%<=
490,596	1.74%	Cash and Cash Equivalents	None stated	None stated	None stated
26,548,831	94.25%	Subtotal Investments - Book value *			
1,619,979	5.75%	State's Local Agency Investment Fund	None stated	None stated	None stated
28,168,810	100.00%	Total Investments			
\$ 60,947,646		Total			

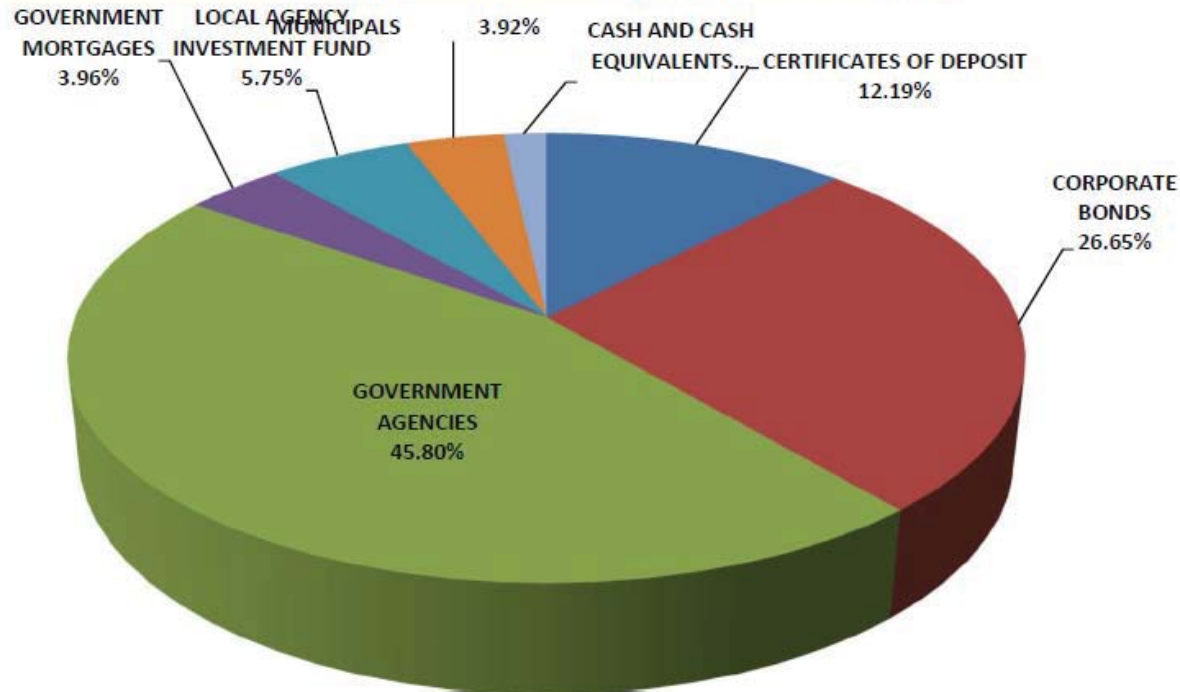
Fixed Income Investments Portfolio
September 30, 2018

Cusip	Name	Coupon	Yield to Maturity	Purchase Date	Maturity Date	Current Price	Par Value	Market Value	Current Book Value
3130A8EN9	Federal Home Loan Bank	1.64	2.329	6/14/2016	6/14/2021	98.766	100,000	98,766	100,000
3130A8NT6	Federal Home Loan Bank	1.48	2.283	7/28/2016	7/13/2021	98.255	200,000	192,510	200,000
3130A9GS4	Federal Home Loan Bank	1.70	2.440	10/12/2016	10/12/2021	98.534	600,000	579,204	600,000
3130AAZS6	Federal Home Loan Bank	1.80	2.513	11/30/2016	11/26/2021	98.676	300,000	290,028	299,727
3130ADZ88	Federal Home Loan Bank	3.00	3.282	4/17/2018	4/17/2023	98.710	200,000	197,420	200,000
3133EFZ91	Federal Farm Credit Bank	1.62	2.272	4/12/2016	4/12/2021	98.934	500,000	484,670	499,545
3134G93Q8	Freddie Mac	1.68	2.391	8/25/2016	8/25/2021	98.667	400,000	386,668	400,000
3134G95L7	Freddie Mac	1.60	2.413	8/25/2016	8/25/2021	98.189	700,000	673,323	700,000
3134GAEE0	Freddie Mac	1.50	2.288	9/30/2016	3/30/2021	98.651	1,000,000	966,510	999,480
3134GAHK3	Freddie Mac	1.60	2.391	9/30/2016	9/30/2021	98.291	800,000	770,328	799,480
3134GARB2	Freddie Mac	1.60	2.415	10/27/2016	10/27/2021	98.182	300,000	288,546	300,000
3134GAZR8	Freddie Mac	2.05	2.761	12/30/2016	12/30/2021	98.701	200,000	193,402	200,000
3134GBUJ9	Freddie Mac	2.15	2.854	6/29/2017	6/29/2022	98.740	500,000	483,700	500,000
3134GB3D2	Freddie Mac FHLMC	2.20	2.878	11/29/2017	5/25/2022	97.165	500,000	485,825	500,000
3134GB7F3	Freddie Mac	2.50	3.074	12/29/2017	12/29/2022	97.356	400,000	389,424	400,000
3137B04Y7	FHLMC Series KSMC Class	2.62	3.244	9/19/2018	1/25/2023	97.464	680,000	662,756	684,063
3137B04Y7	Fannie Mae Pool AM2508	2.37	3.227	9/14/2018	3/1/2023	98.464	150,000	144,696	145,008
3130AEYC8	FHLB DTD	2.84	2.839	9/25/2018	9/25/2020	100.002	100,000	100,002	99,975
3134GSEQ4	Freddie Mac	3.00	3.292	4/23/2018	3/15/2023	98.691	300,000	296,073	299,175
3134GBF56	Freddie Mac	2.00	2.949	5/31/2018	9/21/2022	98.185	170,000	163,515	164,460
3134GSKR5	Freddie Mac	3.13	3.350	5/31/2018	5/16/2023	98.981	800,000	791,848	799,680
3134GSPD1	Freddie Mac	3.32	3.422	6/14/2018	6/14/2023	99.535	100,000	99,535	100,000
3135G0N66	Fannie Mae	1.40	2.338	8/25/2016	8/25/2021	95.597	400,000	382,388	400,000
3136G1C98	Fannie Mae	1.42	1.900	5/11/2016	2/5/2020	98.275	150,000	147,413	150,676
3136G35G6	Fannie Mae	1.55	2.427	9/23/2016	9/23/2021	95.891	1,000,000	958,910	999,720
3136G37G4	Fannie Mae	1.60	2.511	9/30/2016	9/30/2021	95.744	900,000	861,696	899,487
3136G4GF4	Fannie Mae	1.55	2.527	10/31/2016	10/28/2021	95.442	200,000	190,884	200,000
3136G3XZ3	Fannie Mae	1.50	2.371	7/28/2016	7/28/2021	95.914	300,000	287,742	300,000
3136G4EV1	Fannie Mae	1.63	2.658	10/28/2016	10/28/2021	95.193	250,000	237,983	249,898
3136G3C78	Fannie Mae	1.55	2.378	7/28/2016	7/28/2021	96.115	200,000	192,230	200,000
880591EL2	Tenn Valley Authority DTD	3.88	3.339	9/19/2016	2/15/2021	102.181	500,000	510,905	531,430
45.80% Government Securities (3.74 - 5.00 years)							12,900,000	12,506,899	12,901,802
87164YML5	Synchrony Bank DTD	1.55	2.390	6/29/2016	6/29/2021	98.063	250,000	240,157	249,463
05580AFA7	BMW Bank North America	1.20	1.707	9/13/2016	8/26/2019	98.546	250,000	246,365	250,000
02006LM42	Ally Bank Medium	1.30	1.816	9/15/2016	9/16/2019	98.499	250,000	246,249	250,000
140420QF0	Capital One Bank USA	2.15	2.348	7/7/2015	10/16/2019	99.199	163,000	161,694	163,347
02587DWK0	American Expr Centurion	2.20	2.405	7/1/2015	11/29/2019	99.146	170,000	168,549	170,470
05580ACZ5	BMW Bk North America DTD	2.20	2.531	10/8/2015	9/30/2020	98.458	250,000	246,146	249,950
140420F21	Capital One Bank	1.65	2.484	9/14/2016	9/14/2021	98.052	250,000	240,130	250,000
140420YS3	Capital One Bank Medium	1.60	2.359	5/4/2016	5/4/2021	98.438	250,000	241,094	250,356
949763AW6	Wells Fargo Bank	1.30	1.818	9/14/2016	9/16/2019	98.491	250,000	246,228	250,000
619185GX5	Morton Community Bank	1.60	2.290	12/16/2016	6/16/2020	97.692	200,000	195,385	200,000
14042RAR2	Capital One NA Medium	2.20	2.532	10/8/2015	10/7/2020	98.451	200,000	196,602	199,230
254672W20	Discover Bank DTD	1.85	2.501	12/14/2016	12/14/2020	97.537	200,000	195,073	200,000
949746W3	Wells Fargo Bank CD	1.75	2.467	6/17/2016	6/17/2021	98.643	250,000	241,607	251,319
949763AZ9	Wells Fargo Bank	1.65	2.499	9/14/2016	9/14/2021	98.029	250,000	240,073	250,000
36157QTF4	GE Capital Retail Bank	2.00	2.008	11/22/2013	11/23/2018	99.962	250,000	249,605	248,725
12.19% CDs (2.95 - 5.01 years)							3,433,000	3,355,556	3,432,859
69353REX2	PNC Bank NA Series	1.45	2.756	9/28/2018	7/29/2019	98.932	500,000	494,660	494,884
06406HCW7	Bank of NY Mellon Corp	2.30	2.804	9/18/2018	9/11/2019	99.516	500,000	497,580	498,360
713448BN7	PepsiCo Inc	4.50	4.040	1/29/2015	1/15/2020	102.050	100,000	102,050	103,838
94988J5L7	Wells Fargo Bank NA	2.40	3.024	9/28/2018	1/15/2020	99.211	250,000	248,028	248,085
291011BC7	Emerson Electric Co	4.25	3.248	9/28/2018	11/15/2020	102.050	280,000	285,740	286,320
911312AMB	United Parcel Service DTD	3.13	3.092	6/10/2016	1/15/2021	100.140	185,000	185,259	192,048
440452AE0	Hormel Foods Corp	4.13	3.587	1/31/2017	4/15/2021	102.083	500,000	510,415	525,813
14912L6U0	Caterpillar Financial Serv Corp	1.70	2.584	9/9/2016	8/9/2021	95.944	1,746,000	1,675,182	1,738,545
02665WBG5	American Honda Finance	1.70	2.612	9/15/2016	9/9/2021	95.763	1,400,000	1,340,682	1,392,034
06406RAA5	Bank of NY Mellon Corp	2.60	3.221	10/18/2017	2/7/2022	97.519	1,011,000	985,917	1,020,903
166764AT7	Chevron	2.60	3.239	7/26/2017	3/3/2022	97.288	370,000	359,666	372,902
244199BE4	Deere & Co	2.60	3.145	7/26/2017	6/8/2022	97.556	150,000	146,334	152,472
037833DC1	Apple Inc	2.10	3.240	9/25/2018	9/12/2022	95.788	500,000	478,940	479,445
26.65% Corporate Bonds (0.83 - 4.99 years)							7,492,000	7,310,752	7,505,647
31407RTU8	Fannie Mae Pool #38563	5.00	4.245	4/29/2016	10/1/2020	103.018	37,863	39,005	40,205
3137A6B27	Freddie Mac	4.33	3.767	9/7/2016	10/25/2020	102.149	155,458	158,798	171,733
3137A8PP7	Freddie Mac	4.19	3.636	9/7/2016	12/25/2020	102.174	321,608	328,599	355,226
3137ABFH9	Freddie Mac	3.99	3.557	9/7/2016	6/25/2021	101.892	496,000	505,385	547,013
3.96% Gov't Mortgages (4.13 - 4.80 years)							1,010,929	1,031,788	1,114,176
010831BM6	Alameda County JPA	5.00	4.873	11/21/2013	12/1/2018	100.560	440,000	442,464	447,968
91412GSY7	Univ of California	1.61	2.048	12/13/2017	5/15/2019	99.391	310,000	308,112	309,084
13066YTZ2	California State Dept of Water Resources PW	2.00	2.910	1/22/2018	5/1/2022	98.368	150,000	144,552	146,550
13063DFZ6	California State Dept of Water Resources PW	2.60	2.799	4/25/2018	4/1/2020	99.628	100,000	99,628	100,143
13063DGA0	California State Dept of Water Resources PW	2.80	2.919	4/25/2018	4/1/2021	99.668	100,000	99,668	100,004
3.92% Municipals (1.42 - 5.03 years)							1,100,000	1,084,424	1,103,750
31607A208	Fidelity Prime Mon Mar-Ins	1.00		10/7/2015		100.000	497,397	497,397	497,397
1.74% Cash and Cash Equivalents							(6,801)	(6,801)	(6,801)
TOTAL (Dollars)							\$ 26,426,525	\$ 25,790,015	\$ 26,548,832

Fixed Income Investments at 09-30-2018 - Summary

ASSET ALLOCATION

Assets (Dollars)	Current Book Value		Market Value	Mkt/Book
CERTIFICATES OF DEPOSIT	3,432,859	12.19%	3,355,556	97.75%
CORPORATE BONDS	7,505,647	26.65%	7,310,752	97.40%
GOVERNMENT AGENCIES	12,901,802	45.80%	12,506,899	96.94%
GOVERNMENT MORTGAGES	1,114,176	3.96%	1,031,788	92.61%
LOCAL AGENCY INVESTMENT FUND	1,619,979	5.75%	1,619,979	100.00%
MUNICIPALS	1,103,750	3.92%	1,094,424	99.16%
CASH AND CASH EQUIVALENTS	490,596	1.74%	490,596	100.00%
Totals (Dollars)	28,168,810		27,409,994	97.31%



Fixed Income Composition by Book Value

Comparative Summary Balance Sheet

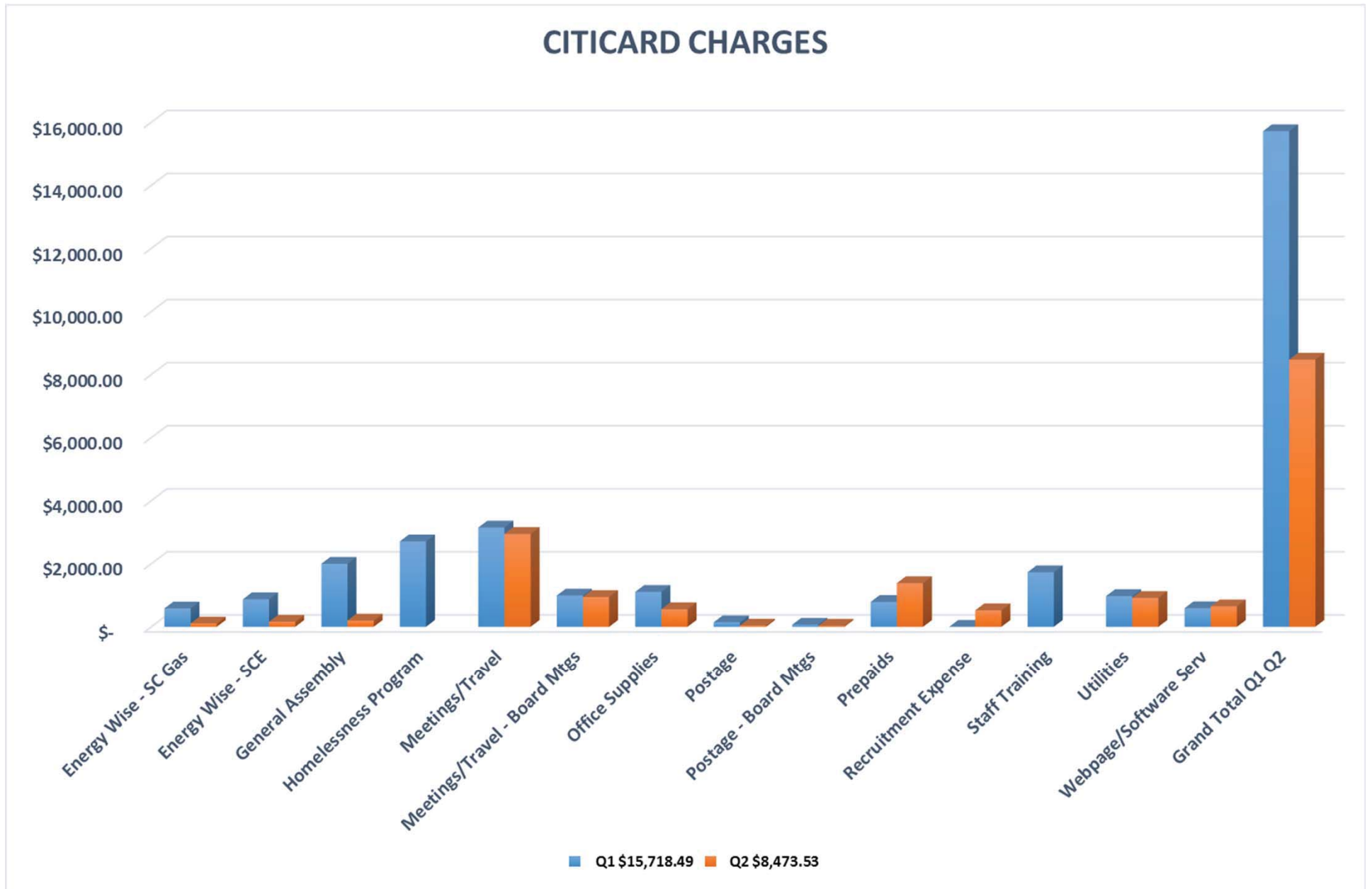
As of December 31, 2018

	12.31.2018	Change	09.30.18
CBB - Checking	\$ 1,261,918	\$ (58,534)	\$ 1,320,452
CBB- 242-034-325 CD	55,646	14	55,632
CBB - 2766 Savings	1,590	(0)	1,590
CBB -242-034-953 CD	54,879	15	54,864
Petty Cash	400	-	400
LAIF	234,923	1,270	233,653
LAIF Maket Value	86	(0)	86
Cash and equivalents	<u>1,609,441</u>	<u>(57,236)</u>	<u>1,666,677</u>
Member Receivable	-	-	-
Grants/Contracts Receivable	376,261	(307,749)	684,009
Sponsorships Receivable	5,350	12,218	(6,868)
Rental Deposit Receivable	5,489	-	5,489
Unbilled Grant Receivable	490	(18,199)	18,689
Receivables - other	68,174	56,650	11,524
Receivables	<u>455,765</u>	<u>(257,079)</u>	<u>712,844</u>
Prepays and deferrals	<u>156,279</u>	<u>(1,290)</u>	<u>157,569</u>
Total assets	<u>2,221,484</u>	<u>(315,606)</u>	<u>2,537,090</u>
Accounts Payable	29,760	29,760	-
Citi Bank Card	1,381	(2,763)	4,144
Payroll Payable	381	(1)	382
Accrued Vacation	32,361	4,176	28,185
Unearned Revenues - Member Cities Dues	392,903	(182,477)	575,380
Accruals, deferrals and other payables	740,248	(187,218)	927,466
Total liabilities	<u>1,197,034</u>	<u>(338,523)</u>	<u>1,535,557</u>
Net Position, beginning of period **	958,429	(0)	958,429
Change in net position	66,021	22,918	43,103
Net Position, end of period	<u>\$ 1,024,450</u>	<u>\$ 22,919</u>	<u>\$ 1,001,532</u>

San Gabriel Valley Council of Governments
Grants Receivable Aging Detail
As of September 30, 2018

Month	So. California Edison - Energy Wise	So. California Gas - Energy Wise	MTA	LA County - Homelessness Planning Grant	Various Cities - Homelessness Planning Grant	Various Cities - CIMP & EWMP	Totals	Notes
Feb-18	9,110.55	-	-	-	-		\$ 9,110.55	Pymt received 10/5/18
Mar-18	-	-	-	-	-		\$ -	
Apr-18	-	8,677.95	-	-	-		\$ 8,677.95	Pymt received 12/14/18
May-18	16,736.96	11,445.09	-	-	31,400.00		\$ 59,582.05	Edison payment received 10/24/18
Jun-18	16,698.18	10,103.80	7,816.42	-	-		\$ 34,618.40	MTA pymt received 10/5/18
Jul-18	-	5,692.03	7,816.42	13,166.66	-	478,187.00	\$ 504,862.11	MTA pymt received 11/5/18 LAC pymt received 12/14/18
Aug-18	5,484.20	12,726.32	-	13,166.66	-	-	\$ 31,377.18	LAC pymt received 12/14/18
Sep-18	8,691.29	5,794.17	8,129.08	13,166.66	-	-	\$ 35,781.20	LAC pymt received 12/14/18 MTA \$8,129.08 pymt received 10/4/18
	\$ 56,721.18	\$ 54,439.36	\$ 23,761.92	\$ 39,499.98	\$ 31,400.00	\$ 478,187.00	\$ 684,009.44	

CITICARD CHARGES



SAN GABRIEL VALLEY COUNCIL OF GOVERNMENTS
Consolidated Budget to Actual
FY 2019 Second Quarter Report
As of December 31, 2018

		Jul 18 - Dec 18 Actual		FY 2019 Budget	% of Budget
1	General Operating Income				
2	Member Dues	\$ 361,104	\$	754,007	48%
3	Sponsorships	17,350		50,000	35%
4	Hero Program	2,340		12,000	19%
5	Interest	1,326		2,050	65%
6	<i>Total General Operating Income</i>	\$ 382,120	\$	818,057	47%
7					
8	Grants & Special Project Income				
9	Transportation Administration (Local)	48,774		96,611	50%
10	Homelessness (Local)	70,500		158,000	45%
11	Energy Efficiency (Local)	61,378		340,000	18%
12	Measure M Subregional Administration (Local)	26,815		52,000	52%
13	Bike Share Grant	18,409		1,010,000	0%
14	Stormwater (Local)	-		483,071	0%
15	<i>Total Grants & Special Project Income</i>	\$ 225,875	\$	2,139,682	11%
16	Total Income	\$ 607,995	\$	2,957,739	21%
17					
18	Indirect Expenses				
19	Personnel	172,080		529,780	32%
20	Board & Employee Expenses	18,812		60,000	31%
21	Professional Services	106,719		346,980	31%
22	Other Expenses	87,150		198,927	44%
23	<i>Total Indirect Expenses</i>	\$ 384,761	\$	1,135,687	34%
24					
25	Direct Expenses				
26	Personnel	127,674		322,707	40%
27	Program Management	29,540		468,071	6%
28	<i>Total Direct Expenses</i>	\$ 157,214	\$	790,778	20%
29	Total Expenditures	\$ 541,975	\$	1,926,465	28%
30	Net income (Loss)	\$ 66,020	\$	1,031,274	N/A

REPORT

DATE: March 21, 2019

TO: Water Policy Committee/Water TAC
Governing Board

FROM: Marisa Creter, Executive Director

RE: **AB 1204 (RUBIO): PRIMARY DRINKING WATER STANDARDS
IMPLEMENTATION DATE**

RECOMMENDED ACTION

Adopt Resolution 19-05 supporting AB 1204 (Rubio).

BACKGROUND

At the February 2019 Governing Board meeting, members adopted Resolution 19-03 identifying stormwater legislative priorities for 2019 and directing the Water Committee to engage with State legislators to support these priorities. Staff and Water Committee members have engaged with San Gabriel Valley representatives who have agreed to sponsor legislation. As a result of these efforts, several bills have been introduced. One bill, AB 1204 (Rubio) would establish reasonable timelines for new regulatory water quality requirements enacted by the State Water Board.

Under existing law, the California Safe Drinking Water Act, requires the State Water Resources Control Board to administer provisions relating to the regulation of drinking water to protect public health, including, but not limited to, conducting research, studies, and demonstration programs relating to the provision of a dependable, safe supply of drinking water, enforcing the federal Safe Drinking Water Act, adopting implementing regulations, and conducting studies and investigations to assess the quality of water in private domestic water supplies.

AB 1204 would require the adoption or amendment of a primary drinking water standard for a contaminant in drinking water not regulated by a federal primary drinking water standard or that is more stringent than a federal primary drinking water standard to take effect 3 years after the date on which the state board adopts or amends the primary drinking water standard. This bill would authorize the state board to delay the effective date of the primary drinking water standard adoption or amendment by no more than 2 additional years as necessary for capital improvements to comply with a maximum contaminant level or treatment technique.

On March 12, the Water Committee and Water TAC received a presentation on AB 1204 and recommended the bill for support to the Governing Board. Additionally, the following agencies support AB 1204: the Association of California Water Agencies (Sponsor), Upper San Gabriel Municipal Water District, and Orange County Water District.

REPORT

Prepared by: _____


Katie Ward
Senior Management Analyst

Approved by: _____


Marisa Creter
Executive Director

ATTACHMENTS

Attachment A – AB 1204 (Rubio)
Attachment B – Resolution 19-05

ASSEMBLY BILL

No. 1204

Introduced by Assembly Member Blanca Rubio

February 21, 2019

An act to add Section 116365.1 to the Health and Safety Code, relating to drinking water.

LEGISLATIVE COUNSEL'S DIGEST

AB 1204, as introduced, Blanca Rubio. Public water systems: primary drinking water standards: implementation date.

Existing law, the California Safe Drinking Water Act, requires the State Water Resources Control Board to administer provisions relating to the regulation of drinking water to protect public health, including, but not limited to, conducting research, studies, and demonstration programs relating to the provision of a dependable, safe supply of drinking water, enforcing the federal Safe Drinking Water Act, adopting implementing regulations, and conducting studies and investigations to assess the quality of water in private domestic water supplies. The act requires the board to adopt primary drinking water standards for contaminants in drinking water and requires the Office of Environmental Health Hazard Assessment to prepare and publish an assessment of the risks to public health posed by each contaminant for which the board proposes a primary drinking water standard. Existing law requires the state board to consider specified criteria when it adopts a primary drinking water standard, including the technological and economic feasibility of compliance.

This bill would require the adoption or amendment of a primary drinking water standard for a contaminant in drinking water not regulated by a federal primary drinking water standard or that is more

stringent than a federal primary drinking water standard to take effect 3 years after the date on which the state board adopts or amends the primary drinking water standard. The bill would authorize the state board to delay the effective date of the primary drinking water standard adoption or amendment by no more than 2 additional years as necessary for capital improvements to comply with a maximum contaminant level or treatment technique.

Vote: majority. Appropriation: no. Fiscal committee: yes.
State-mandated local program: no.

The people of the State of California do enact as follows:

1 SECTION 1. Section 116365.1 is added to the Health and
2 Safety Code, to read:
3 116365.1. (a) Except as provided in subdivision (b), a primary
4 drinking water standard adopted pursuant to Section 116365 and
5 any amendment to a primary drinking water standard for a
6 contaminant in drinking water not regulated by a federal primary
7 drinking water standard adopted by the United States
8 Environmental Protection Agency or that is more stringent than a
9 federal primary drinking water standard adopted by the United
10 States Environmental Protection Agency, shall take effect three
11 years after the date on which the state board adopts or amends the
12 primary drinking water standard.
13 (b) The state board may delay the effective date of a primary
14 drinking water standard described in subdivision (a) by no more
15 than two additional years as necessary for capital improvements
16 to comply with a maximum contaminant level or treatment
17 technique.

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RESOLUTION NO. 19-05

**A RESOLUTION OF THE GOVERNING BOARD OF THE SAN
GABRIEL VALLEY COUNCIL OF GOVERNMENTS (SGVCOG)
SUPPORTING AB 1204 (RUBIO)**

WHEREAS, the SGVCOG Governing Board adopted Resolution 19-03 identifying stormwater/water legislative priorities for 2019; and

WHEREAS, the 2019 stormwater/water legislative priorities identified the establishment of reasonable timelines for new regulatory water quality requirements enacted by the State Water Resources Control Board (Board); and

WHEREAS, under existing law, the California Safe Drinking Water Act (Act) requires the Board to administer provisions relating to the regulation of drinking water to protect public health; and

WHEREAS, the Act requires the Board to adopt primary drinking water standards for contaminants in drinking water and requires the Office of Environmental Health Hazard Assessment to prepare and publish an assessment of the risks to public health posed by each contaminant for which the board proposes a primary drinking water standard; and

WHEREAS, existing law requires the Board to consider specified criteria when it adopts a primary drinking water standard, including the technological and economic feasibility of compliance; and

WHEREAS, AB 1204 would require the adoption or amendment of a primary drinking water standard for a contaminant in drinking water (not regulated by a federal primary drinking water standard or that is more stringent than a federal primary drinking water standard) to take effect three years after the date on which the state board adopts or amends the primary drinking water standard; and

WHEREAS, AB 1204 would authorize the Board to delay the effective date of the primary drinking water standard adoption or amendment by no more than two additional years as necessary for capital improvements to comply with a maximum contaminant level or treatment technique.

NOW, THEREFORE BE IT RESOLVED, that the Governing Board of the SGVCOG supports AB 1204 (Rubio)

PASSED AND ADOPTED by the Governing Board of San Gabriel Valley Council of Governments, in the County of Los Angeles, State of California, on the 21st day of March 2019.

San Gabriel Valley Council of Governments

Cynthia Sternquist, President

Resolution No. 19-05

Attest:

I, Marisa Creter, Executive Director and Secretary of the Board of Directors of the San Gabriel Valley Council of Governments, do hereby certify that Resolution 19-05 was adopted at a regular meeting of the Governing Board held on the 21st day of March 2019 by the following roll call vote:

AYES:	
NOES:	
ABSTAIN:	
ABSENT:	

Marisa Creter, Secretary

DATE: March 21, 2019

TO: Water Policy/Technical Advisory Committee
Governing Board

FROM: Marisa Creter, Executive Director

RE: **AB 1093 (RUBIO): STORMWATER FINANCIAL CAPABILITY ANALYSIS**

RECOMMENDED ACTION

Adopt Resolution 19-06 supporting AB 1093 (Rubio).

BACKGROUND

Local governments are required to meet regulatory standards for drinking water, wastewater, and stormwater. The totality of expense in meeting these requirements can create a financial burden on municipalities and often competes for general fund resources creating difficult tradeoffs. Local taxing restrictions and economic realities often preclude passing compliance costs on to ratepayers and in some cases, the regulatory costs associated with water become a financial burden on residents as well.

In recognition of this problem, the Environmental Protection Agency (EPA) created the Financial Capability Assessment (FCA), a framework for assessing a community's ability to finance water-related capital infrastructure and the ability of individual utility customers to pay for water services without undue hardship. The FCA framework identifies the key elements the EPA uses in working with permittees to evaluate how their financial capability should influence compliance schedules. It does not exempt permittees from compliance. The process uses a two-phased approach to consider the burden on both ratepayers and municipalities:

- Ratepayers: The residential share of the Clean Water Act (CWA) obligations is compared to the median household income (MHI).
- Municipalities: FCA assesses the financial strength of the permittee.

The U.S. Conference of Mayors (Mayors) completed an affordability survey that examined the financial capability of a diverse group of California cities. In November 2014, the Mayors released their report, *Public Water Cost Per Household: Assessing Financial Impacts of EPA Affordability Criteria in California Cities*. The study reviewed over 30 California cities using the EPA's affordability criteria under FCA. The study found that 10 of those cities had 20% or more of households that exceeded EPA's threshold spending on combined water costs. Under the FCA process those cities would be eligible to request an extension of their timeline for meeting CWA regulations.

The CWA allows the EPA to grant oversight of compliance to states. California is one of many states that have created their own monitoring agencies; in this case the State Water Resources Control Board and the nine regional water boards. Because of this, FCA does not apply to

California. AB 1093 attempts to close this gap by creating a financial capability assessment program for the state.

AB 1093 (RUBIO)


At the February 2019, Governing Board meeting, members adopted Resolution 19-03 identifying stormwater legislative priorities for 2019 and directing the Water Committee to engage with State legislators to support these priorities. Staff and Water Committee members have engaged with San Gabriel Valley representatives who have agreed to sponsor legislation. As a result of these efforts, several bills have been introduced. One bill, AB 1093 (Rubio) establishes FCA guidelines for MS4 permittees that are adequate and consistent when considering the costs to local jurisdictions


This bill would require the state board, by July 1, 2020, to establish FCA guidelines for MS4 permittees that are adequate and consistent when considering the costs to local jurisdictions. The FCA framework does not alter or waive water quality standards but offers alternative compliance pathways to MS4 permittees and achievable schedules for compliance for disadvantaged communities. In developing the guidelines, this bill would require the state board to document any source it uses to develop an estimate of local costs and the overall cost of stormwater management. The state board shall consider, but is not limited to considering, the United States EPA policies in drafting the FCA guidelines.

DISCUSSION

In the 2018 legislative cycle, an FCA bill was introduced by Assemblywoman Rubio as AB 2538. The SGVCOG took a position of support for AB 2538 and was passed by both the Assembly and Senate. AB 2538 was enrolled at Governor Brown's desk for signature but was ultimately vetoed based on the argument that the State Water Board is currently working on guidelines to assist local agencies in estimating and tracking cost of compliance for stormwater permits.

On March 12, the Water Committee and Water TAC received a presentation on AB 1093 and recommended the bill for support to the Governing Board.

Prepared by: 
Katie Ward
Senior Management Analyst

Approved by: 
Marisa Creter
Executive Director

ATTACHMENTS

Attachment A – AB 1093 (Rubio)
Attachment B – Resolution 19-06

ASSEMBLY BILL

No. 1093

Introduced by Assembly Member Blanca Rubio

February 21, 2019

An act to add Section 13185 to the Water Code, relating to water quality.

LEGISLATIVE COUNSEL'S DIGEST

AB 1093, as introduced, Blanca Rubio. Municipal separate storm sewer systems: financial capability analysis.

Under existing law, the State Water Resources Control Board and the California regional water quality control boards prescribe waste discharge requirements for the discharge of stormwater in accordance with the federal national pollutant discharge elimination system permit program. Existing law requires the state board or the regional boards to issue waste discharge requirements that ensure compliance with the federal Clean Water Act and apply any more stringent effluent standards or limitations necessary to implement water quality control plans, or for the protection of beneficial uses, or to prevent nuisance.

This bill would require the state board, by July 1, 2020, to establish financial capability assessment guidelines for municipal separate storm sewer system permittees that are adequate and consistent when considering the costs to local jurisdictions.

Vote: majority. Appropriation: no. Fiscal committee: yes.
State-mandated local program: no.

The people of the State of California do enact as follows:

1 SECTION 1. (a) The Legislature finds and declares as follows:

1 (1) On November 24, 2014, the federal Environmental Protection
2 Agency, Office of Enforcement and Compliance Assurance,
3 announced it had adopted a refined financial capability assessment
4 framework to aid in negotiating schedules for compliance with the
5 municipal federal Clean Water Act requirements and in developing
6 integrated management plans.

7 (2) The financial capability assessment framework does not
8 alter or waive water quality standards, but offers alternative
9 compliance pathways to municipal separate storm sewer system
10 permittees and achievable schedules for compliance for
11 disadvantaged communities.

12 (3) A financial capability assessment is necessary to set
13 achievable schedules for water quality objectives in water quality
14 control plans under the Porter-Cologne Water Quality Control Act
15 (Division 7 (commencing with Section 13000) of the Water Code)
16 and to develop integrated regional water management plans.

17 (b) It is the intent of the Legislature in enacting this measure to
18 do all of the following:

19 (1) Comply with the federal Clean Water Act (33 U.S.C. Sec.
20 1251 et seq.).

21 (2) Help local governments that are making a good faith effort
22 to be stewards of the environment but lack a dedicated revenue
23 source for stormwater.

24 (3) Find solutions and share the cost of compliance for local
25 governments that are participating in a watershed management
26 program or an enhanced watershed management program.

27 (4) Not weaken environmental protections for lower income
28 communities but rather to provide funding to achieve the same
29 protections for all communities.

30 (5) Help the State Water Resources Control Board, the California
31 regional water quality control boards, and local governments to
32 prioritize the many competing requirements faced by communities
33 dealing with funding drinking water, groundwater, sanitary sewer,
34 flood protection, and stormwater improvements.

35 (6) Give communities time to apply for grants to overcome the
36 financial constraints of local government without fear of fines and
37 third-party litigation.

38 SEC. 2. Section 13185 is added to the Water Code, to read:

39 13185. By July 1, 2020, the state board shall establish financial
40 capability assessment guidelines for municipal separate storm

1 sewer system permittees that are adequate and consistent when
2 considering the costs to local jurisdictions, including costs incurred
3 in previous years. In developing the guidelines, the state board
4 shall document any source it uses to develop an estimate of local
5 costs and the overall cost of stormwater management. The state
6 board shall consider, but is not limited to considering, both of the
7 following United States Environmental Protection Agency policies
8 in drafting the financial capability assessment guidelines:
9 (a) Combined Sewer Overflows—Guidance for Financial
10 Capability Assessment and Schedule Development, dated February
11 1997.
12 (b) Affordability Criteria for Small Drinking Water Systems:
13 An EPA Science Advisory Board Report, dated December 2002.

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RESOLUTION NO. 19-06

**A RESOLUTION OF THE GOVERNING BOARD OF THE SAN
GABRIEL VALLEY COUNCIL OF GOVERNMENTS (SGVCOG)
SUPPORTING AB 1093 (RUBIO)**

WHEREAS, the SGVCOG Governing Board adopted Resolution 19-03 identifying stormwater/water legislative priorities for 2019; and

WHEREAS, the 2019 stormwater/water legislative priorities directed the state adoption of financial capability assessment (FCA) guidelines to better manage compliance timelines for municipal separate storm sewer system (MS4) permittees; and

WHEREAS, local governments are required to meet regulatory standards for drinking water, wastewater, and stormwater, and the totality of expense in meeting these requirements can create a financial burden on municipalities and often competes for general fund resources creating difficult tradeoff; and

WHEREAS, local taxing restrictions and economic realities often preclude passing compliance costs on to ratepayers and in some cases, the regulatory costs associated with water become a financial burden on residents as well; and

WHEREAS, in recognition of this problem, the Environmental Protection Agency (EPA) created the FCA, a framework for assessing a community's ability to finance water-related capital infrastructure and the ability of individual utility customers to pay for water services without undue hardship; and

WHEREAS, the Clean Water Act allows the EPA to grant oversight of compliance to states and California is one of many states that have created their own monitoring agencies; in this case the State Water Resources Control Board and the nine regional water boards; and

WHEREAS, the EPA's FCA framework does not apply to California and AB 1093 attempts to close this gap by creating an FCA program for the state; and

WHEREAS, AB 1093 would require the State Board, by July 1, 2020, to establish FCA guidelines for MS4 permittees that are adequate and consistent when considering the costs to local jurisdictions; and

WHEREAS, AB 1093 would require the State Board to document any source it uses to develop an estimate of local costs and the overall cost of stormwater management, as well as consider, but is not limited to considering, the EPA policies in drafting the FCA guidelines.

NOW, THEREFORE BE IT RESOLVED, that the Governing Board of the SGVCOG supports AB 1093 (Rubio)

PASSED AND ADOPTED by the Governing Board of San Gabriel Valley Council of Governments, in the County of Los Angeles, State of California, on the 21st day of March 2019.

San Gabriel Valley Council of Governments

Cynthia Sternquist, President

Attest:

I, Marisa Creter, Executive Director and Secretary of the Board of Directors of the San Gabriel Valley Council of Governments, do hereby certify that Resolution 19-06 was adopted at a regular meeting of the Governing Board held on the 21st day of March 2019 by the following roll call vote:

AYES:	
NOES:	
ABSTAIN:	
ABSENT:	

Marisa Creter, Secretary

REPORT

DATE: March 21, 2019

TO: Water Policy Committee/Water TAC
Governing Board

FROM: Marisa Creter, Executive Director

RE: **AB 755 (HOLDEN): CALIFORNIA TIRE FEE/STORMWATER PERMIT
COMPLIANCE FUND**

RECOMMENDED ACTION

Adopt Resolution 19-07 supporting AB 755 (Holden).

BACKGROUND

At the February 2019 Governing Board meeting, members adopted Resolution 19-03 identifying stormwater legislative priorities for 2019 and directing the Water Committee to engage with State legislators to support these priorities. Staff and Water Committee members have engaged with San Gabriel Valley representatives who have agreed to sponsor legislation. As a result of these efforts, several bills have been introduced. One bill, AB 755 (Holden) would increase the tire recycling fee and the money would be used for stormwater cleanup.

Currently, the California Tire Recycling Act requires a person who purchases a new tire to pay \$1.75 per tire, for purposes related to air quality and tire recycling. This bill would increase the tire fee by \$1.50 to be deposited in the Stormwater Permit Compliance Fund and would make the moneys available to the State Water Resources Control Board Division of Financial Assistance. The bill would continuously appropriate moneys in the fund for competitive grants for projects and programs for MS4 permit compliance requirements that would prevent or remediate zinc pollutants caused by tires in the state and for an annual audit of the fund.

In 2017, the SGVCOG introduced AB 1180 (Holden) to address the source of zinc, a major contributor to stormwater pollution, by applying a \$1.50 fee for each new tire. This bill did not make it out of Assembly Appropriations. At the time, there was hesitation to promote multiple fees at the same time, which included SB 1 and other major funding initiatives.

On March 12, the Water Committee and Water TAC received a presentation on AB 755 and recommended the bill for support to the Governing Board.

REPORT

Prepared by: _____


Katie Ward
Senior Management Analyst

Approved by: _____


Marisa Creter
Executive Director

ATTACHMENTS

Attachment A – AB 755 (Holden)
Attachment B – Resolution 19-07

ASSEMBLY BILL

No. 755

Introduced by Assembly Member Holden

February 19, 2019

An act to amend Sections 42885 and 42889 of, and to add Section 42888.5 to, the Public Resources Code, relating to tires, making an appropriation therefor, and declaring the urgency thereof, to take effect immediately.

LEGISLATIVE COUNSEL'S DIGEST

AB 755, as introduced, Holden. California tire fee: Stormwater Permit Compliance Fund.

The California Tire Recycling Act, until January 1, 2024, requires a person who purchases a new tire to pay a California tire fee of \$1.75 per tire, for deposit, except for 1½% retained by retailers and as provided below, in the California Tire Recycling Management Fund for expenditure by the Department of Resources Recycling and Recovery upon appropriation by the Legislature for prescribed purposes related to disposal and use of used tires. Commencing January 1, 2024, existing law reduces the California tire fee to \$0.75 per tire and changes the retailers' share to 3%.

Existing law, until January 1, 2024, requires that \$0.75 per tire on which the California tire fee is imposed be deposited in the Air Pollution Control Fund with these moneys to be available upon appropriation by the Legislature for use by the State Air Resources Board and local air districts to fund programs and projects that mitigate or remediate air pollution caused by tires in the state, as provided.

This bill would increase the California tire fee by \$1.50. The bill would deposit the additional moneys in the Stormwater Permit

Compliance Fund, which would be established by the bill, and would make the moneys available to the State Water Resources Control Board Division of Financial Assistance. The bill would continuously appropriate moneys in the fund for competitive grants for projects and programs for municipal storm sewer system permit compliance requirements that would prevent or remediate zinc pollutants caused by tires in the state and for an annual audit of the fund. Money in the fund would be available upon appropriation for the administrative expenses of the fund, not to exceed 3% of the overall revenue annually deposited in the fund, except as specified. The bill would also make conforming changes.

This bill would declare that it is to take effect immediately as an urgency statute.

Vote: $\frac{2}{3}$. Appropriation: yes. Fiscal committee: yes.

State-mandated local program: no.

The people of the State of California do enact as follows:

1 SECTION 1. Section 42885 of the Public Resources Code, as
 2 amended by Section 31 of Chapter 401 of the Statutes of 2013, is
 3 amended to read:
 4 42885. (a) For purposes of this section, "California tire fee"
 5 means the fee imposed pursuant to this section.
 6 (b) (1) A person who purchases a new tire, as defined in
 7 subdivision (g), shall pay a California tire fee of ~~one dollar and~~
 8 ~~seventy-five cents (\$1.75)~~ *three dollars and twenty-five cents*
 9 *(\$3.25)* per tire.
 10 (2) The retail seller shall charge the retail purchaser the amount
 11 of the California tire fee as a charge that is separate from, and not
 12 included in, any other fee, charge, or other amount paid by the
 13 retail purchaser.
 14 (3) (A) The retail seller shall collect the California tire fee from
 15 the retail purchaser at the time of sale and may retain 1 ½ percent
 16 of the fee as reimbursement for any costs associated with the
 17 collection of the fee. ~~The~~
 18 (B) *The* retail seller shall remit the remainder to the state on a
 19 quarterly schedule for deposit in the California Tire Recycling
 20 Management Fund, which is hereby created in the State ~~Treasury.~~
 21 *Treasury, the Air Pollution Control Fund, and the Stormwater*
 22 *Permit Compliance Fund, established pursuant to Section 42888.5.*

Of this amount, for each tire subject to the California Tire Fee, seventy-five cents (\$0.75) shall be deposited in the Air Pollution Control Fund pursuant to Section 42889, one dollar and fifty cents (\$1.50) shall be deposited in the Stormwater Permit Compliance Fund, and the balance shall be deposited in the California Tire Recycling Management Fund.

(c) The department, or its agent authorized pursuant to Section 42882, shall be reimbursed for its costs of collection, auditing, and making refunds associated with the California Tire Recycling Management Fund, but not to exceed 3 percent of the total annual revenue deposited in the fund.

(d) The California tire fee imposed pursuant to subdivision (b) shall be separately stated by the retail seller on the invoice given to the customer at the time of sale. Any other disposal or transaction fee charged by the retail seller related to the tire purchase shall be identified separately from the California tire fee.

(e) A person or business ~~who~~ *that* knowingly, or with reckless disregard, makes a false statement or representation in a document used to comply with this section is liable for a civil penalty for each violation or, for continuing violations, for each day that the violation continues. Liability under this section may be imposed in a civil action and shall not exceed twenty-five thousand dollars (\$25,000) for each violation.

(f) In addition to the civil penalty that may be imposed pursuant to subdivision (e), the department may impose an administrative penalty in an amount not to exceed five thousand dollars (\$5,000) for each violation of a separate provision or, for continuing violations, for each day that the violation continues, on a person who intentionally or negligently violates a permit, rule, regulation, standard, or requirement issued or adopted pursuant to this chapter. The department shall adopt regulations that specify the amount of the administrative penalty and the procedure for imposing an administrative penalty pursuant to this subdivision.

(g) For purposes of this section, “new tire” means a pneumatic or solid tire intended for use with ~~on-road~~ *on-road* or off-road motor vehicles, motorized equipment, construction equipment, or farm equipment that is sold separately from the ~~motorized vehicle or~~ equipment, or a new tire sold with a new or used motor vehicle, as defined in Section 42803.5, including the spare tire, *or with*

1 construction ~~equipment~~, *equipment* or farm equipment. “New tire”
2 does not include retreaded, reused, or recycled tires.

3 (h) The California tire fee shall not be imposed on a tire sold
4 with, or sold separately for use on, any of the following:

5 (1) A self-propelled wheelchair.

6 (2) A motorized tricycle or motorized quadricycle, as defined
7 in Section 407 of the Vehicle Code.

8 (3) A vehicle that is similar to a motorized tricycle or motorized
9 quadricycle and is designed to be operated by a person who, by
10 reason of the person’s physical disability, is otherwise unable to
11 move about as a pedestrian.

12 (i) ~~This section shall remain in effect only until January 1, 2024,~~
13 ~~and as of that date is repealed, unless a later enacted statute, that~~
14 ~~is enacted before January 1, 2024, deletes or extends that date.~~

15 *This section shall remain in effect only until January 1, 2024,*
16 *and as of that date is repealed, unless a later enacted statute that*
17 *is enacted before January 1, 2024, deletes or extends that date.*

18 SEC. 2. Section 42885 of the Public Resources Code, as
19 amended by Section 32 of Chapter 401 of the Statutes of 2013, is
20 amended to read:

21 42885. (a) For purposes of this section, “California tire fee”
22 means the fee imposed pursuant to this section.

23 (b) (1) ~~Every~~ A person who purchases a new tire, as defined in
24 subdivision (g), shall pay a California tire fee of ~~seventy-five cents~~
25 ~~(\$0.75)~~ *two dollars and twenty-five cents (\$2.25)* per tire.

26 (2) The retail seller shall charge the retail purchaser the amount
27 of the California tire fee as a charge that is separate from, and not
28 included in, any other fee, charge, or other amount paid by the
29 retail purchaser.

30 (3) (A) The retail seller shall collect the California tire fee from
31 the retail purchaser at the time of sale and may retain 3 percent of
32 the fee as reimbursement for any costs associated with the
33 collection of the fee. ~~The~~

34 (B) ~~The~~ retail seller shall remit the remainder to the state on a
35 quarterly schedule for deposit in the California Tire Recycling
36 Management Fund, which is hereby created in the State ~~Treasury.~~
37 *Treasury, and the Stormwater Permit Compliance Fund,*
38 *established pursuant to Section 42888.5. Of this amount, for each*
39 *tire subject to the California Tire Fee, one dollar and fifty cents*
40 *(\$1.50) shall be deposited in the Stormwater Permit Compliance*

1 *Fund and the balance shall be deposited in the California Tire*
2 *Recycling Management Fund.*

3 (c) The department, or its agent authorized pursuant to Section
4 42882, shall be reimbursed for its costs of collection, auditing, and
5 making refunds associated with the California Tire Recycling
6 Management Fund, but not to exceed 3 percent of the total annual
7 revenue deposited in the fund.

8 (d) The California tire fee imposed pursuant to subdivision (b)
9 shall be separately stated by the retail seller on the invoice given
10 to the customer at the time of sale. Any other disposal or
11 transaction fee charged by the retail seller related to the tire
12 purchase shall be identified separately from the California tire fee.

13 (e) ~~Any~~ A person or business ~~who~~ *that* knowingly, or with
14 reckless disregard, makes ~~any~~ a false statement or representation
15 in ~~any~~ a document used to comply with this section is liable for a
16 civil penalty for each violation or, for continuing violations, for
17 each day that the violation continues. Liability under this section
18 may be imposed in a civil action and shall not exceed twenty-five
19 thousand dollars (\$25,000) for each violation.

20 (f) In addition to the civil penalty that may be imposed pursuant
21 to subdivision (e), the department may impose an administrative
22 penalty in an amount not to exceed five thousand dollars (\$5,000)
23 for each violation of a separate provision or, for continuing
24 violations, for each day that the violation continues, on ~~any~~ a
25 person who intentionally or negligently violates ~~any~~ a permit, rule,
26 regulation, standard, or requirement issued or adopted pursuant to
27 this chapter. The department shall adopt regulations that specify
28 the amount of the administrative penalty and the procedure for
29 imposing an administrative penalty pursuant to this subdivision.

30 (g) For purposes of this section, “new tire” means a pneumatic
31 or solid tire intended for use with ~~on-road~~ *on-road* or off-road motor
32 vehicles, motorized equipment, construction equipment, or farm
33 equipment that is sold separately from the ~~motorized vehicle or~~
34 equipment, or a new tire sold with a new or used motor vehicle,
35 as defined in Section 42803.5, including the spare tire, *or with*
36 construction ~~equipment, equipment~~ or farm equipment. “New tire”
37 does not include retreaded, reused, or recycled tires.

38 (h) The California tire fee ~~may~~ *shall* not be imposed on ~~any~~ a
39 tire sold with, or sold separately for use on, any of the following:

40 (1) ~~Any~~ A self-propelled wheelchair.

1 (2) ~~Any~~ A motorized tricycle or motorized quadricycle, as
2 defined in Section 407 of the Vehicle Code.

3 (3) ~~Any~~ A vehicle that is similar to a motorized tricycle or
4 motorized quadricycle and is designed to be operated by a person
5 who, by reason of the person's physical disability, is otherwise
6 unable to move about as a pedestrian.

7 (i) This section shall become operative on January 1, 2024.

8 SEC. 3. Section 42888.5 is added to the Public Resources Code,
9 to read:

10 42888.5. (a) The Stormwater Permit Compliance Fund is
11 hereby established in the State Treasury. Moneys in the Stormwater
12 Permit Compliance Fund shall be available to the State Water
13 Resources Control Board Division of Financial Assistance as
14 follows:

15 (1) Notwithstanding Section 13340 of the Government Code,
16 moneys in the fund shall be continuously appropriated, without
17 regard to fiscal year, for the following purposes:

18 (A) Competitive grants to fund projects and programs for
19 municipal separate storm sewer system permit compliance
20 requirements that would prevent or remediate zinc pollutants
21 caused by tires in the state. Grants that are issued under this
22 subparagraph shall address zinc impairments and zinc total
23 maximum daily loads under the federal Clean Water Act (33 U.S.C.
24 Sec. 1251), and priority shall be given to applicants with zinc levels
25 that exceed the total maximum daily loads. Grants issued under
26 this subparagraph are authorized for the development,
27 administration, and operation of a program to fund a municipal
28 permittee's compliance with municipal separate storm sewer
29 system permit requirements and the costs associated with that
30 program.

31 (B) An annual audit of the Stormwater Permit Compliance Fund
32 on the financial status of the Stormwater Permit Compliance Fund
33 as of June 30. The audit shall be submitted to the State Water
34 Resources Control Board and shall be posted on the State Water
35 Resources Control Board's Division of Financial Assistance
36 internet website.

37 (2) Moneys in the fund shall be available upon appropriation
38 by the Legislature for the administrative overhead cost of the
39 Stormwater Permit Compliance Fund, not to exceed 3 percent of
40 the total revenue deposited in the Stormwater Permit Compliance

Fund annually, or an amount otherwise specified in the annual Budget Act. If moneys for administrative expenses are not timely appropriated, those expenses shall be advanced from the Stormwater Permit Compliance Fund. Expenses advanced pursuant to this paragraph shall be reimbursed in full to the Stormwater Permit Compliance Fund upon enactment of an annual Budget Act that appropriates those moneys.

(b) Except as provided in paragraph (2) of subdivision (a), moneys in the fund shall not be used or borrowed for any other purpose.

SEC. 4. Section 42889 of the Public Resources Code, as amended by Section 152 of Chapter 35 of the Statutes of 2014, is amended to read:

42889. (a) Of the moneys collected pursuant to *subparagraph (B) of paragraph (3) of subdivision (b) of Section 42885*, ~~an amount equal to seventy-five cents (\$0.75) per tire on which the fee is imposed~~ *the amount designated there for deposit in the Air Pollution Control Fund* shall be transferred by the ~~State Board of Equalization to the Air Pollution Control Fund.~~ *California Department of Tax and Fee Administration to that fund.* The state board shall expend those moneys, or allocate those moneys to the districts for expenditure, to fund programs and projects that mitigate or remediate air pollution caused by tires in the state, to the extent that the state board or the applicable district determines that the program or project remediates air pollution harms created by tires upon which the fee described in Section 42885 is imposed.

(b) ~~The remaining moneys collected~~ *remitted* pursuant to *subparagraph (B) of paragraph (3) of subdivision (b) of Section 42885 that are designated for deposit in the California Tire Recycling Management Fund* shall be used to fund the waste tire program, and shall be appropriated to the department in the annual Budget Act in a manner consistent with the five-year plan adopted and updated by the department. These moneys shall be expended for the payment of refunds under this chapter and for the following purposes:

(1) To pay the administrative overhead cost of this chapter, not to exceed 6 percent of the total revenue deposited in the fund annually, or an amount otherwise specified in the annual Budget Act.

1 (2) To pay the costs of administration associated with collection,
2 making refunds, and auditing revenues in the fund, not to exceed
3 3 percent of the total revenue deposited in the fund, as provided
4 in subdivision (c) of Section 42885.

5 (3) To pay the costs associated with operating the tire recycling
6 program specified in Article 3 (commencing with Section 42870).

7 (4) To pay the costs associated with the development and
8 enforcement of regulations relating to the storage of waste tires
9 and used tires. The department shall consider designating a city,
10 county, or city and county as the enforcement authority of
11 regulations relating to the storage of waste tires and used tires, as
12 provided in subdivision (c) of Section 42850, and regulations
13 relating to the hauling of waste and used tires, as provided in
14 subdivision (b) of Section 42963. If the department designates a
15 local entity for that purpose, the department shall provide sufficient,
16 stable, and noncompetitive funding to that entity for that purpose,
17 based on available resources, as provided in the five-year plan
18 adopted and updated as provided in subdivision (a) of Section
19 42885.5. The department may consider and create, as appropriate,
20 financial incentives for citizens who report the illegal hauling or
21 disposal of waste tires as a means of enhancing local and statewide
22 waste tire and used tire enforcement programs.

23 (5) To pay the costs of cleanup, abatement, removal, or other
24 remedial action related to waste tire stockpiles throughout the state,
25 including all approved costs incurred by other public agencies
26 involved in these activities by contract with the department. Not
27 less than six million five hundred thousand dollars (\$6,500,000)
28 shall be expended by the department during each of the following
29 fiscal years for this purpose: 2001–02 to 2006–07, inclusive.

30 (6) To make studies and conduct research directed at promoting
31 and developing alternatives to the landfill disposal of waste tires.

32 (7) To assist in developing markets and new technologies for
33 used tires and waste tires. The department's expenditure of funds
34 for purposes of this subdivision shall reflect the priorities for waste
35 management practices specified in subdivision (a) of Section
36 40051.

37 (8) To pay the costs associated with implementing and operating
38 a waste tire and used tire hauler program and manifest system
39 pursuant to Chapter 19 (commencing with Section 42950).

1 (9) To pay the costs to create and maintain an emergency
2 reserve, which shall not exceed one million dollars (\$1,000,000).

3 (10) To pay the costs of cleanup, abatement, or other remedial
4 action related to the disposal of waste tires in implementing and
5 operating the Farm and Ranch Solid Waste Cleanup and Abatement
6 Grant Program established pursuant to Chapter 2.5 (commencing
7 with Section 48100) of Part 7.

8 (11) To fund border region activities specified in paragraph (8)
9 of subdivision (b) of Section 42885.5.

10 (12) For expenditure pursuant to paragraph (3) of subdivision
11 (a) of, and paragraph (3) of subdivision (b) of, Section 17001.

12 (c) This section shall remain in effect only until January 1, 2024,
13 and as of that date is repealed, unless a later enacted statute that
14 is enacted before January 1, 2024, deletes or extends that date.

15 SEC. 5. Section 42889 of the Public Resources Code, as
16 amended by Section 153 of Chapter 35 of the Statutes of 2014, is
17 amended to read:

18 42889. ~~Funding for~~ *The moneys remitted pursuant to*
19 *subparagraph (B) of paragraph (3) of subdivision (b) of Section*
20 *42885 that are not for deposit in the Stormwater Permit*
21 *Compliance Fund shall be used to fund the waste tire program and*
22 *shall be appropriated to the department in the annual Budget Act.*
23 *The moneys in the fund shall be expended for the payment of*
24 *refunds under this chapter and for the following purposes:*

25 (a) To pay the administrative overhead cost of this chapter, not
26 to exceed 5 percent of the total revenue deposited in the fund
27 annually, or an amount otherwise specified in the annual Budget
28 Act.

29 (b) To pay the costs of administration associated with collection,
30 making refunds, and auditing revenues in the fund, not to exceed
31 3 percent of the total revenue deposited in the fund, as provided
32 in subdivision ~~(b)~~ (c) of Section 42885.

33 (c) To pay the costs associated with operating the tire recycling
34 program specified in Article 3 (commencing with Section 42870).

35 (d) To pay the costs associated with the development and
36 enforcement of regulations relating to the storage of waste tires
37 and used tires. The department shall consider designating a city,
38 county, or city and county as the enforcement authority of
39 regulations relating to the storage of waste tires and used tires, as
40 provided in subdivision (c) of Section 42850, and regulations

1 relating to the hauling of waste and used tires, as provided in
2 subdivision (b) of Section 42963. If the department designates a
3 local entity for that purpose, the department shall provide sufficient,
4 stable, and noncompetitive funding to that entity for that purpose,
5 based on available resources, as provided in the five-year plan
6 adopted and updated as provided in subdivision (a) of Section
7 42885.5. The department may consider and create, as appropriate,
8 financial incentives for citizens who report the illegal hauling or
9 disposal of waste tires as a means of enhancing local and statewide
10 waste tire and used tire enforcement programs.

11 (e) To pay the costs of cleanup, abatement, removal, or other
12 remedial action related to waste tire stockpiles throughout the state,
13 including all approved costs incurred by other public agencies
14 involved in these activities by contract with the department. Not
15 less than six million five hundred thousand dollars (\$6,500,000)
16 shall be expended by the department during each of the following
17 fiscal years for this purpose: 2001–02 to 2006–07, inclusive.

18 (f) To fund border region activities specified in paragraph (8)
19 of subdivision (b) of Section 42885.5.

20 (g) For expenditure pursuant to paragraph (3) of subdivision (a)
21 of, and paragraph (3) of subdivision (b) of, Section 17001.

22 (h) This section shall become operative on January 1, 2024.

23 SEC. 6. This act is an urgency statute necessary for the
24 immediate preservation of the public peace, health, or safety within
25 the meaning of Article IV of the California Constitution and shall
26 go into immediate effect. The facts constituting the necessity are:

27 To help grant recipients achieve municipal separate storm sewer
28 system permit compliance requirements that would prevent or
29 remediate zinc pollutants caused by tires in the state at the earliest
30 possible time, it is necessary that this act take effect immediately.

O

RESOLUTION NO. 19-07

**A RESOLUTION OF THE GOVERNING BOARD OF THE SAN
GABRIEL VALLEY COUNCIL OF GOVERNMENTS (SGVCOG)
SUPPORTING AB 755 (HOLDEN)**

WHEREAS, the SGVCOG Governing Board adopted Resolution 19-03 identifying stormwater/water legislative priorities for 2019; and

WHEREAS, the 2019 stormwater/water legislative priorities directed the consideration of legislation to develop a tire fee to provide funding to address stormwater pollution; and

WHEREAS, as programs are implemented to improve water quality and attain Clean Water Act (CWA) objectives, local governments find themselves required to mitigate against pollutants over which they have no direct control; and

WHEREAS, one common stormwater pollutant is zinc, an essential element in the vulcanization of tires; and

WHEREAS, the California Tire Recycling Act currently requires a person who purchases a new tire to pay \$1.75 per tire, for purposes related to air quality and tire recycling; and

WHEREAS, AB 755 would increase the tire fee by \$1.50 to be deposited in the Stormwater Permit Compliance Fund and would make the moneys available to the State Water Resources Control Board Division of Financial Assistance; and

WHEREAS, AB 755 would continuously appropriate moneys in the fund for competitive grants for projects and programs for municipal separate storm sewer system (MS4) permit compliance requirements that would prevent or remediate zinc pollutants caused by tires in the state and for an annual audit of the fund.

NOW, THEREFORE BE IT RESOLVED, that the Governing Board of the SGVCOG supports AB 755 (Holden)

PASSED AND ADOPTED by the Governing Board of San Gabriel Valley Council of Governments, in the County of Los Angeles, State of California, on the 21st day of March 2019.

San Gabriel Valley Council of Governments

Cynthia Sternquist, President

Resolution No. 19-07

Attest:

I, Marisa Creter, Executive Director and Secretary of the Board of Directors of the San Gabriel Valley Council of Governments, do hereby certify that Resolution 19-07 was adopted at a regular meeting of the Governing Board held on the 21st day of March 2019 by the following roll call vote:

AYES:	
NOES:	
ABSTAIN:	
ABSENT:	

Marisa Creter, Secretary

REPORT

DATE: March 19, 2019

TO: Governing Board

FROM: Marisa Creter, Executive Director

RE: **RETIREMENT BENEFITS**

RECOMMENDED ACTIONS

Recommended Actions:

1. Authorize the Executive Director to establish a Section 115 Trust with CalPERS and place in it an amount of funding, from grants and other project funding sources (e.g. railroad contributions) equal to the remaining unfunded termination liability associated with employees working on the ACE Project when the ACE Project is closed out. This amount is currently estimated at \$7.3 - \$9.6 million.
2. Authorize staff to work with CalPERS staff to utilize the “Fresh Start” option to recalculate the unfunded accrued liability (UAL) amortization schedule from a 20-year schedule to a 5-year (or shorter) schedule to allow for accelerated payment of the UAL.
3. Adopt Resolution 19-08 and Resolution 19-09¹ to phase out the employer-paid member contributions (EPMC) for all Classic CalPERS members according to the following employee contribution schedule:
 - FY 19-20: 2%
 - FY 20-21: 4%
 - FY 21-22: 6%
 - FY 22-23: 7%
4. Authorize staff to work with CalPERS staff to undertake all administrative processes necessary to allow for the employee contribution for Classic CalPERS members to equal 50% of normal costs beginning in FY 23-24.

BACKGROUND

In August 2018, the SGVCOG Governing Board approved a contract with Urban Futures to complete a comprehensive retirement benefits study. With input from the City Managers’ Steering Committee, the following financial objectives were identified:

- Eliminate or minimize UAL for labor costs associated with the ACE Project;
- Achieve full recovery of retirement benefit costs for new construction projects undertaken by SGVCOG;
- Create affordable and competitive retirement benefits for all SGVCOG employees; and
- Mitigate additional growth of UAL for SGVCOG.

¹ In addition to referencing the EPMC phase-out, the updated salary resolution reflects two minor corrections: 1) addition of the position of Accounting Manager as a future-use position and 2) re-titling the position of “Contracts Manager” to “Contracts/Procurement Manager”

REPORT

The SGVCOG currently offers two CalPERS retirement benefits, 2% @ 55 for Classic CalPERS members and 2% @ 62 (PEPRA) for employees hired after January 1, 2013 that did not already have Classic status.

Table 1 provides a summary of the SGVCOG's current funded status and UAL.

	Classic (Miscellaneous)	PEPRA
Discount Rate	7.25%	7.25%
Funded Status	96.6%	97.4%
UAL	\$317,568	\$5,388
Annual UAL Payment	\$13,568	\$2,535
UAL Per Employee	\$14,434	\$770

Table 1.
SGVCOG Funded Status and UAL (2017).

This is a significantly different scenario than what is faced by the majority of agencies across the state. In 2017, the average funded status for CalPERS employers across the state was 72.7%, with the vast majority of employers (60%) being 70-80% funded.

Working with staff, Urban Futures developed a set of recommendations to address the financial objectives of the agency. Those actions are as follows:

- Begin a phase-out of the EPMC for Classic member hired prior 2014 as part of the FY 2019-20 budget;
- Work with CalPERS staff to utilize the "Fresh Start" option to recalculate the UAL amortization schedule from a 20-year schedule to a 5-year schedule.
- Coordinate with Caltrans and CalPERS staff to develop an approved partial termination cost associated with employees working on the ACE Project to be paid as part of project closeout costs;
- Establish a Section 115 Trust to hold the partial termination cost funding until project closeout; and
- Continue making annual monthly UAL payments, with a proportional share charged to the ACE Project and other grant-funded projects.

Staff would regularly report on the progress of these action items.

Prepared by:



Marisa Creter
Executive Director

ATTACHMENTS

Attachment A – Resolution 19-08

Attachment B – Resolution 19-09

RESOLUTION NO. 19-08

**A RESOLUTION OF THE GOVERNING BOARD OF THE SAN
GABRIEL VALLEY COUNCIL OF GOVERNMENTS (SGVCOG)
FOR EMPLOYER PAID MEMBER CONTRIBUTIONS**

WHEREAS, the governing body of the San Gabriel Valley Council of Governments has the authority to implement Government Code Section 2069; and

WHEREAS, the governing body of the San Gabriel Valley Council of Governments has a written labor policy or agreement which specifically provides for the normal member contributions to be paid by the employers; and

WHEREAS, one of the steps in the procedures to implement Section 20691 is the adoption by the governing body of the San Gabriel Valley Council of Governments of a Resolution to commence said Employer Paid Member Contributions (EPMC); and

WHEREAS, the governing body of the San Gabriel Valley Council of Governments (SGVCOG) has identified the following conditions for the purpose of its election to pay EPMC:

- This benefit shall apply to all Classic member employees hired before December 14, 2017.
- This benefit shall consist of the SGVCOG paying 5% of the normal member contributions as EPMC effective July 1, 2019; and
- The SGVCOG paying 3% of the normal member contributions as EPMC effective July 1, 2020; and
- The SGVCOG paying 1% of the normal member contributions as EPMC effective July 1, 2021; and
- The SGVCOG paying 0% of the normal member contributions as EPMC effective July 1, 2022.
- The effective date of this Resolution shall be March 21, 2019.

NOW, THEREFORE, BE IT RESOLVED, that the governing body of the San Gabriel Valley Council of Governments elects to pay EPMC, as set forth above.

PASSED AND ADOPTED by the Governing Board of San Gabriel Valley Council of Governments, in the County of Los Angeles, State of California, on the 21st day of March 2019.

San Gabriel Valley Council of Governments

Cynthia Sternquist, President

Attest:

I, Marisa Creter, Executive Director and Secretary of the Board of Directors of the San Gabriel Valley Council of Governments, do hereby certify that Resolution 19-08 was adopted at a regular meeting of the Governing Board held on the 21st day of March 2019 by the following roll call vote:

AYES:	
NOES:	
ABSTAIN:	
ABSENT:	

Marisa Creter, Secretary

RESOLUTION NO. 19-09

**A RESOLUTION OF THE GOVERNING BOARD OF THE SAN
GABRIEL VALLEY COUNCIL OF GOVERNMENTS (SGVCOG)
REGARDING SALARY RATES FOR ALL CLASSES OF
EMPLOYMENT**

WHEREAS, on May 29, 2014, the Governing Board adopted Ordinance No. 100 which established an Administrative Code that created a personnel system; and

WHEREAS, prior to action taken by the Governing Board on August 17, 2017, the SGVCOG functioned as two separate units, the SGVCOG unit and the Alameda Corridor-East Construction Authority (ACE) unit with differing personnel and salary structures; and

WHEREAS, on September 18, 2014, the Governing Board adopted Resolution No. 14-23 establishing the salary rates for all classes of employment assigned to the SGVCOG unit; and

WHEREAS, on August 17, 2017, the Governing Board voted to fully integrate the Alameda Corridor-East Construction Authority (ACE) into the SGVCOG and thereafter adopted Resolution No. 17-34 to approve a proposed revision to the Joint Powers Authority Agreement governing the SGVCOG, to be considered by each Member Agency and the revision was approved by a majority of the Members; and

WHEREAS, given the changes to the organization, the Governing Board is reviewing current practices and developing systems that reflect best industry practices and afford the greatest level of transparency and protection to the SGVCOG, its member agencies, the communities, and the employees, including practices and policies related to the administrative and financial functions of the organization; and

WHEREAS, there is a need to update and integrate the compensation structure that reflects a unified system for the SGVCOG and its employees; and

WHEREAS, an integrated system will enhance employee morale and productivity by clearly defining employee salaries, as well as comply with existing law; and

NOW, THEREFORE, the Governing Board of the SGVCOG does hereby resolve, declare, determine and order as follows, which supersedes Resolutions No. 14-23, 15-13, and 15-22, 16-16, 17-29, 17-39, 18-21, and 18-60 in their entirety:

SECTION 1. Effective January 1, 2019, the following table reflects annual compensation and grade structure assigned to the full-time classes of employment:

Grade	Range Min	Range Max	Classification Title
100	161,600	232,300	Executive Director
99	146,909	211,182	Director of Capital Projects/Chief Engineer
98	133,554	191,983	Director of Finance Director of Regional Planning Senior Project Manager Capital Projects Program Manager Director of Government & Community Relations

97	124,236	178,589	
96	115,568	166,130	
95	107,505	154,539	
94	100,005	140,632	Administrative Services Manager Accounting Manager Audit Manager Contracts / Procurement Manager Project Manager
93	93,028	130,821	Principal Management Analyst
92	86,538	121,694	Senior Contracts Auditor Sr. Contracts Administrator/Labor Compliance Officer
91	80,500	113,203	
90	74,884	105,305	Senior Management Analyst
89	69,659	97,959	Senior Accountant Contracts Auditor
88	64,799	91,124	IT Analyst
87	60,279	82,883	Management Analyst Accountant Executive Assistant Engineering Technician
86	56,073	77,100	
85	52,161	71,721	Senior Administrative Assistant
84	48,522	66,718	Accounting Technician Administrative Assistant
83	45,137	60,370	
82	41,988	56,158	Office Assistant
81	39,058	52,240	
80	36,333	48,596	

SECTION 2. The following table designates the full-time position titles as non-exempt or exempt under the Fair Labor Standards Act (FLSA). All hourly position listed in Section 4 of this Resolution are designated as non-exempt. Position titles listed as non-exempt are compensated overtime for hours worked in excess of 40 hours per workweek. Position titles designated as exempt are not eligible for overtime compensation under the FLSA and will not receive overtime compensation for hours worked in excess of 40 hours per workweek.

Position Title	Exempt/Non-Exempt Status	Position Category
Executive Director	Exempt	Executive
Director of Capital Projects/Chief Engineer	Exempt	Executive
Director of Finance	Exempt	Executive
Director of Regional Planning	Exempt	Executive
Director of Government & Community Relations	Exempt	Executive
Administrative Services Manager	Exempt	Executive
Senior Project Manager	Exempt	Administrative
Capital Projects Program Manager	Exempt	Administrative
Accounting Manager	Exempt	Administrative
Audit Manager	Exempt	Administrative
Contracts/Procurement Manager	Exempt	Administrative
Project Manager	Exempt	Administrative
Principal Management Analyst	Exempt	Administrative
Senior Contracts Auditor	Exempt	Administrative
Sr. Contracts Administrator/Labor Compliance Officer	Exempt	Administrative
Senior Management Analyst	Exempt	Administrative

Senior Accountant	Exempt	Administrative
Contracts Auditor	Exempt	Administrative
IT Analyst	Exempt	Administrative
Management Analyst	Exempt	Administrative
Accountant	Exempt	Administrative
Executive Assistant	Exempt	Administrative
Engineering Technician	Non-Exempt	Administrative
Senior Administrative Assistant	Non-Exempt	Administrative
Accounting Technician	Non-Exempt	Administrative
Administrative Assistant	Non-Exempt	Administrative
Office Assistant	Non-Exempt	Administrative

SECTION 3. The SGVCOG shall provide the following benefits:

A. Retirement.

- 1) The SGVCOG shall provide a tax-qualified governmental defined benefit plan through the California Public Employees Retirement System (CalPERS) for all full-time miscellaneous classes of employees, based on the following:
 - a. Employees Hired Before January 1, 2013: 2% @ 55 retirement formula: From the date of adoption of this resolution, through June 30, 2019, the SGVCOG shall pay the cost of the employee' member contribution (EPMC) to CALPERS. In accordance with Resolution 19-08, the EPMC will be phased out according to the following employee contribution schedule:
 - FY 19-20: 2%
 - FY 20-21: 4%
 - FY 21-22: 6%
 - FY 22-23: 7%
 - FY 23-24: 50% of Normal Cost
 - b. Employees Hired On or After January 1, 2013 (Classic): 2% @ 55, employees are responsible for paying the employee portion of the CalPERS retirement contribution.
 - c. Employees Hired After January 1, 2013 - California Public Employees' Pension Reform Act (PEPRA): 2% @ 62, employees are responsible for paying the employee portion of the CalPERS retirement contribution.

B. Insurances.

- 1) The SGVCOG shall provide a short-term disability program for all full-time employees. The SGVCOG shall also provide a long-term disability program for full-time employees to work in concert with the short-term disability program.
- 2) In accordance with the California Labor Code, the SGVCOG pays the premium for unemployment insurance for employees.
- 3) Under the Workers' Compensation Insurance Law of California, any employee injured on the job in the course of employment is entitled to disability compensation and medical care. Full-time employees may utilize sick leave during the period of absence due to a work-related illness or injury. However, when payment of workers' compensation benefits is received by the employee:
 - a. He/she must endorse the check over to the SGVCOG;

- b. The SGVCOG will convert the dollar amount of the check to sick leave hours (Total amount of the check divided by the hourly wage); and
- c. The SGVCOG will credit the employee's sick leave account by that number of hours. Employees who do not wish to cover this absence with sick leave or who lack adequate sick leave hours may keep the check from workers' compensation.

C. Miscellaneous Benefits.

- 1) For employees hired prior to January 1, 2019, automobile allowance may be provided on a monthly basis, at the discretion of the Executive Director based on the following:

Position Title	Amount
Director of Capital Projects/Chief Engineer	\$500
Project Manager/Senior Project Manager	\$333.33
Director of Government & Community Relations	\$333.33
Senior Contracts Administrator/Labor Compliance Officer	\$196.92

Contract positions may receive an automobile allowance based on the discretion of the Governing Board.

- 2) For employees hired prior to January 1, 2019, cell phone allowance may be provided on a monthly basis, at the discretion of the Executive Director based on the following:

Position Title	Amount
Director of Capital Projects/Chief Engineer	\$50
Project Manager/Senior Project Manager	\$50

SECTION 4. Hourly employees shall be compensated at a fixed rate pursuant to the following schedule:

Position Title	Hourly Rate
Project Assistant	\$25
Office Assistant	\$18
Intern (Graduate Student)	\$18
Intern (Undergraduate Student)	\$16

The Executive Director may hire, on an hourly basis, positions identified as full-time according to the current salary resolution. Such positions shall only be hired when filling in temporarily for an existing full-time position.

SECTION 5. The SGVCOG may consider any CPI adjustment to salary ranges as part of the annual budgeting process.

SECTION 6. Any incumbents whose current salaries exceed the maximum salary for their position will be y-rated. Their salaries will remain at the same amount and they will not be eligible

for merit increases. The y-rating for the incumbents will remain in effect until July 1, 2021. After that date, the salary of any incumbent that exceeds that maximum salary for a position will be reduced to be within range. The July 1, 2021 deadline will regularly be assessed to determine if the deadline should be extended and evaluated.

SECTION 7. The SGVCOG reserves the right, in its sole discretion, at any time and from time to time, and upon a non-discriminatory basis, to amend or rescind any provision of this Resolution or any salary provisions, or to terminate any salary provisions. Such changes may apply to current and/or future employees. All salaries in this Resolution are subject to meet and confer guidelines and shall be reviewed at least annually in their entirety.

SECTION 8. The Executive Director shall certify to the adoption of this Resolution and shall enter this Resolution into the official book of resolutions. This Resolution is effective upon its adoption.

PASSED AND ADOPTED by the Governing Board of San Gabriel Valley Council of Governments, in the County of Los Angeles, State of California, on the 21st day of March 2019.

San Gabriel Valley Council of Governments

Cynthia Sternquist, President

Attest:

I, Marisa Creter, Executive Director and Secretary of the Board of Directors of the San Gabriel Valley Council of Governments, do hereby certify that Resolution 19-09 was adopted at a regular meeting of the Governing Board held on the 21st day of March 2019 by the following roll call vote:

AYES:	
NOES:	
ABSTAIN:	
ABSENT:	

Marisa Creter, Secretary

REPORT

DATE: March 21, 2019

TO: Governing Board

FROM: Marisa Creter, Executive Director

RE: **MAY MEETING DATE**

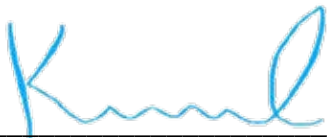
RECOMMENDED ACTION

Adopt Resolution 19-10 to change the date of the May Governing Board meeting to May 30, 2019.


BACKGROUND:

The regular meetings of the SGVCOG Governing Board are held on the third Thursday of every month at 5:30 PM at the Upper San Gabriel Valley Municipal Water District Offices (602 E. Huntington Drive, Monrovia, California 91016). In May, the regular meeting date is Thursday, May 16. To avoid conflicting with the California Contract Cities Association annual seminar, staff is recommending that the regular May Governing Board meeting be moved to May 30, at 5:30 PM at the Upper San Gabriel Valley Municipal Water District Offices (602 E. Huntington Drive, Monrovia, California 91016).

Prepared by: _____


Katie Ward
Senior Management Analyst

Approved by: _____


Marisa Creter
Executive Director

ATTACHMENTS

Attachment A – Resolution 19-10

RESOLUTION NO. 19-10

**RESOLUTION OF THE SAN GABRIEL VALLEY COUNCIL OF GOVERNMENTS
MOVING THE REGULAR MAY 2019 GOVERNING BOARD MEETING**

WHEREAS, the San Gabriel Valley Council of Governments holds regular meetings to evaluate matters of importance to the SGVCOG and the San Gabriel Valley;

WHEREAS, Governing Board Representatives and Alternate Governing Board Representatives perform essential duties for the SGVCOG by their attendance at the regular scheduled meetings of the Governing Board;

WHEREAS, regular meetings of the Governing Board are held on the third Thursday of every month at 5:30 PM at the Upper San Gabriel Valley Municipal Water District Offices (602 E. Huntington Drive, Monrovia, California 91016); and

WHEREAS, Governing Board representatives and alternates of the San Gabriel Valley Council of Governments attend the annual California Contract Cities Association seminar making it difficult for a quorum of Governing Board members to attend the regular May meeting.

NOW, THEREFORE, BE IT RESOLVED that the Governing Board hereby changes the date time, and location of the May 2019 Governing Board to Thursday, May 30, 2019 at 5:30 PM at the Upper San Gabriel Valley Municipal Water District Offices (602 E. Huntington Drive, Monrovia, California 91016).

PASSED AND ADOPTED by the Governing Board of San Gabriel Valley Council of Governments, in the County of Los Angeles, State of California, on the 21st day of March 2019.

San Gabriel Valley Council of Governments

Cynthia Sternquist, President

Attest:

I, Marisa Creter, Executive Director and Secretary of the Board of Directors of the San Gabriel Valley Council of Governments, do hereby certify that the foregoing Resolution was adopted at a regular meeting of the Governing Board held on the 21st day of March 2019, by the following roll call vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

Marisa Creter, Secretary

REPORT

DATE: March 21, 2019

TO: Governing Board
City Managers' Steering Committee
Executive Committee

FROM: Marisa Creter, Executive Director

RE: **FY 2019-20 STRATEGIC PLAN UPDATE**

RECOMMENDED ACTION

Adopt Resolution 19-11 updating the SGVCOG strategic plan.

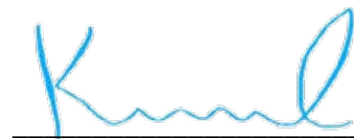
BACKGROUND

The Strategic Plan is intended to improve the organization and its operations and refocus the activities of the SGVCOG to best meet the needs of its the member agencies. Since the last strategic plan update in 2016, numerous milestones have occurred, most notably the integration and expansion of the ACE Project. The current update engaged all the SGVCOG's member agencies using surveys, organized discussions and a workshop. Based on this outreach, an update was developed that builds off the SGVCOG's existing mission, vision and core values by identifying five key strategic goals as follows:

- Transportation
- Water
- Homelessness
- Environment
- Member Support and Communications

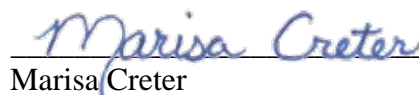
Resolution 19-11 includes the adoption of the above strategic goals (Attachment A). The complete 2019-20 strategic plan update with associated strategies and performance indicators for each of the adopted strategic goals are included in Attachment B.

Prepared by: _____



Katie Ward
Senior Management Analyst

Approved by: _____



Marisa Creter
Executive Director

ATTACHMENTS

Attachment A – Resolution 19-11
Attachment B – 2019 Strategic Plan Update

RESOLUTION NO. 19-11

**A RESOLUTION OF THE GOVERNING BOARD OF THE SAN
GABRIEL VALLEY COUNCIL OF GOVERNMENTS (SGVCOG)
UPDATING THE STRATEGIC PLAN FOR FY 2019-20**

WHEREAS, the SGVCOG regularly engages in a strategic planning effort to prioritize its efforts in a manner that is consistent with its mission; and

WHEREAS, the Strategic Plan identifies goals and objectives that guide the development of the SGVCOG's workplan and budget; and

WHEREAS, the San Gabriel Valley Council of Governments adopted a revised Strategic Plan in April 2016; and

WHEREAS, through November 2018 to February 2019 the SGVCOG undertook an extensive outreach effort to update the Strategic Plan.

NOW, THEREFORE BE IT RESOLVED, that the Governing Board hereby adopts the following strategic goals for FY 2019-2020:

- Transportation
 - Goal: Secure funding for and ensure the timely implementation of regional priority transportation projects and support regional transportation planning efforts.
- Homelessness
 - Goal: Support member agencies' efforts to implement programs and projects related to homelessness and advocate for resources to address homelessness in the San Gabriel Valley.
- Water
 - Goal: Support regional water resiliency and assist cities in addressing stormwater MS-4 permit requirements, with an emphasis on Measure W Implementation and identification of regional solutions.
- Environment
 - Support environmental efforts in the region, specifically related to energy, open space, and air quality.
- Member Support & Communications
 - Strengthen Board and partner agency commitment to the SGVCOG and provide leadership on emerging issues.

PASSED AND ADOPTED by the Governing Board of San Gabriel Valley Council of Governments, in the County of Los Angeles, State of California, on the 21st day of March 2019.

San Gabriel Valley Council of Governments

Cynthia Sternquist, President

Attest:

I, Marisa Creter, Executive Director and Secretary of the Board of Directors of the San Gabriel Valley Council of Governments, do hereby certify that Resolution 19-11 was adopted at a regular meeting of the Governing Board held on the 21st day of March 2019 by the following roll call vote:

AYES:	
NOES:	
ABSTAIN:	
ABSENT:	

Marisa Creter, Secretary



San Gabriel Valley Council of Governments

Strategic Plan

Strategic Plan 2019

Table of Contents

3 - Strategic Planning Process

5 - Mission, Vision, & Values

9 - Strategic Goals

Transportation

Homelessness

Water

Environment

Member Support and Communications

Strategic Planning Process

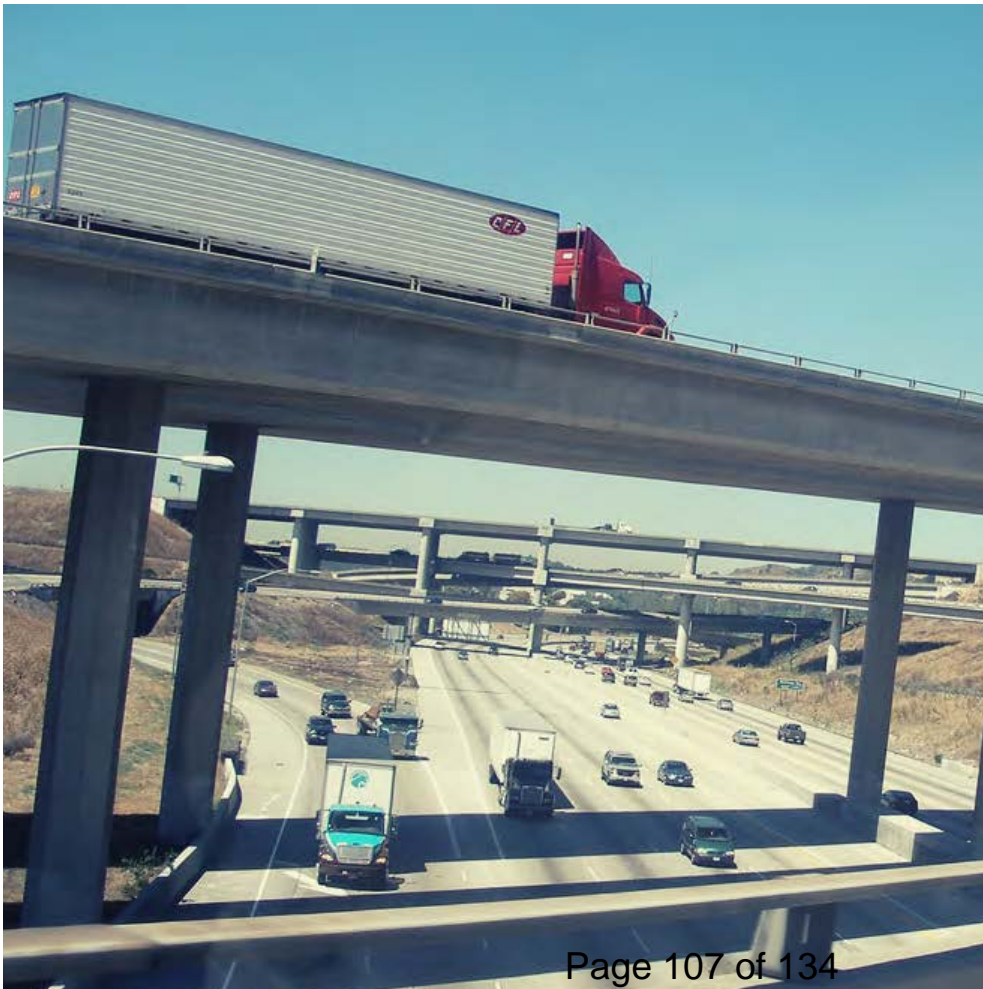
The San Gabriel Valley Council of Governments (SGVCOG) is a joint powers authority made up of representatives from 30 cities, 3 Los Angeles County Supervisorial Districts, and the 3 Municipal Water Districts located in the San Gabriel Valley. The SGVCOG serves as a regional voice for its member agencies and works to improve the quality of life for the more than 2 million residents living in the San Gabriel Valley. The SGVCOG works on issues of importance to its member agencies, including transportation, homelessness, the environment, and water.

The strategic plan serves as a roadmap for the organization. Since the last strategic plan update in 2016, numerous milestones have occurred, most notably the integration and expansion of the ACE Project. The update engaged all of the SGVCOG's member agencies. Through the use of surveys, organized discussions and a workshop, an update was developed that builds off the SGVCOG's existing mission, vision and core values by identifying five key strategic goals as follows:

- Transportation
- Water
- Homelessness
- Environment
- Member Support and Communications

Each goal identifies overarching strategies as well as performance indicators to track successes. The Strategic Plan is intended to be specific enough to allow the SGVCOG to assess progress and identify challenges, while at the same time be flexible enough to allow the SGVCOG to adjust its actions given changing external and internal circumstances.





An aerial photograph of a multi-lane highway. In the foreground, a Metro Rail train is traveling along a dedicated track. The highway has several lanes with cars. In the background, there are mountains under a cloudy sky. A semi-transparent text box is overlaid on the center of the image.

Our Mission

"The SGVCOG is a sub-regional government that serves as a unified voice to maximize resources and advocate for regional and member interests to improve the quality of life in the San Gabriel Valley."



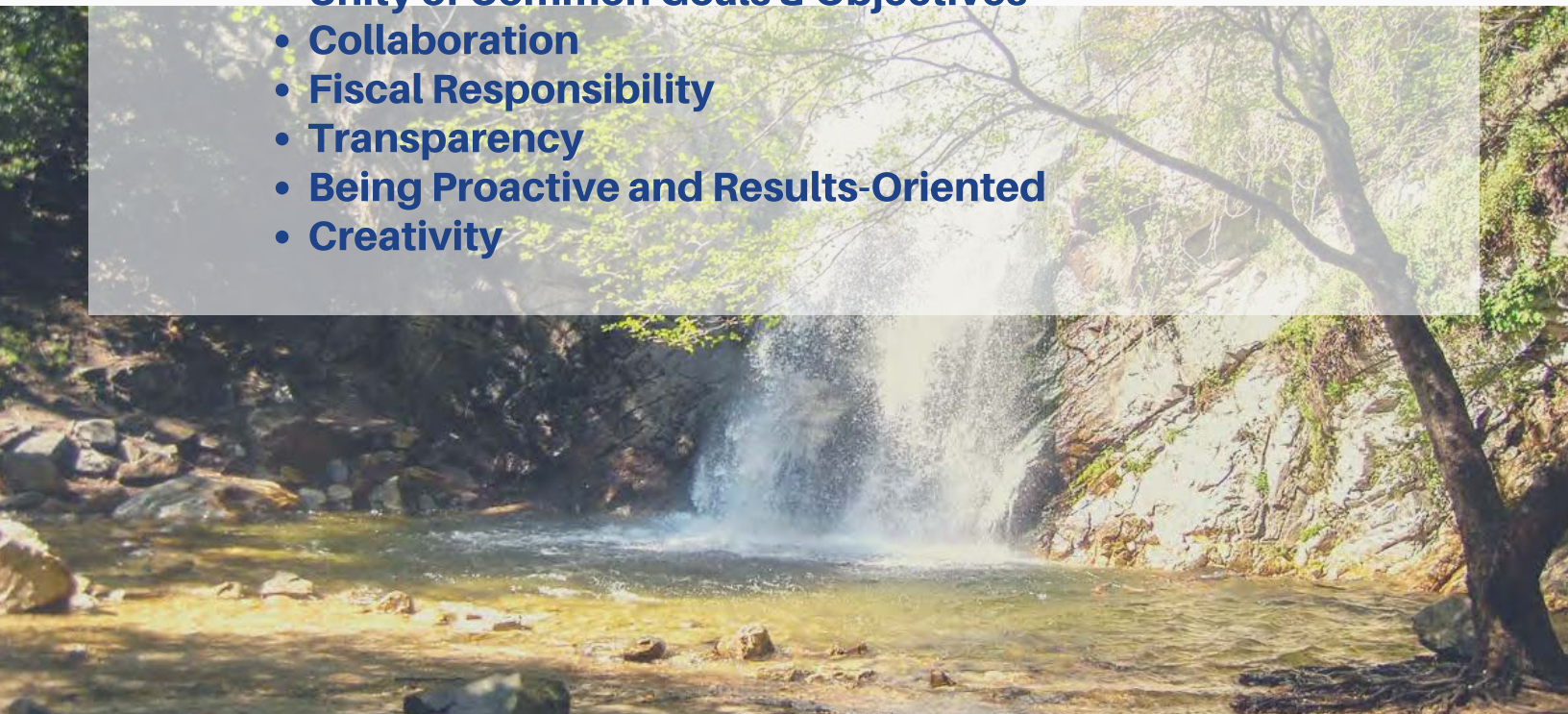
Our Vision

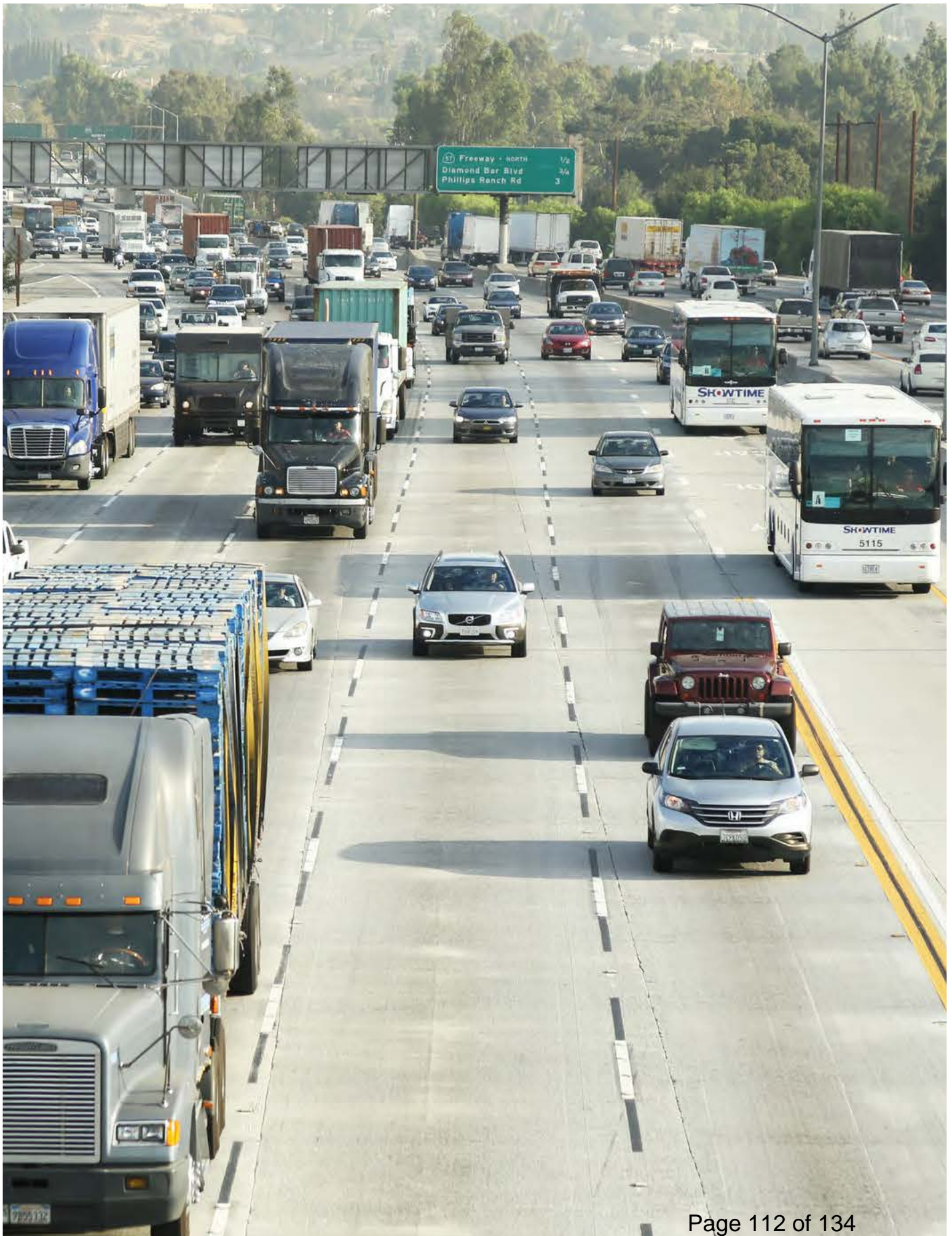
"By 2021, the SGVCOG will serve as a model subregional agency in Southern California by continuing its existing support of member agency goals and priorities while leveraging the new opportunities provided by the extension and expansion of ACE."



Our Values

- Reflecting the Diversity of our Member Agencies
- Accountability
- Mutual Respect
- Upholding the Highest Ethical Standards
- Integrity
- Unity of Common Goals & Objectives
- Collaboration
- Fiscal Responsibility
- Transparency
- Being Proactive and Results-Oriented
- Creativity





Transportation

Goal

Secure funding for and ensure the timely implementation of regional priority transportation projects and support regional transportation planning efforts.

Strategies

- Serve as a resource to member agencies to deliver large capital projects
 - Coordinate regional transportation planning efforts
 - Advocate for and develop innovative financing and other tools to expedite the delivery of projects
 - Secure funding for regional transportation priorities
 - Support member agencies in managing new transportation technologies in manner that balances mobility and safety
-

Performance Indicators

- Projects completed by construction authority
- Funding secured for projects
- Regional planning projects completed



Homelessness

Goal

Support member agencies' efforts to implement programs and projects related to homelessness and advocate for resources to address homelessness in the San Gabriel Valley.

Strategies

- Advocate regionally for flexibility in developing projects and programs that fit within the context of each community
 - Secure funding for local and regional projects and programs that prevent and end homelessness
 - Support member agencies in implementing homelessness solutions
 - Serve as a forum for member agencies to share best practices related to homelessness
 - Facilitate local coordination of service delivery among member agencies
-

Performance Indicators

- Homeless individuals assisted and/or housed
- Funding secured



Water

Goal

Support regional water resiliency and assist cities in addressing stormwater MS-4 permit requirements, with an emphasis on Measure W Implementation and identification of regional solutions.

Strategies

- Advocate for regulatory and legislative changes that facilitate member agencies' ability to comply with water and stormwater regulations and reduce costs
 - Secure funding for regional projects that promote water resiliency
 - Provide regional leadership in the implementation of Measure W
 - Serve as a resource to member agencies to deliver large capital projects
-

Performance Indicators

- Modifications to regulations that favor member agencies
- Projects completed by construction authority
- Funding secured for projects



Environment

Goal

Support environmental efforts in the region, specifically related to energy, open space, and air quality.

Strategies

- Secure funding to implement environmental projects
 - Continue to implement energy efficiency programs for member agencies through regional partnerships
 - Coordinate regional planning projects that support regional environmental goals
 - Support member agencies in implementing clean energy, open space and air quality projects
-

Performance Indicators

- Funding secured
- Projects completed



Member Support & Communications

Goal

Strengthen Board and partner agency commitment to the SGVCOG and provide leadership on emerging issues.

Strategies

- Serve as a forum to develop regional strategies to address emerging issues (e.g. public safety, economic development and technology)
 - Communicate the value and accomplishments of SGVCOG and its member agencies
 - Produce clear and consistent communications, media, and promotional campaigns that exemplify agency values
 - Enhance the SGVCOG brand as a respected and influential voice for the region by increasing awareness of agency's work and purpose
 - Advocate on behalf of member agencies for issues of regional significance, including partnering with the SGV Legislative Caucus
-

Performance Indicators

- Regional networking events supported
- Traditional and social media presence and initiatives (e.g. Twitter Chat, newsletter, weekly recaps, etc.)

Contact

Mailing Address

1000 S. Fremont Ave.
Unit 42; Bldg A-10N, Suite 10210
Alhambra, CA 91803

Email Address

sgv@sgvcog.org

Phone Number

(626) 457-1800

Connect



REPORT

DATE: March 21, 2019

TO: Governing Board

FROM: Marisa Creter, Executive Director

RE: **CITY OF LA VERNE TRANSIT ORIENTED DEVELOPMENT
PEDESTRIAN BRIDGE**

RECOMMENDED ACTIONS

SGVCOG Staff recommends that the Governing Board take the following actions:

- 1) Assign the City of La Verne's Gold Line Transit Oriented Development Pedestrian Bridge to the Capital Projects and Construction Committee.*
- 2) Affirm authorization for the Chief Engineer to execute a funding agreement with Metro and other agreements needed to implement the City of La Verne's Gold Line Transit Oriented Development Pedestrian Bridge.*

BACKGROUND

In November 2018, the SGVCOG Governing Board adopted Resolution 18-63, which approved the SGVCOG Measure M MSP 5-Year Plan projects. Included in the approved MSP projects list is a First/Last Mile project which was submitted by the City of La Verne. This project is titled the "Gold Line Transit Oriented Development Pedestrian Bridge." Through FY 21-22, the project has been recommended to receive \$895,500 in order to fund the Design and PS&E phases of the project. The full project cost, including construction, is estimated to be \$6.9 million. It is anticipated that construction funding would be available for this project through the next 5-Year cycle of Measure M MSP subregional funding.

With the SGVCOG/ACE integration, staff is now authorized to manage new capital projects in addition to completing the final ACE grade separation projects. The City of La Verne has requested that the SGVCOG serve as the implementing agency for all phases of this pedestrian bridge project, including, initially, the funded design phase of the project. This project is a natural fit with the core competencies of the SGVCOG's capital projects staff.

In May 2018, as part of the integration process, the Governing Board approved the SGVCOG Capital Projects Review Manual. This manual provides guidelines for evaluating projects which SGVCOG cities submit for review. The submitting-agency must demonstrate how the project will satisfy multiple Mobility Matrix metrics, including: mobility, safety, sustainability, economy, and accessibility. Table 1 summarizes the benefits of the project access these metrics.

Project Description	This project proposes a pedestrian bridge which would span Arrow Highway and the adjacent parallel Metrolink railroad track; the bridge would connect La Verne's Gold Line Station to the northern edge of the Fairplex property.
----------------------------	---

REPORT

Mobility	This project would facilitate easier access from the station to Fairplex, while also improving safety of both pedestrians and vehicular traffic.
Safety	The bridge will significantly reduce conflicts between pedestrian and vehicle movements on what is a very busy and currently not a pedestrian friendly traffic thoroughfare.
Sustainability	This project will provide first-last mile pedestrian connections to the Gold Line station, encouraging walking and transit usage.
Economy	This project will facilitate access to the LA County Fairgrounds, the NHRA Auto Club Speedway, and numerous other events and shows. Also, there is currently a plan in place to construct a 16-acre multi-use and transit-oriented development on the northern portion of Fairplex's campus.
Accessibility	This project will improve accessibility by providing protected pedestrian access for what would otherwise be more vulnerable pedestrians.

Table 1.
Summary of Project Benefits.


NEXT STEPS

If the Governing Board assigns this project to the Work Program of the SGVCOG Capital Projects and Construction Committee, then the Chief Engineer will develop and execute a funding agreement with Metro and any other related agreements, including an agreement with the City of La Verne, that might be needed to implement the Gold Line Transit Oriented Development Pedestrian Bridge project. Staff anticipates executing the funding agreement in early FY 19-20 and beginning design work shortly after that.

FISCAL IMPACT

All funding for this project will be provided through Measure M. The project will be phased to ensure that funding is secured through Measure M before construction initiates. The funding will cover both projects costs and the SGVCOG's administrative/staff costs.

Prepared by: 
Peter Duyshart
Project Assistant

Approved by: 
Marisa Creter
Executive Director

REPORT

DATE: March 21, 2019

TO: Executive Committee
Governing Board

FROM: Marisa Creter, Executive Director

RE: **SGVCOG STATE AND FEDERAL LEGISLATIVE AGENDA**

RECOMMENDED ACTIONS

1) Adopt Resolution 19-12 affirming the SGVCOG's 2019 State and Federal Legislative agenda and 2) Authorize Executive Director to execute a contract with Capital Representation Group, Inc for an amount not to exceed \$25,000 for services to support the SGVCOG's State legislative agenda.

BACKGROUND

Many COG member agencies adopt annual legislative programs to shape advocacy efforts and provide guidance in response to state and federal legislative, executive and regulatory initiatives and developments. The SGVCOG legislative program is intended to advance adopted SGVCOG objectives in the core policy areas of water, transportation, homelessness, energy and the environment. The program aligns closely with the recently developed 2019 Strategic Plan Update and incorporates by reference the focused legislative policy agendas adopted by the policy committees on an individual basis. The legislative program seeks to identify advocacy goals on behalf of the San Gabriel Valley and may be amended or supplemented as legislative developments warrant.

Adoption of the legislative program will help ensure advocacy is coordinated, effective and commensurate to the pace of legislative developments. Staff will use the legislative program to review legislation as it is introduced to determine how it relates to SGVCOG policies. Staff will also coordinate with our state and federal legislative delegations, member agencies, and regional, state and national partners and coalitions, such as the Los Angeles County Metropolitan Transportation Authority, Southern California Association of Governments, League of California Cities and U.S. Conference of Mayors. Positions on specific legislation will still be taken to the Governing Board for consideration in advance of any action or if urgency is required will be presented to the Executive Committee and/or relevant policy committee and reported to the Governing Board at a subsequent meeting.

Attachment A provides a consolidated legislative agenda for the SGVCOG's core policy areas. The proposed legislative priorities were reviewed by each relevant policy committee.

LEGISLATIVE CONSULTANT PROPOSAL

Currently, the ACE Project has a contract with a state legislative consultant, Capital Representation Group (CRG), that advocates for legislative priorities that further the ACE Project. In December,

staff met with this legislative consultant to discuss strategies to expand the scope of legislative priorities to include the other strategic areas of the SGVCOG. Based on this discussion, staff is recommending executing an agreement with CRG to provide state level advocacy services related to the SGVCOG's state legislative and regulatory priorities (Attachment B). CRG is a highly respected and responsive legislative representation and advocacy firm based in Sacramento. The firm has extensive experience representing public agencies in a wide range of advocacy services and policy initiatives, including regional mobility initiatives, sustainable planning strategies, air quality planning, homelessness planning state/regional transportation funding legislation and countywide sales tax initiatives.

The scope of work proposed by CRG includes the following:

- Represent/advocate before the California Legislature and staff, Governor's Office and the Executive Branch Agencies, Departments, Boards and Commissions on initiatives and positions of the SGVCOG on any state policies and pending legislation and regulatory actions.
- Arrange for any briefings and assist in scheduling of Sacramento visits for SGVCOG Board Members and staff with appropriate members of the Legislature, legislative staff, and key Governor's Administration officials and staff.
- Coordinate opportunities for SGVCOG representatives to provide testimony at any relevant legislative committee or state administrative hearings and meetings.
- Monitor and attend relevant legislative and regulatory hearings including those of the Legislature as well as other administrative bodies with jurisdiction over policy initiatives or issues in the SGVCOG Strategic Plan.
- Assist in the preparation of any position letters or policy statements regarding legislative initiatives or proposals as directed by the SGVCOG Governing Board and staff.
- Provide support and coordination to the San Gabriel Valley Legislative Caucus.
- Assist in the organization and scheduling of an annual Sacramento legislative trip that would coincide with a quarterly meeting of the San Gabriel Valley Legislative Caucus and possible League of California Cities Annual Legislative Conference.
- Attend or participate in any SGVCOG Governing Board or Committee meeting, including conferences calls with board members and staff.

FISCAL IMPACT

The cost of this contract is anticipated to be \$25,000 annually and will be included in the FY 19-20 budget. Staff will evaluate the contract after one year to determine if the consultant enhances and furthers the SGVCOG's legislative priorities.

REPORT

Prepared by:

Paul R Hubler

Paul Hubler

Director of Government and Community Relations

Approved by:

Marisa Creter

Marisa Creter

Executive Director

ATTACHMENTS

Attachment A – Resolution 19-12

Attachment B – Contract and Proposal with Capital Representation Group

RESOLUTION NO. 19-12

**RESOLUTION OF THE SAN GABRIEL VALLEY
COUNCIL OF GOVERNMENTS (SGVCOG) AFFIRMING 2019 STATE AND
FEDERAL LEGISLATIVE AGENDA**

WHEREAS, the SGVCOG desires to adopt federal and state legislative priorities to further the objectives of the organization in support of member cities; and

WHEREAS, the objectives of the SGVCOG include the core policy areas of water, transportation, homelessness, and the environment; and

WHEREAS, the SGVCOG seeks to specifically define legislative priorities for the year 2019;

NOW THEREFORE, BE IT RESOLVED that the Governing Board does hereby adopt the following 2019 State and Federal Legislative agenda:

- Water
 - State
 - § Direct the state adoption of financial capability assessment guidelines to better manage compliance timelines for MS4 permittees, similar to Federal financial capability guidelines in place for two decades by seeking the reintroduction of AB 2538, as well as requesting SCAG support for legislation
 - § Require that capital projects funded with state funds, to the maximum extent reasonably feasible, provide for stormwater capture and dry weather runoff.
 - § Approach the California Product Stewardship Council to consider developing a tire fee to provide funding to address stormwater pollution.
 - § Advocate before the Governor the appointment to the Regional Water Board of members that are representative of the San Gabriel Valley that have direct expertise in Water Management, Quality and Rights. Work to identify potential candidates that could be recommended to the Governor and assist in any direct or indirect advocacy in support of potential candidates.
 - § Establish reasonable timelines (e.g. minimum one year absent clear and present danger to human health) for new regulatory water quality requirements enacted by the State Water Board.
 - § Advocate for the assignment of water rights of captured new water to the agencies responsible for implementing stormwater capture and infiltration projects.
 - Federal
 - § Propose, advocate and support the development of policies and strategies to ensure potential capital projects applications for funding from relevant

federal programs, such as the INFRA and BUILD programs, remain competitive and ultimately are awarded.

§ Propose, advocate and support the development of policies and programs beneficial to capital projects, including possible infrastructure funding programs proposed by the Administration or Congress, either as standalone legislation or in the context of the reauthorization of the FAST Act multi-year surface transportation program legislation.

§ Support legislative or administrative efforts to expedite project planning, development and delivery.

§ Work closely with the Coalition for America's Gateways and Trade Corridors and other stakeholders in support of all of the above recommendations and other matters that benefit the capital projects program.

○ State

§ Continue progress in ensuring capital projects are timely allocated TCEP funds and undertake efforts to facilitate the recycling of ACE project savings from the Prop 1B Trade Corridor Improvement Fund (TCIF) program into underfunded projects.

§ Monitor and advocate for legislation or initiatives that would provide additional funding or would continue or advance existing funding to priority freight infrastructure projects.

§ Monitor for possible support any budget proposals and funding that may be available through the Cap and Trade or other relevant programs for TCEP eligible projects.

§ Continue to build upon SGVCOG regional collaboration on funding applications for rail and goods movement projects and other matters that benefit the capital projects program, and work closely with the San Gabriel Valley Legislative Caucus, the Mobility 21 coalition and other stakeholders on these goals.

§ Support legislative or administrative efforts to expedite project planning, development and delivery.

· Homelessness

○ State

§ Allocate State funding directly to cities for homelessness programs.

§ Support the establishment of a regional housing trust fund.

§ Amend definition of gravely ill to include a person's inability to provide urgently needed medical care for him or herself due to a mental disorder.

· Environment

○ State

§ Energy

· Make energy costs affordable and stabilized;

· Ensure energy supply while limiting dependence on foreign sources of energy;

· Employ diverse strategies for conserving energy including: Energy efficiency, land use strategies, urban forest management, and infrastructure improvements (i.e. green building);

Attachment A

- Develop innovative and affordable financing options for energy efficiency;
- Move towards a “net-zero energy” model where the amount of energy provided by on-site renewable energy sources is equal to the amount of energy used by a building;
- Support advancement of technology that expands renewable energy generation; and,

§ Open Space

- Identify and protect open space for the multi-benefit enhancement of natural resources and enjoyment for the public;
- Plan for growth by incentivizing compact development in some areas that in turn prevent open space development in other areas;
- Develop greenbelts and greenways along and within urban development to limit unsuitable development and provide buffers to wildlife habitat;
- Acquire and preserve open space at high risk of ecological degradation, primarily along the foothills, waterways, flood plains, and within the upper watershed;
- Advocate for state and federal appropriations, funding from the San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy, and/or loan programs for open space acquisition, development, restoration, and management.

§ Solid Waste

- Encourage the diversification of solid waste management strategies, including conversion technology, that will create new domestic markets and that are feasible given the landscape and conditions of the San Gabriel Valley;
- Provide a strong commitment to utilizing financial incentives rather than mandatory requirements;
- Ensure local jurisdictions are not subject to duplicative or contradictory legislative requirements.

PASSED AND ADOPTED by the Governing Board of San Gabriel Valley Council of Governments, County of Los Angeles, State of California, on the 21st day of March 2019.

San Gabriel Valley Council of Governments

Cynthia Sternquist, President

Attest:

I, Marisa Creter, Executive Director and Secretary of the Board of Directors of the San Gabriel Valley Council of Governments, do hereby certify that the foregoing Resolution was adopted at a regular meeting of the Governing Board held on the 21st day of March 2019, by the following roll call vote:

AYES:	
NOES:	
ABSTAIN:	
ABSENT:	

Marisa Creter, Secretary



**PROPOSAL TO PROVIDE STATE GOVERNMENTAL AFFAIRS CONSULTANT
AND LEGISLATIVE LOBBYING SERVICES FOR
THE SAN GABRIEL VALLEY COUNCIL OF GOVERNMENTS**

OBJECTIVE

The **Mission** of SGVCOG is to provide a unifying voice to maximize resources and advocate for regional and member interests to improve the quality of life in the San Gabriel Valley. The **Vision** of the San Gabriel Valley Council of Governments is to be recognized as the leader in advocating for and achieving sustainable solutions for transportation, housing, economic growth and the environment. The governmental affairs consultant/lobbyist shall provide state level advocacy services related to SGVCOG's state legislative and regulatory priorities. The governmental affairs consultant/lobbyist shall support staff to implement policy and legislative direction from the COG Board of Directors including creative and innovative strategies to implement legislative, regulatory and policy initiatives that affect the San Gabriel Valley and its member communities.

CAPITAL REPRESENTATION GROUP, INC.

The Capital Representation Group is a highly respected and responsive legislative representation and advocacy firm based in Sacramento, California directly across the street from the State Capitol and within easy walking distance of the key state agencies and departments. The firm has extensive experiences representing public agencies and private interests in a wide range of advocacy services and policy initiatives, especially as it relates to regional mobility initiatives and sustainable planning strategies, air quality planning, state and regional transportation funding legislation and countywide sales tax initiatives (Proposition 1B & SB1 for trade corridor funding) and L.A. Metro (three half-cent sales tax measures) and state and regional policy strategies and initiatives to address chronic crisis of housing and homelessness.

The Managing Partner of the Capital Representation Group, Tim Egan, has more than 35 years' experience in a wide range of regional, transportation, legislative and related public affairs initiatives and is considerable repository of "institutional memory" and strategic thinking in this regard, and has been an active initiator or participant in some of the most significant transportation legislative and funding initiatives, programs and developments, as well as policies and legislation for the implementation of regional and strategic growth plans and strategies. Mr. Egan's prior professional experience includes: Deputy Director for Government and Legislative Affairs for the Los Angeles County Transportation Commission (predecessor to L.A. Metro); and, Program Manager, Regional Transportation Improvement Program for the Southern California Association of Governments.

SCOPE OF SERVICES

The Capital Representation Group (CRG) would initially provide consultant services to implement the Stormwater Policies State Legislative Priorities for 2019, specifically the reintroduction of AB 2538 (Rubio) on Municipal Water Quality & Stormwater. In addition, the Capital Representation Group would provide the following services as directed to assist the SGVCOG Board of Directors and staff implement the COG Strategic Plan as proposed to be updated in January 2019.

- CRG would generally represent/advocate before the California Legislature and staff, Governor's Office and the Executive Branch Agencies, Departments, Boards and Commissions on initiatives and positions of the SGVCOG on any state policies and pending legislation and regulatory actions.
- Arrange for any briefings and assist in scheduling of Sacramento visits for COG Board Members and staff with appropriate members of the Legislature, legislative staff, and key Governor's Administration officials and staff.
- Coordinate opportunities for COG representatives to provide testimony at any relevant legislative committee or state administrative hearings and meetings.
- Monitor and attend when necessary relevant legislative and regulatory hearings including those of the Legislature as well as other administrative bodies with jurisdiction over policy initiatives or issues in the COG Strategic Plan. Such executive entities may include the Air Resources Board, California Transportation Commission, State Water Resources Control Board, Strategic Growth Council, Caltrans and other such bodies as identified by the COG.
- Assist in the preparation of any position letters or policy statements regarding legislative initiatives or proposals as directed by the COG Board and staff.
- Provide support and coordination to the San Gabriel Valley Legislative Caucus, its Chair, Vice-Chair and Caucus Members, including but not limited to providing assist for the scheduling of caucus meetings and briefings in Sacramento or San Gabriel Valley.
- Maintain communications with and be aware of issues from organizations that the SGVCOG may have membership or interest; such as, the California Association of Councils of Governments, the League of California Cities, the California State Association of Counties, the Contract Cities Association, the Southern California Association of Governments and others.
- Assist in the organization and scheduling of an annual Sacramento legislative trip (which may be co-sponsored with our Valley regional partners) that would coincide with a quarterly meeting of the San Gabriel Valley Legislative Caucus and possible League of California Cities Annual Legislative Conference.
- As directed attend or participate in any COG Board or Committee meeting, including conferences calls with board members and staff.

CURRENT AND FORMER CLIENTS OF THE CAPITAL REPRESENTATION GROUP

CRG has had the privilege over 30 years to represent a wide range of public and private sector clients at both the state and federal levels of government. Some of our public sector clients include or have included:

- Southern California Association of Governments
- Alameda Corridor-East Construction Authority
- Los Angeles County Metropolitan Transportation Authority (METRO)
- Ventura County Transportation Commission
- Southern California Regional Rail Authority (Metrolink)
- Altamont Commuter Express (ACE) – San Joaquin Regional Rail Commission
- Foothill Transit
- Merced County Association of Governments
- San Francisco County Transportation Authority
- Monterey-Salinas Transit District
- Cities of Fresno, Bell, Burbank, Hesperia, to name a few

COST PROPOSAL

The Capital Representation Group, Inc. would propose to undertake the State Governmental Affairs Consultant and Legislative Lobbying Services for a monthly retainer of \$2,500 per month exclusive of any out-of-pocket expenses, which are not to exceed \$1,000 per year. Such expenses would be for any travel and accommodations on behalf of the SGVCOG as pre-approved by the Executive Director or directed by the President or Board of Directors and would be included in the monthly invoices submitted by the Capital Representation Group. We would propose to enter into a twenty-four (24) month professional services agreement to begin January 1, 2019 through December 31, 2020.